COMMITTEE ON NATURAL RESOURCES
Disclosure Form
As required by and provided for in House Rule XI, clause 2(g) and
the Rules of the Committee on Natural Resources

Legislative Hearing on: H.R. 4381 (Tipton), *"Planning for American Energy Act"* **H.R. 4382 (Coffman),** *"Providing Leasing Certainty for American Energy Act"* **H.R. 4383 (Lamborn),** *"Streamlining Permitting of American Energy Act"* **H.R. 4402 (Amodei),** *"National Strategic and Critical Minerals Production Act of 2012"* **H.R. 1192 (Lummis),** *"Soda Ash Royalty Extension, Job Creation, and Export Enhancement Act of 2011"* and **H.R. 2176 (Heinrich),** *"Clean Energy Promotion Act"*
April 26, 2012

For Individuals:

1. Name:

2. Address:

3. Email Address:

4. Phone Number:

* * * * *

For Witnesses Representing Organizations:

1. Name: Harold P. Quinn, Jr.

2. Name of Organization(s) You are Representing at the Hearing: National Mining Association

3. Business Address: 101 Constitution Avenue NW, Suite 500 East, Washington, DC 20001

4. Business Email Address: webmaster@nma.org

5. Business Phone Number: (202) 463-2600

Name/Organization: Harold P. Quinn, Jr. /National Mining Association
Title/Date of Hearing: Legislative Hearing on H.R. 4381, H.R. 4382, H.R. 4383, H.R. 4402, H.R. 1192 and H.R. 2176 / April 26, 2012

a. Any training or educational certificates, diplomas or degrees or other educational experiences that are relevant to your qualifications to testify on or knowledge of the subject matter of the hearing.

Quinn is a graduate of Denison University and received his law degree from Wake Forest University.

b. Any professional licenses, certifications, or affiliations held that are relevant to your qualifications to testify on or knowledge of the subject matter of the hearing.

Quinn serves on the board of directors of the American Coal Foundation, National Energy Foundation, Coal Advisory Board, United States Energy Association and the Rocky Mountain Mineral Law Foundation. He also serves on the International Energy Agency's Coal Industry Advisory Board.

c. Any employment, occupation, ownership in a firm or business, or work-related experiences that relate to your qualifications to testify on or knowledge of the subject matter of the hearing.

Quinn is the President and CEO of the National Mining Association. NMA is the national trade association for the U.S. mining industry and represents coal, metal and industrial mineral producers, mineral processors, equipment manufacturers and other suppliers of goods and services to the domestic mining industry. As President and CEO, he directs the association's public policy efforts before Congress, regulatory agencies and the White House and sets the association's strategic agenda for media relations, grassroots communications and political involvement

d. Any federal grants or contracts (including subgrants or subcontracts) from the ***Department of the Interior (and /or other agencies invited)*** that you have received in the current year and previous four years, including the source and the amount of each grant or contract.

None.

e. A list of all lawsuits or petitions filed by you against the federal government in the current year and the previous four years, giving the name of the lawsuit or petition, the subject matter of the lawsuit or petition, and the federal statutes under which the lawsuits or petitions were filed.

None.

f. Any other information you wish to convey that might aid the Members of the Committee to better understand the context of your testimony.

Quinn brings more than 20 years of experience to his current position. He has represented mining's interests before the executive, legislative and judicial branches of government in a number of positions, including as NMA's Executive Vice President, as its Senior Vice President for legal and regulatory affairs and as General Counsel. He was General Counsel of the National Coal Association prior to the formation of NMA through the merger in 1995 of the American Mining Congress and the National Coal Association.

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In addition, for witnesses representing organizations:

g. Any offices, elected positions, or representational capacity held in the organization(s) on whose behalf you are testifying.

President and Chief Executive Officer of the National Mining Association

h. Any federal grants or contracts (including subgrants or subcontracts) from the *Department of the Interior (and /or other agencies invited)* that were received in the current year and previous four years by the organization(s) you represent at this hearing, including the source and amount of each grant or contract for each of the organization(s).

None.

i. A list of all lawsuits or petitions filed by the organization(s) you represent at the hearing against the federal government in the current year and the previous four years, giving the name of the lawsuit or petition, the subject matter of the lawsuit or petition, and the federal statutes under which the lawsuits or petitions were filed for each of the organization(s).

State of Wyoming v. EPA, 11-9508 (10th Cir.) (Wyoming GHG SIP call)(Clean Air Act)

Coalition for Responsible Regulation, et al v. EPA, 10-1092 (D.C. Cir.) (GHG Motor Vehicle Rule)(Clean Air Act)

GA Coalition for Sound Environment v. EPA, 10-1200 (D.C. Cir.)(GHG Tailoring Rule) (Clean Air Act)

American Iron and Steel Institute v. EPA, 10-1109 (D.C. Cir.)(Johnson Memo)(Clean Air Act)

Sierra Club v. EPA, 09-1301 (D.C. Cir.) (Coal Prep Plant NSPS)(Clean Air Act)

Natural Resources Defense Council v. EPA, 09-1065 (D.C. Cir.)(Fugitive Emissions Rule)(Clean Air Act)

American Farm Bureau Federation v. EPA, 06-1410 (D.C. Cir.)(PM NAAQS)(Clean Air Act)

Mississippi v. EPA, 08-1200 (D.C. Cir.)(Ozone NAAQS)(Clean Air Act)

New Jersey v. EPA, 08-1065 (D.C. Cir.)(NSR Reasonable Possibility in Recordkeeping Rule)(Clean Air Act)

State of New Jersey, et al., v. EPA, 05-1097 (D.C. Cir.)(Clean Air Mercury Rule (CAMR); Section 112 “Delisting”)(Clean Air Act)

State of North Carolina v. EPA, 05-1244 (D.C. Cir.)(Clean Air Interstate Rule (CAIR))(Clean Air Act)

NRDC v. EPA, 07-1151 (D.C. Cir.)("Exceptional Events Policy" Clean Air)(Clean Air Act)

American Petroleum Institute v. EPA, 09-1038 and 09-1041 (Definition of Solid Waste)(RCRA)

*IN RE POLAR BEAR ENDANGERED SPECIES ACT LISTING AND § 4(d)RULE LITIGATION; Ctr. for Biological Diversity, et al. v. Salazar, 08-2113 (*DDC *(EGS) and Defenders of Wildlife v. U.S. Dep't of the Interior***,** 09-153 (DDC (EGS))(Polar Bear "4(d)" rule)(Endangered Species Act)

Earthworks v. Department of the Interior C.A. No. 09-01972 (Millsites; Ancillary Use; Fair Market Value)(FLPMA)

United Mine Workers of America v. Secretary of Labor, MSHA, 08-1147 (D.C. Cir.)(Mine Rescue Teams)(Federal Mine Safety and Health Act (FMSHA)

National Mining Association & Alabama Coal Association v. Secretary of Labor/MSHA (11th Cir.)(extended cuts)(FMSHA)

National Mining Association v. Secretary of Labor, MSHA 08-1241 (D.C. Circuit)(Diesel Particulate Matter)(FMSHA)

Scott Howard v. Secretary of Labor Docket 08-5799 (6th Circuit)(Respirable Coal Mine Dust)(FMSHA)

UMWA v. MSHA 09-1014 (D.C. Cir.)(Mine Refuge Chambers/Belt Air)(FMSHA)

Citizens Coal Council v. Kempthorne, No. 1:08-cv-00190-JR (D.D.C.)(Ownership and Control)(SMCRA)
NMA v. Kempthorne, 06-5199 (D.C. Cir.)(Valid Existing Rights)(SMCRA)

National Parks v. Salazar, No. 1:09-cv-00015HHK (D.D.C.); *Coal River v. Salazar* No. 1:08-cv-2212HHK (D.D.C.)(Stream Buffer Zone Rule)(SMCRA)

NMA v. Office of Hearings and Appeals, Civ. No. 04-128 (RWR) D.D.C. (Burden of Proof Regulations)(SMCRA)

Ohio Valley Environmental Coal v. Robert Antwerp 09-1635 (4th Cir)(Nationwide Permit 21)(Clean Water Act)

Kentucky Riverkeepers v. Rowlette, 05-CV-181-DLB (E.D. KY)(NWP 21 copycat)(Clean Water Act)

NMA v. Jackson No. 1:10-cv-01220 (D.D.C)(CWA Permit Moratorium)(Clean Water Act)

OVEC v. Bulen, Civ. No. 3:05-0784 (S.D. W. Va.) (Bulen II)(404 individual permits)(Clean Water Act)

Southeast Alaska Conservation Council v. U.S. Corps of Engineers, 486 F.3d 638 (9th Cir. 2007)(hardrock tailings for fill material)(Clean Water Act)

Wild Earth Guardians v. Salazar 1:10-cv-01174-CKK (D.D.C.)(Federal Coal Leasing)(National Environmental Policy Act)

NMA v. EPA, 11-1039 (D.C. Cir) (GHG SIP call) (CAA)

j. A list of any countries from which the organization(s) you represent at the hearing have received foreign donations and the total amount of donations received from each country, for the current year and the previous four years, by each organization.

None.

k. For tax-exempt organizations and non-profit organizations, copies of the three most recent public IRS Form 990s (including Form 990-PF, Form 990-N, and Form 990-EZ) for each of the organization(s) you represent at the hearing (not including any contributor names and addresses or any information withheld from public inspection by the Secretary of the Treasury under 26 U.S.C. 6104)).

See attached forms for NMA.

Form **990**

Department of the Treasury
Internal Revenue Service

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

▶ The organization may have to use a copy of this return to satisfy state reporting requirements.

