

May 23, 2014

The Dow Chemical Company Midland, Michigan 48674

THE U.S. HOUSE COMMITTEE ON NATURAL RESOURCES SUBCOMMITTEE ON ENERGY AND MINERAL RESOURCES

RE: REVISED 113TH CONGRESS DISCLOSURE FORM FOR CAROL A. WILLIAMS REPRESENTING THE DOW CHEMICAL COMPANY BEFORE THE U.S. HOUSE SUBCOMMITTEE ON ENERGY AND MINERAL RESOURCE ON MAY 20, 2014

Chairman Lamborn,

First, I would like to thank the Subcommittee for their patience in giving us the opportunity to complete our internal due diligence and investigation so that we could properly and fully respond to disclosures (j) and (k) of the 113th Congress Disclosure Form for Mrs. Carol A. Williams with respect to her testimony provided on May 20, 2014 before the Subcommittee.

Attached please find a revised Disclosure Form that includes full responses to disclosures (j) and (k). As directed, we did not search for any consent decrees or orders during our investigation and consequently do not report on any such decrees or orders that may exist. Similarly, we confined our investigation to lawsuits and petitions concerning subject matters within the jurisdiction of the US House Committee on Natural Resources. Finally, although the attached Disclosure Form asks only for suits or petition filed on or after 2010, in the spirit of full disclosure, we have included two matters that potentially fall within the jurisdiction of the Committee but outside of the stated timeframe.

If you have any questions concerning the attached revised Disclosure Form, please do not hesitate to call me.

Regards,

Carrie Etbutman

Carrie E. Houtman Government Affairs Director The Dow Chemical Company

COMMITTEE ON NATURAL RESOURCES 113th Congress Disclosure Form As required by and provided for in House Rule XI, clause 2(g) and the Rules of the Committee on Natural Resources

Oversight hearing entitled "American Energy Jobs: Opportunities for American Manufacturing." May 20, 2014

For Individuals:

- 1. Name: (not applicable)
- 2. Address: (not applicable)
- 3. Email Address: (not applicable)
- 4. Phone Number: (not applicable)

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For Witnesses Representing Organizations:

- 1. Name: Mrs. Carol A. Williams
- 2. Name of Organization(s) You are Representing at the Hearing:

The Dow Chemical Company

3. Business Address:

[Information redacted for privacy]

- 4. Business Email Address: [Information redacted for privacy]
- 5. Business Phone Number: [Information redacted for privacy]

For all Witnesses

Name/Organization: <u>Mrs. Carol A Williams of The Dow Chemical Company</u> Title/Date of Hearing: <u>Oversight hearing entitled "American Energy Jobs: Opportunities for American</u> <u>Manufacturing" on May 20, 2014</u>

a. Any training or educational certificates, diplomas or degrees or other educational experiences that are relevant to your qualifications to testify on or knowledge of the subject matter of the hearing.

Mrs. Williams holds a Bachelors' of Science degree in Chemical Engineering from Carnegie-Mellon University. She has spent 34 years at The Dow Chemical Company in various roles, most recently as Executive Vice President for Manufacturing and Engineering.

b. Any professional licenses, certifications, or affiliations held that are relevant to your qualifications to testify on or knowledge of the subject matter of the hearing.

Mrs. Williams is a member of the Department of Commerce's Manufacturing Council and co-chairs the Energy Subcommittee. She is a member of the Society of Women in Engineering (SWE) and a member if the American Institute of Chemical Engineers.

c. Any employment, occupation, ownership in a firm or business, or work-related experiences that relate to your qualifications to testify on or knowledge of the subject matter of the hearing.

Mrs. Williams is a member of the Board of Directors at Zep, INC and Owens-Illinois.

d. Any federal grants or contracts (including subgrants or subcontracts) from the Department of the Interior or United States Department of Agriculture that you have received in the current year and previous four years, including the source and the amount of each grant or contract.

Not applicable

e. A list of all lawsuits or petitions filed by you against the federal government in the current year and the previous four years, giving the name of the lawsuit or petition, the subject matter of the lawsuit or petition, and the federal statutes under which the lawsuits or petitions were filed.

Not applicable

f. A list of all federal lawsuits filed against you by the federal government in the current year and the previous four years, giving the name of the lawsuit, the subject matter of the lawsuit, and the federal statutes under which the lawsuits were filed.

Not applicable

g. Any other information you wish to convey that might aid the Members of the Committee to better understand the context of your testimony.