OMB No. 1545-0047

2011

Open to Public Inspection

A For the 2011 calendar year, or tax year beginning and ending

B Check if applicable: [ ] Address change [ ] Name change [ ] Initial return [ ] Terminated [ ] Amended return [ ] Application pending

C Name of organization
NATIONAL MINING ASSOCIATION
Doing Business As

Number and street (or P.O. box if mail is not delivered to street address) 101 CONSTITUTION AVENUE NW — Room/suite 500E

City or town, state or country, and ZIP + 4
WASHINGTON, DC 20001-2133

F Name and address of principal officer: HAROLD QUINN
SAME AS C ABOVE

D Employer identification number: 52-1916480

E Telephone number: 202-463-2600

G Gross receipts $ 33,951,065.

H(a) Is this a group return for affiliates? [ ] Yes [X] No

H(b) Are all affiliates included? [ ] Yes [ ] No
If "No," attach a list. (see instructions)

H(c) Group exemption number ▶

I Tax-exempt status: [ ] 501(c)(3) [X] 501(c) (6) ◀ (insert no.) [ ] 4947(a)(1) or [ ] 527

J Website: ▶ WWW.NMA.ORG

K Form of organization: [X] Corporation [ ] Trust [ ] Association [ ] Other ▶

L Year of formation: 1995 M State of legal domicile: DE

Part I Summary

Activities & Governance

1 Briefly describe the organization's mission or most significant activities: TO PROMOTE THE GENERAL WELFARE OF THE PRODUCERS OF COAL AND MINERALS, REPRESENT THE COAL AND

2 Check this box ▶ [ ] if the organization discontinued its operations or disposed of more than 25% of its net assets.

3	Number of voting members of the governing body (Part VI, line 1a)	3	74
4	Number of independent voting members of the governing body (Part VI, line 1b)	4	74
5	Total number of individuals employed in calendar year 2011 (Part V, line 2a)	5	38
6	Total number of volunteers (estimate if necessary)	6	0
7a	Total unrelated business revenue from Part VIII, column (C), line 12	7a	0.
b	Net unrelated business taxable income from Form 990-T, line 34	7b	0.

			Prior Year	Current Year
Revenue	8	Contributions and grants (Part VIII, line 1h)	1,493,748.	15,000.
	9	Program service revenue (Part VIII, line 2g)	14,247,710.	14,666,364.
	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	705,807.	1,169,939.
	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	111,031.	212,596.
	12	Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	16,558,296.	16,063,899.
Expenses	13	Grants and similar amounts paid (Part IX, column (A), lines 1-3)	0.	0.
	14	Benefits paid to or for members (Part IX, column (A), line 4)	0.	0.
	15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	7,142,460.	7,308,901.
	16a	Professional fundraising fees (Part IX, column (A), line 11e)	0.	0.
	b	Total fundraising expenses (Part IX, column (D), line 25) ▶ 0.		
	17	Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)	9,980,795.	10,474,901.
	18	Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)	17,123,255.	17,783,802.
	19	Revenue less expenses. Subtract line 18 from line 12	-564,959.	-1,719,903.

			Beginning of Current Year	End of Year
Net Assets or Fund Balances	20	Total assets (Part X, line 16)	27,440,468.	29,950,244.
	21	Total liabilities (Part X, line 26)	7,924,770.	14,258,286.
	22	Net assets or fund balances. Subtract line 21 from line 20	19,515,698.	15,691,958.

DRAFT

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here

▶ Signature of officer — Date

▶ ROGER ROBERTS, TREASURER/SVP FINANCE
Type or print name and title

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check [ ] if self-employed	PTIN

Firm's name ▶ JOHNSON LAMBERT & CO. LLP — Firm's EIN ▶ 52-1446779

Firm's address ▶ 700 SPRING FOREST ROAD, STE 115
RALEIGH, NC 27609 — Phone no. 919-719-6400

May the IRS discuss this return with the preparer shown above? (see instructions) [X] Yes [ ] No

SEE SCHEDULE O FOR ORGANIZATION MISSION STATEMENT CONTINUATION

Part III Statement of Program Service Accomplishments

Check if Schedule O contains a response to any question in this Part III [X]

1 Briefly describe the organization's mission:

NMA'S MISSION IS TO CREATE AND MAINTAIN A BROAD BASE OF POLITICAL SUPPORT FOR THE MINING INDUSTRY AND TO HELP THE NATION REALIZE THE ECONOMIC AND NATIONAL SECURITY BENEFITS OF AMERICA'S DOMESTIC MINING CAPABILITY. OUR OBJECTIVE IS TO ENGAGE IN AND INFLUENCE THE PUBLIC

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? [ ] Yes [X] No

If "Yes," describe these new services on Schedule O.

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? [ ] Yes [X] No

If "Yes," describe these changes on Schedule O.

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code ________) (Expenses $ ________ including grants of $ ________) (Revenue $ ________)

PRESENTED THE INTEREST OF MINING BEFORE CONGRESS, THE ADMINISTRATION, FEDERAL AGENCIES, THE JUDICIARY, AND THE MEDIA.

4b (Code ________) (Expenses $ ________ including grants of $ ________) (Revenue $ ________)

HELD SPECIALIZED SEMINARS, WORKSHOPS, MINEXPO INTERNATIONAL, AND OTHER SIGNIFICANT MEETINGS AND EVENTS.

4c (Code ________) (Expenses $ ________ including grants of $ ________) (Revenue $ ________)

THE FEDERATION FOR AMERICAN COAL, ENERGY AND SECURITY (FACES OF COAL) IS AN ALLIANCE OF PEOPLE FROM ALL WALKS OF LIFE WHO ARE JOINING FORCES TO EDUCATE LAWMAKERS AND THE GENERAL PUBLIC ABOUT THE IMPORTANCE OF COAL AND COAL MINING TO LOCAL AND NATIONAL ECONOMIES AND TO THE NATION'S ENERGY SECURITY. IN ADDITION TO KEEPING TENS OF THOUSANDS OF PEOPLE EMPLOYED IN GOOD-PAYING JOBS, COAL IS THE LIFEBLOOD OF THE U.S. DOMESTIC ENERGY SUPPLY, GENERATING HALF THE ELECTRICITY CONSUMED IN THE UNITED STATES TODAY.

4d Other program services (Describe in Schedule O.)

(Expenses $ ________ including grants of $ ________) (Revenue $ ________)

4e **Total program service expenses** ▶

Part IV Checklist of Required Schedules

			Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? *If "Yes," complete Schedule A*	1		X
2	Is the organization required to complete *Schedule B, Schedule of Contributors*?	2	X	
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? *If "Yes," complete Schedule C, Part I*	3	X	
4	**Section 501(c)(3) organizations.** Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? *If "Yes," complete Schedule C, Part II*	4		
5	Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? *If "Yes," complete Schedule C, Part III*	5	X	
6	Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? *If "Yes," complete Schedule D, Part I*	6		X
7	Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? *If "Yes," complete Schedule D, Part II*	7		X
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? *If "Yes," complete Schedule D, Part III*	8		X
9	Did the organization report an amount in Part X, line 21; serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? *If "Yes," complete Schedule D, Part IV*	9		X
10	Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? *If "Yes," complete Schedule D, Part V*	10		X
11	If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.			
a	Did the organization report an amount for land, buildings, and equipment in Part X, line 10? *If "Yes," complete Schedule D, Part VI*	11a	X	
b	Did the organization report an amount for investments - other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VII*	11b		X
c	Did the organization report an amount for investments - program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VIII*	11c		X
d	Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part IX*	11d		X
e	Did the organization report an amount for other liabilities in Part X, line 25? *If "Yes," complete Schedule D, Part X*	11e	X	
f	Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? *If "Yes," complete Schedule D, Part X*	11f	X	
12a	Did the organization obtain separate, independent audited financial statements for the tax year? *If "Yes," complete Schedule D, Parts XI, XII, and XIII*	12a	X	
b	Was the organization included in consolidated, independent audited financial statements for the tax year? *If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI, XII, and XIII is optional*	12b		X
13	Is the organization a school described in section 170(b)(1)(A)(ii)? *If "Yes," complete Schedule E*	13		X
14a	Did the organization maintain an office, employees, or agents outside of the United States?	14a		X
b	Did the organization have aggregate revenues or expenses of more than $10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at $100,000 or more? *If "Yes," complete Schedule F, Parts I and IV*	14b		X
15	Did the organization report on Part IX, column (A), line 3, more than $5,000 of grants or assistance to any organization or entity located outside the United States? *If "Yes," complete Schedule F, Parts II and IV*	15		X
16	Did the organization report on Part IX, column (A), line 3, more than $5,000 of aggregate grants or assistance to individuals located outside the United States? *If "Yes," complete Schedule F, Parts III and IV*	16		X
17	Did the organization report a total of more than $15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? *If "Yes," complete Schedule G, Part I*	17		X
18	Did the organization report more than $15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? *If "Yes," complete Schedule G, Part II*	18		X
19	Did the organization report more than $15,000 of gross income from gaming activities on Part VIII, line 9a? *If "Yes," complete Schedule G, Part III*	19		X
20a	Did the organization operate one or more hospital facilities? *If "Yes," complete Schedule H*	20a		X
b	If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?	20b		

Part IV | Checklist of Required Schedules *(continued)*

			Yes	No
21	Did the organization report more than $5,000 of grants and other assistance to any government or organization in the United States on Part IX, column (A), line 1? *If "Yes," complete Schedule I, Parts I and II*	21		X
22	Did the organization report more than $5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? *If "Yes," complete Schedule I, Parts I and III*	22		X
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? *If "Yes," complete Schedule J*	23	X	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than $100,000 as of the last day of the year, that was issued after December 31, 2002? *If "Yes," answer lines 24b through 24d and complete Schedule K. If "No", go to line 25*	24a		X
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		
25a	**Section 501(c)(3) and 501(c)(4) organizations.** Did the organization engage in an excess benefit transaction with a disqualified person during the year? *If "Yes," complete Schedule L, Part I*	25a		
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? *If "Yes," complete Schedule L, Part I*	25b		
26	Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? *If "Yes," complete Schedule L, Part II*	26		X
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? *If "Yes," complete Schedule L, Part III*	27		X
28	Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):			
a	A current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV*	28a		X
b	A family member of a current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV*	28b		X
c	An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? *If "Yes," complete Schedule L, Part IV*	28c		X
29	Did the organization receive more than $25,000 in non-cash contributions? *If "Yes," complete Schedule M*	29		X
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? *If "Yes," complete Schedule M*	30		X
31	Did the organization liquidate, terminate, or dissolve and cease operations? *If "Yes," complete Schedule N, Part I*	31		X
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? *If "Yes," complete Schedule N, Part II*	32		X
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? *If "Yes," complete Schedule R, Part I*	33		X
34	Was the organization related to any tax-exempt or taxable entity? *If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1*	34	X	
35a	Did the organization have a controlled entity within the meaning of section 512(b)(13)?	35a		X
b	Did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? *If "Yes," complete Schedule R, Part V, line 2*	35b		X
36	**Section 501(c)(3) organizations.** Did the organization make any transfers to an exempt non-charitable related organization? *If "Yes," complete Schedule R, Part V, line 2*	36		
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? *If "Yes," complete Schedule R, Part VI*	37		X
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11 and 19? **Note.** All Form 990 filers are required to complete Schedule O	38	X	

Part V Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response to any question in this Part V ☐

					Yes	No
1a	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable	1a	57			
b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable	1b	0			
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?			1c	X	
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return	2a	38			
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns?			2b	X	
	Note. If the sum of lines 1a and 2a is greater than 250, you may be required to *e-file* (see instructions)					
3a	Did the organization have unrelated business gross income of $1,000 or more during the year?			3a		X
b	If "Yes," has it filed a Form 990-T for this year? *If "No," provide an explanation in Schedule O*			3b		
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?			4a		X
b	If "Yes," enter the name of the foreign country: ▶					
	See instructions for filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts.					
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?			5a		X
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?			5b		X
c	If "Yes," to line 5a or 5b, did the organization file Form 8886-T?			5c		
6a	Does the organization have annual gross receipts that are normally greater than $100,000, and did the organization solicit any contributions that were not tax deductible?			6a	X	
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?			6b	X	
7	**Organizations that may receive deductible contributions under section 170(c).**					
a	Did the organization receive a payment in excess of $75 made partly as a contribution and partly for goods and services provided to the payor?			7a		
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?			7b		
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?			7c		
d	If "Yes," indicate the number of Forms 8282 filed during the year	7d				
e	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?			7e		
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?			7f		
g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?			7g		
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?			7h		
8	**Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations.** Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?			8		
9	**Sponsoring organizations maintaining donor advised funds.**					
a	Did the organization make any taxable distributions under section 4966?			9a		
b	Did the organization make a distribution to a donor, donor advisor, or related person?			9b		
10	**Section 501(c)(7) organizations.** Enter:					
a	Initiation fees and capital contributions included on Part VIII, line 12	10a				
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10b				
11	**Section 501(c)(12) organizations.** Enter:					
a	Gross income from members or shareholders	11a				
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.)	11b				
12a	**Section 4947(a)(1) non-exempt charitable trusts.** Is the organization filing Form 990 in lieu of Form 1041?			12a		
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year	12b				
13	**Section 501(c)(29) qualified nonprofit health insurance issuers.**					
a	Is the organization licensed to issue qualified health plans in more than one state?			13a		
	Note. See the instructions for additional information the organization must report on Schedule O.					
b	Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans	13b				
c	Enter the amount of reserves on hand	13c				
14a	Did the organization receive any payments for indoor tanning services during the tax year?			14a		X
b	If "Yes," has it filed a Form 720 to report these payments? *If "No," provide an explanation in Schedule O*			14b		

Part VI **Governance, Management, and Disclosure** *For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.*

Check if Schedule O contains a response to any question in this Part VI .... [X]

Section A. Governing Body and Management

				Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year	1a	74		
	If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O.				
b	Enter the number of voting members included in line 1a, above, who are independent	1b	74		
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?		2		X
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors, or trustees, or key employees to a management company or other person?		3		X
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?		4		X
5	Did the organization become aware during the year of a significant diversion of the organization's assets?		5		X
6	Did the organization have members or stockholders?		6	X	
7a	Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?		7a	X	
b	Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?		7b		X
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:				
a	The governing body?		8a	X	
b	Each committee with authority to act on behalf of the governing body?		8b	X	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? *If "Yes," provide the names and addresses in Schedule O*		9		X

Section B. Policies *(This Section B requests information about policies not required by the Internal Revenue Code.)*

			Yes	No
10a	Did the organization have local chapters, branches, or affiliates?	10a		X
b	If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?	10b		
11a	Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	11a	X	
b	Describe in Schedule O the process, if any, used by the organization to review this Form 990.			
12a	Did the organization have a written conflict of interest policy? *If "No," go to line 13*	12a		X
b	Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b		
c	Did the organization regularly and consistently monitor and enforce compliance with the policy? *If "Yes," describe in Schedule O how this was done*	12c		
13	Did the organization have a written whistleblower policy?	13		X
14	Did the organization have a written document retention and destruction policy?	14	X	
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?			
a	The organization's CEO, Executive Director, or top management official	15a	X	
b	Other officers or key employees of the organization	15b	X	
	If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions).			
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a		X
b	If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?	16b		

Section C. Disclosure

17 List the states with which a copy of this Form 990 is required to be filed ▶ NONE

18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.

[ ] Own website [ ] Another's website [X] Upon request

19 Describe in Schedule O whether (and if so, how), the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.

20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization: ▶

ROGER ROBERTS - 202-463-2600

101 CONSTITUTION AVE NW STE 500E, WASHINGTON, DC 20001

Part VII **Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

Check if Schedule O contains a response to any question in this Part VII .... [X]

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than $100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than $100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than $10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

(A) Name and Title	(B) Average hours per week (describe hours for related organizations in Schedule O)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee): Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
(1) GREGORY H. BOYCE CHAIRMAN	1.00	X		X				0.	0.	0.
(2) FRANCIS R MCALLISTER VICE CHAIRMAN	1.00	X		X				0.	0.	0.
(3) ALI ALAVI DIRECTOR	1.00	X						0.	0.	0.
(4) DAN ALLAN DIRECTOR (TO MAY '11)	1.00	X						0.	0.	0.
(5) JACK ATWATER DIRECTOR	1.00	X						0.	0.	0.
(6) PHILLIPS S. BAKER, JR. DIRECTOR	1.00	X						0.	0.	0.
(7) JANPETER BEKKERING DIRECTOR (TO JUL '11)	1.00	X						0.	0.	0.
(8) ROBERT L. BENSON DIRECTOR	1.00	X						0.	0.	0.
(9) JOHN BLASCHAK DIRECTOR	1.00	X						0.	0.	0.
(10) ALEX C. BOULTON DIRECTOR	1.00	X						0.	0.	0.
(11) FRANK CALANDRA, JR. DIRECTOR	1.00	X						0.	0.	0.
(12) JOSEPH A. CARRABBA DIRECTOR	1.00	X						0.	0.	0.
(13) NICK CARTER DIRECTOR	1.00	X						0.	0.	0.
(14) KEITH CLARK DIRECTOR (FROM SEP '11)	1.00	X						0.	0.	0.
(15) RED CONGER DIRECTOR	1.00	X						0.	0.	0.
(16) JOSEPH W. CRAFT, III DIRECTOR	1.00	X						0.	0.	0.
(17) CINDY CRANE DIRECTOR	1.00	X						0.	0.	0.

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees *(continued)*

(A) Name and title	(B) Average hours per week (describe hours for related organizations in Schedule O)	(C) Position: Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
(18) KEVIN CRUTCHFIELD DIRECTOR	1.00	X						0.	0.	0.
(19) JOHN DISHAROON DIRECTOR (FROM AUG '11)	1.00	X						0.	0.	0.
(20) GENE B. DIXON DIRECTOR	1.00	X						0.	0.	0.
(21) GARRY N. DRUMMOND DIRECTOR	1.00	X						0.	0.	0.
(22) MARK DUNN DIRECTOR	1.00	X						0.	0.	0.
(23) ROBERT L. EVANS DIRECTOR	1.00	X						0.	0.	0.
(24) DAVID FARNSWORTH DIRECTOR (TO OCT '11)	1.00	X						0.	0.	0.
(25) CLIFF FORREST DIRECTOR	1.00	X						0.	0.	0.
(26) JAC FOURIE DIRECTOR	1.00	X						0.	0.	0.
1b Sub-total ▶								0.	0.	0.
c Total from continuation sheets to Part VII, Section A ▶								4,056,264.	0.	840,756.
d Total (add lines 1b and 1c) ▶								4,056,264.	0.	840,756.