Not applicable

Witnesses Representing Organizations

Name/Organization: <u>Mrs. Carol A Williams of The Dow Chemical Company</u> Title/Date of Hearing: <u>Oversight hearing entitled "American Energy Jobs: Opportunities for American</u> <u>Manufacturing" on May 20, 2014</u>

h. Any offices, elected positions, or representational capacity held in the organization(s) on whose behalf you are testifying.

Not applicable

i. Any federal grants or contracts (including subgrants or subcontracts) from the Department of the Interior or United States Department of Agriculture that were received in the current year and previous four years by the organization(s) you represent at this hearing, including the source and amount of each grant or contract for each of the organization(s).

Not applicable

j. A list of all lawsuits or petitions filed by the organization(s) you represent at the hearing against the federal government in the current year and the previous four years, giving the name of the lawsuit or petition, the subject matter of the lawsuit or petition, and the federal statutes under which the lawsuits or petitions were filed for each of the organization(s).

Based on the results of our reasonable investigation, to the best of our knowledge neither The Dow Chemical Company nor any of its wholly owned subsidiaries ("Dow") have filed any lawsuits or petitions against the federal government from 2010 to the present date associated with the subject areas falling within the jurisdiction of the U.S. House Committee on Natural Resources (the "Committee") as set forth on the Committee's website: http://naturalresources.house.gov/about/jurisdiction.htm.

Although outside of the timeframe, our investigation did reveal one lawsuit filed in 2009 in the US District Court for the District of Maryland, Greenbelt Division, by Dow AgroSciences, LLC, a wholly-owned subsidiary of Dow, and two other companies against the National Marine Fisheries Service ("NMFS") for declaratory and other relief under the Administrative Procedures Act. The suit sought judicial review of a biological opinion issued by NMFS related to the US EPA's registration of pesticides containing active ingredients chlorpyrifos, diazinon and malathion and the effect of such registrations on protected species of Pacific salmonid species and their habitat. Other federal statutes implicated in the suit include the Federal Insecticide, Fungicide and Rodenticide Act and the Endangered Species Act.

k. A list of all federal lawsuits filed against the organization(s) you represent at the hearing by the federal government in the current year and the previous four years, giving the name of the lawsuit, the subject matter of the lawsuit, and the federal statutes under which the lawsuits were filed.

Based on the results of our reasonable investigation, to the best of our knowledge, with the possible exception of consent orders and consent decrees, the federal government has not filed any lawsuits or petitions against Dow from 2010 to the present date associated with the subject areas falling within the jurisdiction of the U.S. House Committee on Natural Resources (the "Committee") as set forth on the Committee's website: http://naturalresources.house.gov/about/jurisdiction.htm.

Although not a lawsuit or a petition, Dow has been engaged in negotiations since the fall of 2005 with the U.S. EPA, the U.S. Department of Justice, and the natural resource damage trustees (which include the Michigan Office of the Attorney General, the MDEQ, the U.S. Fish and Wildlife Service, the U.S. Bureau of Indian Affairs and the Saginaw-Chippewa tribe) to seek to resolve potential governmental claims against Dow related to historical off-site contamination associated with the City of Midland, Michigan, the Tittabawassee and Saginaw Rivers and the Saginaw Bay, all located in Michigan. Dow and the governmental parties entered into a Confidentiality Agreement in December 2005. On September 28, 2007, Dow and the natural resource damage trustees entered into a Funding and Participation Agreement that addressed Dow's payment of past costs incurred by the natural resource damage trustees, payment of the costs of a trustee coordinator and a process to review additional cooperative studies that Dow might agree to fund or conduct with the natural resource damage trustees. On March 18, 2008, Dow and the natural resource damage trustees entered into a Memorandum of Understanding to provide a mechanism for Dow to fund cooperative studies related to the assessment of natural resource damages. This Memorandum of Understanding was amended and extended until March 2014. On April 7, 2008, the natural resource damage trustees released their "Natural Resource Damage Assessment Plan for the Tittabawassee River System Assessment Area." Dow continues to conduct negotiations under the Federal Alternative Dispute Resolution Act with all of the governmental parties, except the EPA which withdrew from the alternative dispute resolution process on September 12, 2007.

1. For tax-exempt organizations and non-profit organizations, copies of the three most recent public IRS Form 990s (including Form 990-PF, Form 990-N, and Form 990-EZ) for each of the organization(s) you represent at the hearing (not including any contributor names and addresses or any information withheld from public inspection by the Secretary of the Treasury under 26 U.S.C. 6104)).

Not applicable