2 Total number of individuals (including but not limited to those listed above) who received more than $100,000 of reportable compensation from the organization ▶ 19

		Yes	No
3 Did the organization list any **former** officer, director, or trustee, key employee, or highest compensated employee on line 1a? *If "Yes," complete Schedule J for such individual*	3		X
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than $150,000? *If "Yes," complete Schedule J for such individual*	4	X	
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? *If "Yes," complete Schedule J for such person*	5		X

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than $100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation
EDELMAN, INC., 200 E RANDOLPH DR, 63RD FL, CHICAGO, IL 60601	GOVERNMENT AFFAIRS CONSULTING	1,570,360.
CROWELL & MORING, 1001 PENNSYLVANIA AVE NW, WASHINGTON, DC 20004	LEGAL CONSULTING	603,193.
HALL-ERICKSON, INC. 98 EAST CHICAGO AVE, WESTMONT, IL 60559	MINEXPO 2012 MANAGEMENT	435,228.
PODESTA GROUP 1001 G STREET NW, WASHINGTON, DC 20001	GOVERNMENT AFFAIRS CONSULTING	375,000.
TROUTMAN SANDERS PO BOX 933652, ATLANTA, GA 31193	LEGAL SERVICES	367,520.

2 Total number of independent contractors (including but not limited to those listed above) who received more than $100,000 of compensation from the organization ▶ 22

SEE PART VII, SECTION A CONTINUATION SHEETS

Part VII **Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees** *(continued)*

(A) Name and title	(B) Average hours per week	(C) Position: Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
(27) JOHN GALASSINI DIRECTOR (TO JUN '11)	1.00	X						0.	0.	0.
(28) DAVID P. GARDNER DIRECTOR (FROM JUN '11)	1.00	X						0.	0.	0.
(29) TIM GITZEL DIRECTOR	1.00	X						0.	0.	0.
(30) DAVID W. GODLEWSKI DIRECTOR	1.00	X						0.	0.	0.
(31) GARY J. GOLDBERG DIRECTOR (TO NOV '11)	1.00	X						0.	0.	0.
(32) BENJAMIN GUENTHER DIRECTOR	1.00	X						0.	0.	0.
(33) LEROY G. HAGENBUCH DIRECTOR	1.00	X						0.	0.	0.
(34) PETER T. HALPIN DIRECTOR	1.00	X						0.	0.	0.
(35) GARY HALVERSON DIRECTOR (FROM DEC '11)	1.00	X						0.	0.	0.
(36) JOHN HAMRICK DIRECTOR (TO AUG '11)	1.00	X						0.	0.	0.
(37) DOUGLAS R. HARDMAN DIRECTOR	1.00	X						0.	0.	0.
(38) J. BRETT HARVEY DIRECTOR	1.00	X						0.	0.	0.
(39) BENNETT HATFIELD DIRECTOR (TO JUN '11)	1.00	X						0.	0.	0.
(40) RON F. HOCHSTEIN DIRECTOR	1.00	X						0.	0.	0.
(41) ROBERT F. HOGAN, JR. DIRECTOR	1.00	X						0.	0.	0.
(42) CHARLES A. JEANNES DIRECTOR	1.00	X						0.	0.	0.
(43) TONY JENSEN DIRECTOR	1.00	X						0.	0.	0.
(44) GREG JESSEE DIRECTOR (FROM SEP '11)	1.00	X						0.	0.	0.
(45) KEITH B. KIMBLE DIRECTOR	1.00	X						0.	0.	0.
(46) JOHN KING DIRECTOR (FROM AUG '11)	1.00	X						0.	0.	0.
Total to Part VII, Section A, line 1c										

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees *(continued)*

(A) Name and title	(B) Average hours per week	(C) Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
(47) MITCHELL J. KREBS DIRECTOR (FROM SEP '11)	1.00	X						0.	0.	0.
(48) PAUL KRIVOKUCA DIRECTOR (FROM SEP '11)	1.00	X						0.	0.	0.
(49) GREG LANG DIRECTOR (TO NOV '11)	1.00	X						0.	0.	0.
(50) GUY L. LEBLANC DIRECTOR	1.00	X						0.	0.	0.
(51) STEVEN F. LEER DIRECTOR	1.00	X						0.	0.	0.
(52) KEVIN LOUGHREY DIRECTOR	1.00	X						0.	0.	0.
(53) PETER LUTHIGER DIRECTOR (FROM SEP '11)	1.00	X						0.	0.	0.
(54) TOM MACKALL DIRECTOR	1.00	X						0.	0.	0.
(55) COLIN MARSHALL DIRECTOR	1.00	X						0.	0.	0.
(56) JAMES M. MATTERN DIRECTOR	1.00	X						0.	0.	0.
(57) MARK MCCORMICK DIRECTOR	1.00	X						0.	0.	0.
(58) MICHAEL W. MCLANAHAN DIRECTOR	1.00	X						0.	0.	0.
(59) ROBERT E. MURRAY DIRECTOR	1.00	X						0.	0.	0.
(60) RICHARD O'BRIEN DIRECTOR	1.00	X						0.	0.	0.
(61) TONY PANCHYSHYN DIRECTOR	1.00	X						0.	0.	0.
(62) BAXTER PHILLIPS DIRECTOR (TO JUN '11)	1.00	X						0.	0.	0.
(63) DAVID POTTER DIRECTOR	1.00	X						0.	0.	0.
(64) MARK PREMO DIRECTOR	1.00	X						0.	0.	0.
(65) JOHN W. RICH, JR. DIRECTOR	1.00	X						0.	0.	0.
(66) DUANE L. RICHARDS DIRECTOR	1.00	X						0.	0.	0.
Total to Part VII, Section A, line 1c										

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees *(continued)*

(A) Name and title	(B) Average hours per week	(C) Position (check all that apply): Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
(67) LAUREN ROBERTS DIRECTOR (FROM JUL '11)	1.00	X						0.	0.	0.
(68) BRYAN RONCK DIRECTOR	1.00	X						0.	0.	0.
(69) KELLY SANDERS DIRECTOR (FROM DEC '11)	1.00	X						0.	0.	0.
(70) WALT SCHELLER DIRECTOR	1.00	X						0.	0.	0.
(71) GARY SELF DIRECTOR (FROM SEP '11)	1.00	X						0.	0.	0.
(72) MARK A. SMITH DIRECTOR	1.00	X						0.	0.	0.
(73) RICHARD L. SMITH DIRECTOR	1.00	X						0.	0.	0.
(74) VICTOR P. STABIO DIRECTOR	1.00	X						0.	0.	0.
(75) ROBERT J. STENGER DIRECTOR	1.00	X						0.	0.	0.
(76) MICHAEL W. SUTHERLIN DIRECTOR	1.00	X						0.	0.	0.
(77) WILLIAM TATE DIRECTOR (TO JUN '11)	1.00	X						0.	0.	0.
(78) CHARLES C. UNGUREAN DIRECTOR	1.00	X						0.	0.	0.
(79) JOSEPH E. USIBELLI, JR. DIRECTOR	1.00	X						0.	0.	0.
(80) RANDY K. VRANES DIRECTOR (FROM NOV '11)	1.00	X						0.	0.	0.
(81) DENNIS WHEELER DIRECTOR (TO AUG '11)	1.00	X						0.	0.	0.
(82) RICHARD M. WHITING DIRECTOR	1.00	X						0.	0.	0.
(83) DONNA WICHERS DIRECTOR (FROM SEP '11)	1.00	X						0.	0.	0.
(84) JAY WILEMAN DIRECTOR (TO JUL '11)	1.00	X						0.	0.	0.
(85) MIKE WILLIAMS DIRECTOR	1.00	X						0.	0.	0.
(86) DAVID WILLICK DIRECTOR (FROM AUG '11)	1.00	X						0.	0.	0.
Total to Part VII, Section A, line 1c										

Part VII **Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees** *(continued)*

(A) Name and title	(B) Average hours per week	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(87) HAROLD QUINN, JR. PRESIDENT & CEO	35.00			X				1,218,360.	0.	100,598.
(88) ROGER ROBERTS TREASURER/SVP FINANCE	35.00			X				199,950.	0.	42,267.
(89) MARY SWEENEY ASST SECY/GEN COUNSEL	35.00			X				233,125.	0.	64,278.
(90) BRUCE WATZMAN SECRETARY/SVP LEGAL & REG	35.00			X				350,701.	0.	100,075.
(91) DANIEL GERKIN SVP POLITICAL	35.00				X			281,326.	0.	139,607.
(92) MERLYN NOLAN SVP GOVERNMENT AFFAIRS	35.00				X			408,470.	0.	73,905.
(93) MOYA PHELLEPS SVP MEMBERSHIP SERVICES	35.00				X			184,898.	0.	57,039.
(94) CAROL RAULSTON SVP COMMUNICATIONS	35.00				X			305,689.	0.	73,624.
(95) GLENN KELLY VP GOVERNMENT AFFAIRS	35.00					X		233,870.	0.	19,860.
(96) KAREN BENNETT VP ENVIRONMENTAL AFFAIRS	35.00					X		188,616.	0.	39,750.
(97) LUKE POPOVICH VP COMMUNICATIONS	35.00					X		165,205.	0.	48,372.
(98) TAWNY BRIDGEFORD ASSOC GEN COUNSEL	35.00					X		153,710.	0.	31,888.
(99) BRADFORD FRISBY ASSOC GEN COUNSEL	35.00					X		132,344.	0.	49,493.
Total to Part VII, Section A, line 1c								4,056,264.		840,756.

Part VIII Statement of Revenue

				(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512, 513, or 514
Contributions, Gifts, Grants and Other Similar Amounts	1 a Federated campaigns	1a					
	b Membership dues	1b					
	c Fundraising events	1c					
	d Related organizations	1d					
	e Government grants (contributions)	1e					
	f All other contributions, gifts, grants, and similar amounts not included above	1f	15,000.				
	g Noncash contributions included in lines 1a-1f: $						
	h Total. Add lines 1a-1f ▶			15,000.			
Program Service Revenue			Business Code				
	2 a MEMBERSHIP DUES & ASSE		900099	14461787.	14461787.		
	b CONVENTIONS, CONFERENC		900099	201,132.			201,132.
	c PUBLICATIONS		900099	3,445.	3,445.		
	d						
	e						
	f All other program service revenue						
	g Total. Add lines 2a-2f ▶			14666364.			
Other Revenue	3 Investment income (including dividends, interest, and other similar amounts) ▶			403,402.			403,402.
	4 Income from investment of tax-exempt bond proceeds ▶						
	5 Royalties ▶						
		(i) Real	(ii) Personal				
	6 a Gross rents						
	b Less: rental expenses						
	c Rental income or (loss)						
	d Net rental income or (loss) ▶						
	7 a Gross amount from sales of assets other than inventory	(i) Securities 18653703	(ii) Other				
	b Less: cost or other basis and sales expenses	17880600	6,566.				
	c Gain or (loss)	773,103.	-6,566.				
	d Net gain or (loss) ▶			766,537.			766,537.
	8 a Gross income from fundraising events (not including $ ______ of contributions reported on line 1c). See Part IV, line 18	a					
	b Less: direct expenses	b					
	c Net income or (loss) from fundraising events ▶						
	9 a Gross income from gaming activities. See Part IV, line 19	a					
	b Less: direct expenses	b					
	c Net income or (loss) from gaming activities ▶						
	10 a Gross sales of inventory, less returns and allowances	a					
	b Less: cost of goods sold	b					
	c Net income or (loss) from sales of inventory ▶						
	Miscellaneous Revenue		Business Code				
	11 a SUBLEASE		900099	201,110.			201,110.
	b MISCELLANEOUS		900099	11,486.			11,486.
	c						
	d All other revenue						
	e Total. Add lines 11a-11d ▶			212,596.			
	12 Total revenue. See instructions. ▶			16063899.	14465232.	0.	1583667.

DRAFT

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D).

Check if Schedule O contains a response to any question in this Part IX ☐

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to governments and organizations in the United States. See Part IV, line 21				
2 Grants and other assistance to individuals in the United States. See Part IV, line 22				
3 Grants and other assistance to governments, organizations, and individuals outside the United States. See Part IV, lines 15 and 16				
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees	3,833,915.			
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7 Other salaries and wages	2,429,144.			
8 Pension plan accruals and contributions (include section 401(k) and section 403(b) employer contributions)	308,315.			
9 Other employee benefits	452,991.			
10 Payroll taxes	284,536.			
11 Fees for services (non-employees):				
a Management				
b Legal	21,584.			
c Accounting	38,419.			
d Lobbying	3,096,723.			
e Professional fundraising services. See Part IV, line 17				
f Investment management fees	19,587.			
g Other	3,645,230.			
12 Advertising and promotion	666,123.			
13 Office expenses	248,558.			
14 Information technology	142,086.			
15 Royalties				
16 Occupancy	1,264,646.			
17 Travel	236,308.			
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings	403,988.			
20 Interest				
21 Payments to affiliates				
22 Depreciation, depletion, and amortization	55,394.			
23 Insurance	80,750.			
24 Other expenses. Itemize expenses not covered above. (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a DUES & SUBSCRIPTIONS	510,401.			
b BAD DEBT	33,250.			
c TRAINING	11,559.			
d TAXES & LICENSES	295.			
e All other expenses				
25 **Total functional expenses.** Add lines 1 through 24e	17,783,802.			
26 **Joint costs.** Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here ▶ ☐ if following SOP 98-2 (ASC 958-720)				

DRAFT

Part X Balance Sheet

				(A) Beginning of year		(B) End of year
Assets	1	Cash - non-interest-bearing		1,000.	1	1,000.
	2	Savings and temporary cash investments		4,452,077.	2	2,024,200.
	3	Pledges and grants receivable, net			3	
	4	Accounts receivable, net		67,289.	4	398,667.
	5	Receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L			5	
	6	Receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions)			6	
	7	Notes and loans receivable, net			7	
	8	Inventories for sale or use			8	
	9	Prepaid expenses and deferred charges		983,338.	9	1,705,107.
	10a	Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D	10a 760,798.			
	b	Less: accumulated depreciation	10b 562,351.	60,779.	10c	198,447.
	11	Investments - publicly traded securities		21,790,680.	11	25,483,433.
	12	Investments - other securities. See Part IV, line 11			12	
	13	Investments - program-related. See Part IV, line 11			13	
	14	Intangible assets			14	
	15	Other assets. See Part IV, line 11		85,305.	15	139,390.
	16	**Total assets.** Add lines 1 through 15 (must equal line 34)		27,440,468.	16	29,950,244.
Liabilities	17	Accounts payable and accrued expenses		1,270,072.	17	991,662.
	18	Grants payable			18	
	19	Deferred revenue		3,757,479.	19	10,063,190.
	20	Tax-exempt bond liabilities			20	
	21	Escrow or custodial account liability. Complete Part IV of Schedule D			21	
	22	Payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L			22	
	23	Secured mortgages and notes payable to unrelated third parties			23	
	24	Unsecured notes and loans payable to unrelated third parties			24	
	25	Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D		2,897,219.	25	3,203,434.
	26	**Total liabilities.** Add lines 17 through 25		7,924,770.	26	14,258,286.
Net Assets or Fund Balances		**Organizations that follow SFAS 117, check here ▶ [X] and complete lines 27 through 29, and lines 33 and 34.**				
	27	Unrestricted net assets		19,154,343.	27	15,691,958.
	28	Temporarily restricted net assets		361,355.	28	0.
	29	Permanently restricted net assets			29	
		Organizations that do not follow SFAS 117, check here ▶ [ ] and complete lines 30 through 34.				
	30	Capital stock or trust principal, or current funds			30	
	31	Paid-in or capital surplus, or land, building, or equipment fund			31	
	32	Retained earnings, endowment, accumulated income, or other funds			32	
	33	Total net assets or fund balances		19,515,698.	33	15,691,958.
	34	Total liabilities and net assets/fund balances		27,440,468.	34	29,950,244.

Part XI Reconciliation of Net Assets

Check if Schedule O contains a response to any question in this Part XI [X]

1	Total revenue (must equal Part VIII, column (A), line 12)	1	16,063,899.
2	Total expenses (must equal Part IX, column (A), line 25)	2	17,783,802.
3	Revenue less expenses. Subtract line 2 from line 1	3	-1,719,903.
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	19,515,698.
5	Other changes in net assets or fund balances (explain in Schedule O)	5	-2,103,837.
6	Net assets or fund balances at end of year. Combine lines 3, 4, and 5 (must equal Part X, line 33, column (B))	6	15,691,958.

Part XII Financial Statements and Reporting

Check if Schedule O contains a response to any question in this Part XII [ ]

			Yes	No
1	Accounting method used to prepare the Form 990: [ ] Cash [X] Accrual [ ] Other If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.			
2a	Were the organization's financial statements compiled or reviewed by an independent accountant?	2a		X
b	Were the organization's financial statements audited by an independent accountant?	2b	X	
c	If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?	2c	X	
	If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.			
d	If "Yes" to line 2a or 2b, check a box below to indicate whether the financial statements for the year were issued on a separate basis, consolidated basis, or both: [X] Separate basis [ ] Consolidated basis [ ] Both consolidated and separate basis			
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	3a		X
b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits.	3b		

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**

OMB No 1545-0047

2011

Name of the organization

NATIONAL MINING ASSOCIATION

Employer identification number

52-1916480

Organization type (check one):

Filers of:	**Section:**
Form 990 or 990-EZ	[X] 501(c)(6) (enter number) organization
	[ ] 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	[ ] 527 political organization
Form 990-PF	[ ] 501(c)(3) exempt private foundation
	[ ] 4947(a)(1) nonexempt charitable trust treated as a private foundation
	[ ] 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

[X] For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

[ ] For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

[ ] For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

[ ] For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of $5,000 or more during the year. ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization	Employer identification number
NATIONAL MINING ASSOCIATION	52-1916480

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1		$ 15,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)

SCHEDULE C
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527

▶ **Complete if the organization is described below.** ▶ **Attach to Form 990 or Form 990-EZ.**
▶ **See separate instructions.**

OMB No 1545-0047

2011

Open to Public Inspection

If the organization answered "Yes" to Form 990, Part IV, line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations. Complete Parts I-A and B. Do not complete Part I-C
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.
- Section 527 organizations: Complete Part I-A only.

If the organization answered "Yes" to Form 990, Part IV, line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.

If the organization answered "Yes" to Form 990, Part IV, line 5 (Proxy Tax), or Form 990-EZ, Part V, line 35c (Proxy Tax), then

- Section 501(c)(4), (5), or (6) organizations: Complete Part III.

Name of organization	Employer identification number
NATIONAL MINING ASSOCIATION	52-1916480

Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

1 Provide a description of the organization's direct and indirect political campaign activities in Part IV.
2 Political expenditures ▶ $
3 Volunteer hours

Part I-B Complete if the organization is exempt under section 501(c)(3).

1 Enter the amount of any excise tax incurred by the organization under section 4955 ▶ $
2 Enter the amount of any excise tax incurred by organization managers under section 4955 ▶ $
3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year? ☐ Yes ☐ No
4a Was a correction made? ☐ Yes ☐ No
b If "Yes," describe in Part IV.

Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).

1 Enter the amount directly expended by the filing organization for section 527 exempt function activities ▶ $ 0.
2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities ▶ $ 0.
3 Total exempt function expenditures. Add lines 1 and 2. Enter here and on Form 1120-POL, line 17b ▶ $
4 Did the filing organization file **Form 1120-POL** for this year? ☐ Yes ☐ No
5 Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments. For each organization listed, enter the amount paid from the filing organization's funds. Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC). If additional space is needed, provide information in Part IV.

(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds. If none, enter -0-.	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization. If none, enter -0-.
COALPAC	WASHINGTON, DC 20001	52-1322749	0.	12,612.
MINEPAC	WASHINGTON, DC 20001	52-1939409	0.	14,038.

For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.
LHA

SEE PART IV FOR CONTINUATION

132041
01-27-12

Part II-A **Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).**

A Check ▶ ☐ if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures).

B Check ▶ ☐ if the filing organization checked box A and "limited control" provisions apply.

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)	(a) Filing organization's totals	(b) Affiliated group totals
1a Total lobbying expenditures to influence public opinion (grass roots lobbying)		
b Total lobbying expenditures to influence a legislative body (direct lobbying)		
c Total lobbying expenditures (add lines 1a and 1b)		
d Other exempt purpose expenditures		
e Total exempt purpose expenditures (add lines 1c and 1d)		
f Lobbying nontaxable amount. Enter the amount from the following table in both columns		

If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:
Not over $500,000	20% of the amount on line 1e.
Over $500,000 but not over $1,000,000	$100,000 plus 15% of the excess over $500,000.
Over $1,000,000 but not over $1,500,000	$175,000 plus 10% of the excess over $1,000,000.
Over $1,500,000 but not over $17,000,000	$225,000 plus 5% of the excess over $1,500,000.
Over $17,000,000	$1,000,000.

	(a) Filing organization's totals	(b) Affiliated group totals
g Grassroots nontaxable amount (enter 25% of line 1f)		
h Subtract line 1g from line 1a. If zero or less, enter -0-		
i Subtract line 1f from line 1c. If zero or less, enter -0-		

j If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year? ☐ Yes ☐ No

4-Year Averaging Period Under Section 501(h)

(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 2a through 2f on page 4.)

Lobbying Expenditures During 4-Year Averaging Period

Calendar year (or fiscal year beginning in)	(a) 2008	(b) 2009	(c) 2010	(d) 2011	(e) Total
2a Lobbying nontaxable amount					
b Lobbying ceiling amount (150% of line 2a, column(e))					
c Total lobbying expenditures					
d Grassroots nontaxable amount					
e Grassroots ceiling amount (150% of line 2d, column (e))					
f Grassroots lobbying expenditures					

Part II-B **Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).**

For each "Yes" response to lines 1a through 1i below, provide in Part IV a detailed description of the lobbying activity.	(a) Yes	(a) No	(b) Amount
1 During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of:			
a Volunteers?			
b Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?			
c Media advertisements?			
d Mailings to members, legislators, or the public?			
e Publications, or published or broadcast statements?			
f Grants to other organizations for lobbying purposes?			
g Direct contact with legislators, their staffs, government officials, or a legislative body?			
h Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?			
i Other activities?			
j Total. Add lines 1c through 1i			
2a Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?			
b If "Yes," enter the amount of any tax incurred under section 4912			
c If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
d If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

Part III-A **Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).**

			Yes	No
1	Were substantially all (90% or more) dues received nondeductible by members?	1		X
2	Did the organization make only in-house lobbying expenditures of $2,000 or less?	2		X
3	Did the organization agree to carry over lobbying and political expenditures from the prior year?	3	X	

Part III-B **Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No" OR (b) Part III-A, line 3, is answered "Yes."**

1	Dues, assessments and similar amounts from members	1	14,461,787.
2	Section 162(e) nondeductible lobbying and political expenditures **(do not include amounts of political expenses for which the section 527(f) tax was paid).**		
a	Current year	2a	4,728,784.
b	Carryover from last year	2b	-207,507.
c	Total	2c	4,521,277.
3	Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	3	4,772,390.
4	If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4	
5	Taxable amount of lobbying and political expenditures (see instructions)	5	-251,113.

Part IV **Supplemental Information**

Complete this part to provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A; and Part II-B, line 1. Also, complete this part for any additional information.

PART I-C CONTINUATION FOR INCOMPLETE NAME/ADDRESS INFORMATION:

COALPAC

101 CONSTITUTION AVE NW STE 500E WASHINGTON, DC 20001

MINEPAC

101 CONSTITUTION AVE NW STE 500E WASHINGTON, DC 20001

SCHEDULE D (Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

▶ Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.
▶ Attach to Form 990. ▶ See separate instructions.

OMB No. 1545-0047
2011
Open to Public Inspection

Name of the organization: NATIONAL MINING ASSOCIATION

Employer identification number: 52-1916480

Part I **Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.** Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

		(a) Donor advised funds	(b) Funds and other accounts
1	Total number at end of year		
2	Aggregate contributions to (during year)		
3	Aggregate grants from (during year)		
4	Aggregate value at end of year		

5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? ☐ Yes ☐ No

6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? ☐ Yes ☐ No

Part II **Conservation Easements.** Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply).

- ☐ Preservation of land for public use (e.g., recreation or education)
- ☐ Protection of natural habitat
- ☐ Preservation of open space
- ☐ Preservation of an historically important land area
- ☐ Preservation of a certified historic structure

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.

			Held at the End of the Tax Year
a	Total number of conservation easements	2a	
b	Total acreage restricted by conservation easements	2b	
c	Number of conservation easements on a certified historic structure included in (a)	2c	
d	Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register	2d	

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶ ______

4 Number of states where property subject to conservation easement is located ▶ ______

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year ▶ ______

7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ $ ______

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9 In Part XIV, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

Part III **Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.** Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIV, the text of the footnote to its financial statements that describes these items.

b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:

(i) Revenues included in Form 990, Part VIII, line 1 ▶ $ ______

(ii) Assets included in Form 990, Part X ▶ $ ______

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items:

a Revenues included in Form 990, Part VIII, line 1 ▶ $ ______

b Assets included in Form 990, Part X ▶ $ ______

Part III **Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets** *(continued)*

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):

a ☐ Public exhibition
b ☐ Scholarly research
c ☐ Preservation for future generations
d ☐ Loan or exchange programs
e ☐ Other ____________

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIV.

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? ☐ Yes ☐ No

Part IV **Escrow and Custodial Arrangements.** Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIV and complete the following table:

		Amount
c Beginning balance	1c	
d Additions during the year	1d	
e Distributions during the year	1e	
f Ending balance	1f	

2a Did the organization include an amount on Form 990, Part X, line 21? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIV.

Part V **Endowment Funds.** Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance					
b Contributions					
c Net investment earnings, gains, and losses					
d Grants or scholarships					
e Other expenditures for facilities and programs					
f Administrative expenses					
g End of year balance					

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:

a Board designated or quasi-endowment ▶ ________%

b Permanent endowment ▶ ________%

c Temporarily restricted endowment ▶ ________%

The percentages in lines 2a, 2b, and 2c should equal 100%.

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

		Yes	No
(i) unrelated organizations	3a(i)		
(ii) related organizations	3a(ii)		
b If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?	3b		

4 Describe in Part XIV the intended uses of the organization's endowment funds.

Part VI **Land, Buildings, and Equipment.** See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land				
b Buildings				
c Leasehold improvements		41,600.	39,177.	2,423.
d Equipment		502,967.	468,758.	34,209.
e Other		216,231.	54,416.	161,815.
Total. Add lines 1a through 1e. *(Column (d) must equal Form 990, Part X, column (B), line 10(c).)* ▶				198,447.

Part VII Investments - Other Securities. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests		
(3) Other		
(A)		
(B)		
(C)		
(D)		
(E)		
(F)		
(G)		
(H)		
(I)		
Total. (Col (b) must equal Form 990, Part X, col (B) line 12.) ▶		

Part VIII Investments - Program Related. See Form 990, Part X, line 13.

(a) Description of investment type	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
(10)		
Total. (Col (b) must equal Form 990, Part X, col (B) line 13.) ▶		

Part IX Other Assets. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
(10)	
Total. *(Column (b) must equal Form 990, Part X, col (B) line 15.)* ▶	

Part X Other Liabilities. See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Book value
(1) Federal income taxes	
(2) DUE TO RELATED PARTY	81,768.
(3) CAPITAL LEASE	52,846.
(4) ACCRUED PENSION OBLIGATION	2,972,718.
(5) DEFERRED COMPENSATION LIABILITY	96,102.
(6)	
(7)	
(8)	
(9)	
(10)	
(11)	
Total. *(Column (b) must equal Form 990, Part X, col (B) line 25.)* ▶	3,203,434.

2. FIN 48 (ASC 740) Footnote. In Part XIV, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740)

Part XI Reconciliation of Change in Net Assets from Form 990 to Audited Financial Statements

1	Total revenue (Form 990, Part VIII, column (A), line 12)	1	16,063,899.
2	Total expenses (Form 990, Part IX, column (A), line 25)	2	17,783,802.
3	Excess or (deficit) for the year. Subtract line 2 from line 1	3	-1,719,903.
4	Net unrealized gains (losses) on investments	4	-924,402.
5	Donated services and use of facilities	5	
6	Investment expenses	6	
7	Prior period adjustments	7	
8	Other (Describe in Part XIV.)	8	-1,179,435.
9	Total adjustments (net). Add lines 4 through 8	9	-2,103,837.
10	Excess or (deficit) for the year per audited financial statements. Combine lines 3 and 9	10	-3,823,740.

Part XII Reconciliation of Revenue per Audited Financial Statements With Revenue per Return

1	Total revenue, gains, and other support per audited financial statements			1	14,784,708.
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:				
a	Net unrealized gains on investments	2a	-924,402.		
b	Donated services and use of facilities	2b			
c	Recoveries of prior year grants	2c			
d	Other (Describe in Part XIV.)	2d			
e	Add lines **2a** through **2d**			2e	-924,402.
3	Subtract line **2e** from line **1**			3	15,709,110.
4	Amounts included on Form 990, Part VIII, line 12, but not on line **1**:				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a			
b	Other (Describe in Part XIV.)	4b	354,789.		
c	Add lines **4a** and **4b**			4c	354,789.
5	Total revenue. Add lines **3** and **4c.** *(This must equal Form 990, Part I, line 12.)*			5	16,063,899.

Part XIII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return

1	Total expenses and losses per audited financial statements			1	16,972,288.
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:				
a	Donated services and use of facilities	2a			
b	Prior year adjustments	2b			
c	Other losses	2c			
d	Other (Describe in Part XIV.)	2d	-818,080.		
e	Add lines **2a** through **2d**			2e	-818,080.
3	Subtract line **2e** from line **1**			3	17,790,368.
4	Amounts included on Form 990, Part IX, line 25, but not on line **1**:				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a			
b	Other (Describe in Part XIV.)	4b	-6,566.		
c	Add lines **4a** and **4b**			4c	-6,566.
5	Total expenses. Add lines **3** and **4c.** *(This must equal Form 990, Part I, line 18.)*			5	17,783,802.

Part XIV Supplemental Information

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, line 8; Part XII, lines 2d and 4b; and Part XIII, lines 2d and 4b. Also complete this part to provide any additional information.

PART X, LINE 2: MANAGEMENT HAS CONCLUDED THAT THE ASSOCIATION HAS MAINTAINED ITS EXEMPT STATUS; ALL REVENUE WITHIN THE STATEMENT OF ACTIVITIES HAS BEEN PROPERLY CLASSIFIED FOR THE YEARS ENDED DECEMBER 31, 2011 AND 2010 AND DOES NOT HAVE ANY UNCERTAIN TAX POSITIONS THROUGH DECEMBER 31, 2011.

PART XI, LINE 8 - OTHER ADJUSTMENTS:

FAS 158 ADJUSTMENT -818,080.

Part XIV Supplemental Information *(continued)*

REFUND OF ABANDONED MINE LAND INITIATIVE	-361,355.
TOTAL TO SCHEDULE D, PART XI, LINE 8	-1,179,435.

PART XII, LINE 4B - OTHER ADJUSTMENTS:

RECLASS OF GAIN (LOSS) ON SALE OF ASSETS	-6,566.
REFUND OF ABANDONED MINE LAND INITIATIVE	361,355.
TOTAL TO SCHEDULE D, PART XII, LINE 4B	354,789.

PART XIII, LINE 2D - OTHER ADJUSTMENTS:

FAS 158 ADJUSTMENT	-818,080.

PART XIII, LINE 4B - OTHER ADJUSTMENTS:

RECLASS OF GAIN (LOSS) ON SALE OF ASSETS	-6,566.

SCHEDULE J (Form 990)	Compensation Information	OMB No 1545-0047
Department of the Treasury Internal Revenue Service	For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees ▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 23. ▶ Attach to Form 990. ▶ See separate instructions.	**2011** Open to Public Inspection

Name of the organization	Employer identification number
NATIONAL MINING ASSOCIATION	52-1916480

Part I Questions Regarding Compensation

			Yes	No
1a	Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items. ☐ First-class or charter travel ☐ Travel for companions ☐ Tax indemnification and gross-up payments ☐ Discretionary spending account ☐ Housing allowance or residence for personal use ☐ Payments for business use of personal residence ☒ Health or social club dues or initiation fees ☐ Personal services (e.g., maid, chauffeur, chef)			
b	If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain	1b		X
2	Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all officers, directors, trustees, and the CEO/Executive Director, regarding the items checked in line 1a?	2	X	
3	Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director. Explain in Part III. ☐ Compensation committee ☒ Independent compensation consultant ☐ Form 990 of other organizations ☒ Written employment contract ☒ Compensation survey or study ☒ Approval by the board or compensation committee			
4	During the year, did any person listed in Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization:			
a	Receive a severance payment or change-of-control payment?	4a		X
b	Participate in, or receive payment from, a supplemental nonqualified retirement plan?	4b		X
c	Participate in, or receive payment from, an equity-based compensation arrangement?	4c		X
	If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.			
	Only section 501(c)(3) and 501(c)(4) organizations must complete lines 5-9.			
5	For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:			
a	The organization?	5a		
b	Any related organization?	5b		
	If "Yes" to line 5a or 5b, describe in Part III.			
6	For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:			
a	The organization?	6a		
b	Any related organization?	6b		
	If "Yes" to line 6a or 6b, describe in Part III.			
7	For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III	7		
8	Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III	8		
9	If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?	9		

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SCHEDULE O (Form 990 or 990-EZ)

Department of the Treasury Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on Form 990 or 990-EZ or to provide any additional information.

▶ Attach to Form 990 or 990-EZ.

OMB No. 1545-0047

2011

Open to Public Inspection

Name of the organization: NATIONAL MINING ASSOCIATION

Employer identification number: 52-1916480

FORM 990, PART I, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:

MINERAL INDUSTRY ON PUBLIC POLICY ISSUES BEFORE CONGRESS, THE EXECUTIVE BRANCH, AND THE JUDICIARY AND PREPARE AND DISTRIBUTE INFORMATION TO CARRY OUT THESE PURPOSES.

FORM 990, PART III, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:

POLICY PROCESS ON THE MOST SIGNIFICANT AND TIMELY ISSUES THAT IMPACT OUR ABILITY TO LOCATE, PERMIT, MINE, PROCESS, TRANSPORT, AND UTILIZE THE NATION'S VAST COAL AND MINERAL RESOURCES.

NMA SERVICES ITS MEMBERSHIP BY:

-PROMOTING THE PRODUCTION AND USE OF COAL AND MINERAL RESOURCES PRODUCED BY THE US MINING INDUSTRY;

-ESTABLISHING A STRONG POLITICAL PRESENCE IN THE NATION'S CAPITAL ON BEHALF OF NMA'S MEMBERSHIP;

-SERVING AS THE INFORMATION CENTER FOR AND A SINGLE VOICE OF THE US MINING INDUSTRY;

-AND ADDRESSING THE CURRENT AND FUTURE NEEDS OF THE INDUSTRY, MINING EQUIPMENT MANUFACTURERS, AND SUPPORT SERVICES MEMBERS OF THE ASSOCIATION.

FORM 990, PART III, LINE 4D, OTHER PROGRAM SERVICES:

DEVELOP GENERAL INDUSTRY AND ISSUE SPECIFIC ADVERTISING CAMPAIGNS

FORM 990, PART VI, SECTION A, LINE 6: ANY ORGANIZATION, CORPORATION, PARTNERSHIP, COMPANY, OR INDIVIDUAL ENGAGED IN OR CLOSELY ASSOCIATED WITH

Name of the organization: NATIONAL MINING ASSOCIATION

Employer identification number: 52-1916480

MINING ACTIVITIES SHALL BE ELIGIBLE FOR MEMBERSHIP. MEMBERSHIP IN THE ASSOCIATION CONSISTS OF THE FOLLOWING CLASSES:

PRODUCER MEMBERSHIP - ANY ORGANIZATION CORPORATION, PARTNERSHIP, COMPANY, OR INDIVIDUAL ENGAGED IN THE MINING AND PRODUCTION OR COAL OR MINERALS. PRODUCERS MEMBERS ARE DIVIDED INTO TWO SEPARATE CLASSES (I) COAL PRODUCER MEMBERS AND (II) MINERAL PRODUCER MEMBERS.

MANUFACTURERS AND SERVICES MEMBERSHIP - ANY ORGANIZATION, CORPORATION PARTNERSHIP, COMPANY, OR INDIVIDUAL ENGAGED IN THE MANUFACTURE OF MINING MACHINERY, EQUIPMENT, AND SUPPLIES. MINING RELATED SERVICES OR CLOSELY ASSOCIATED WITH AND INTERESTED IN PROMOTING THE GENERAL WELFARE OF THE MINING INDUSTRY, BUT NOT ENGAGED IN MINING.

FORM 990, PART VI, SECTION A, LINE 7A: THE BOARD OF DIRECTORS SHALL CONSIST OF DIRECTORS SELECTED BY, AND REPRESENTING AS CLASSES, COAL PRODUCER MEMBERS, MINERAL PRODUCER MEMBERS, MANUFACTURERS AND SERVICES MEMBERS. IN ADDITION, THE PRESIDENT OF THE ASSOCIATION SERVES AS AN EX OFFICIO MEMBER OF THE BOARD OF DIRECTORS. DIRECTORS ARE ELECTED BY A MAJORITY VOTE AT THE ANNUAL MEETING OF THE ASSOCIATION.

FORM 990, PART VI, SECTION B, LINE 11: FOR 990 IS PROVIDED TO THE BOARD OF DIRECTORS PRIOR TO FILING. FORM 990 IS REVIEWED BY SENIOR MANAGEMENT AND GENERAL COUNSEL AND ANY CHANGES ARE COMMUNICATED TO THE OUTSIDE ACCOUNTANTS PRIOR TO FILING THE RETURN.

FORM 990, PART VI, SECTION B, LINE 15: THE ORGANIZATION UTILIZES A COMPENSATION CONSULTANT TO PERFORM A BI-ANNUAL COMPENSATION SURVEY OF

Name of the organization: NATIONAL MINING ASSOCIATION

Employer identification number: 52-1916480

COMPARABLE TRADE ASSOCIATIONS. NATIONAL MINING ASSOCIATION'S GOAL WHEN SETTING SALARIES FOR ALL EMPLOYEES IS TO HAVE THEIR COMPENSATION AT THE 75TH PERCENTILE OF COMPARABLE TRADE ASSOCIATIONS USING THE RESULTS FROM THIS SURVEY. FURTHERMORE, THE PRESIDENT AND CEO'S SALARY IS NEGOTIATED VIA CONTRACT WITH THE FOLLOWING BOARD MEMBERS: CHAIRMAN, VICE CHAIRMAN, AND CHAIRMAN OF THE AUDIT AND FINANCE COMMITTEE.

FORM 990, PART VI, SECTION C, LINE 19: THE ORGANIZATION DOES NOT GENERALLY MAKE ITS GOVERNING DOCUMENTS AND FINANCIAL STATEMENTS AVAILABLE TO THE PUBLIC.

FORM 990, PART XI, LINE 5, CHANGES IN NET ASSETS:

NET UNREALIZED LOSSES ON INVESTMENTS:	-924,402.
FAS 158 ADJUSTMENT	-818,080.
REFUND OF ABANDONED MINE LAND INITIATIVE	-361,355.
TOTAL TO FORM 990, PART XI, LINE 5	-2,103,837.

FORM 990, PART VII

HOURS PER WEEK DEVOTED TO RELATED ORGANIZATIONS

HAROLD QUINN	2.0 HRS PER WEEK	(AMERICAN COAL FOUNDATION)
ROGER ROBERTS	2.0 HRS PER WEEK	(AMERICAN COAL FOUNDATION)

SCHEDULE R
(Form 990)
Department of the Treasury
Internal Revenue Service

Related Organizations and Unrelated Partnerships

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 33, 34, 35, 36, or 37.
▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047
2011
Open to Public Inspection

Name of the organization: NATIONAL MINING ASSOCIATION

Employer identification number: 52-1916480

Part I **Identification of Disregarded Entities** (Complete if the organization answered "Yes" to Form 990, Part IV, line 33.)

(a) Name, address, and EIN of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity

Part II **Identification of Related Tax-Exempt Organizations** (Complete if the organization answered "Yes" to Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity? Yes	No
AMERICAN COAL FOUNDATION - 52-1236554 101 CONSTITUTION AVE, STE 525E WASHINGTON, DC 20001	EDUCATIONAL FOUNDATION	DISTRICT OF COLUMBIA	501(C)(3)	11-II	NMA		X
MINEPAC - 52-1939409 101 CONSTITUTION AVE, STE 525E WASHINGTON, DC 20001	POLITICAL ACTION COMMITTEE	DISTRICT OF COLUMBIA	527		N/A		X
COALPAC - 52-1322749 101 CONSTITUTION AVE, STE 525E WASHINGTON, DC 20001	POLITICAL ACTION COMMITTEE	DISTRICT OF COLUMBIA	527		N/A		X

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule R (Form 990) 2011

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Part III **Identification of Related Organizations Taxable as a Partnership** (Complete if the organization answered "Yes" to Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income (related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportionate allocations? Yes	(h) No	(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner? Yes	(j) No	(k) Percentage ownership

Part IV **Identification of Related Organizations Taxable as a Corporation or Trust** (Complete if the organization answered "Yes" to Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership

Part V Transactions With Related Organizations (Complete if the organization answered "Yes" to Form 990, Part IV, line 34, 35, 35a, or 36.)

Note. Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.

			Yes	No
1	During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?			
a	Receipt of **(i)** interest **(ii)** annuities **(iii)** royalties or **(iv)** rent from a controlled entity	1a		X
b	Gift, grant, or capital contribution to related organization(s)	1b		X
c	Gift, grant, or capital contribution from related organization(s)	1c		X
d	Loans or loan guarantees to or for related organization(s)	1d		X
e	Loans or loan guarantees by related organization(s)	1e		X
f	Sale of assets to related organization(s)	1f		X
g	Purchase of assets from related organization(s)	1g		X
h	Exchange of assets with related organization(s)	1h		X
i	Lease of facilities, equipment, or other assets to related organization(s)	1i		X
j	Lease of facilities, equipment, or other assets from related organization(s)	1j		X
k	Performance of services or membership or fundraising solicitations for related organization(s)	1k		X
l	Performance of services or membership or fundraising solicitations by related organization(s)	1l		X
m	Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)	1m	X	
n	Sharing of paid employees with related organization(s)	1n	X	
o	Reimbursement paid to related organization(s) for expenses	1o	X	
p	Reimbursement paid by related organization(s) for expenses	1p		X
q	Other transfer of cash or property to related organization(s)	1q		X
r	Other transfer of cash or property from related organization(s)	1r		X

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds

	(a) Name of other organization	(b) Transaction type (a-r)	(c) Amount involved	(d) Method of determining amount involved
(1)				
(2)				
(3)				
(4)				
(5)				
(6)				

Part VI Unrelated Organizations Taxable as a Partnership (Complete if the organization answered "Yes" to Form 990, Part IV, line 37.)

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

(a) Name, address, and EIN of entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Predominant income (related, unrelated, excluded from tax under section 512-514)	(e) Are all partners sec. 501(c)(3) orgs.? Yes	(e) No	(f) Share of total income	(g) Share of end-of-year assets	(h) Dispropor-tionate allocations? Yes	(h) No	(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner? Yes	(j) No	(k) Percentage ownership

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Part VII **Supplemental Information**

Complete this part to provide additional information for responses to questions on Schedule R (see instructions).

Form **990** | **Return of Organization Exempt From Income Tax** | OMB No. 1545-0047

2010

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

▶ The organization may have to use a copy of this return to satisfy state reporting requirements.

Department of the Treasury
Internal Revenue Service

Open to Public Inspection

A For the 2010 calendar year, or tax year beginning and ending

B Check if applicable: Address change, Name change, Initial return, Terminated, Amended return, Application pending

C Name of organization: NATIONAL MINING ASSOCIATION

Doing Business As

Number and street (or P.O. box if mail is not delivered to street address): 101 CONSTITUTION AVENUE NW — Room/suite: 500E

City or town, state or country, and ZIP + 4: WASHINGTON, DC 20001-2133

D Employer identification number: 52-1916480

E Telephone number: 202-463-2600

G Gross receipts $ 17,876,199.

F Name and address of principal officer: HAROLD QUINN
SAME AS C ABOVE

H(a) Is this a group return for affiliates? Yes [ ] No [X]

H(b) Are all affiliates included? Yes [ ] No [ ]
If "No," attach a list. (see instructions)

I Tax-exempt status: [ ] 501(c)(3) [X] 501(c) (6) ◀ (insert no.) [ ] 4947(a)(1) or [ ] 527

J Website: ▶ WWW.NMA.ORG

H(c) Group exemption number ▶

K Form of organization: [X] Corporation [ ] Trust [ ] Association [ ] Other ▶

L Year of formation: 1995 **M** State of legal domicile: DE

Part I Summary

Activities & Governance

1 Briefly describe the organization's mission or most significant activities: TO PROMOTE THE GENERAL WELFARE OF THE PRODUCERS OF COAL AND MINERALS, REPRESENT THE COAL AND

2 Check this box ▶ [ ] if the organization discontinued its operations or disposed of more than 25% of its net assets.

3	Number of voting members of the governing body (Part VI, line 1a)	3	73
4	Number of independent voting members of the governing body (Part VI, line 1b)	4	73
5	Total number of individuals employed in calendar year 2010 (Part V, line 2a)	5	38
6	Total number of volunteers (estimate if necessary)	6	0
7a	Total unrelated business revenue from Part VIII, column (C), line 12	7a	0.
b	Net unrelated business taxable income from Form 990-T, line 34	7b	0.

			Prior Year	Current Year
Revenue	8	Contributions and grants (Part VIII, line 1h)	1,467,473.	1,493,748.
	9	Program service revenue (Part VIII, line 2g)	13,119,897.	14,247,710.
	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	522,521.	705,807.
	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	15,589.	111,031.
	12	Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	15,125,480.	16,558,296.
Expenses	13	Grants and similar amounts paid (Part IX, column (A), lines 1-3)	0.	0.
	14	Benefits paid to or for members (Part IX, column (A), line 4)	0.	0.
	15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	7,867,052.	7,142,460.
	16a	Professional fundraising fees (Part IX, column (A), line 11e)	0.	0.
	b	Total fundraising expenses (Part IX, column (D), line 25) ▶ 0.		
	17	Other expenses (Part IX, column (A), lines 11a-11d, 11f-24f)	8,098,044.	9,980,795.
	18	Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)	15,965,096.	17,123,255.
	19	Revenue less expenses. Subtract line 18 from line 12	-839,616.	-564,959.

			Beginning of Current Year	End of Year
Net Assets or Fund Balances	20	Total assets (Part X, line 16)	23,459,850.	27,440,468.
	21	Total liabilities (Part X, line 26)	5,381,539.	7,924,770.
	22	Net assets or fund balances. Subtract line 21 from line 20	18,078,311.	19,515,698.

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here

▶ Signature of officer — Date

▶ ROGER ROBERTS, TREASURER/SVP FINANCE
Type or print name and title

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check [ ] if self-employed	PTIN

Firm's name ▶ JOHNSON LAMBERT & CO. LLP — Firm's EIN ▶

Firm's address ▶ 700 SPRING FOREST ROAD, STE 115
RALEIGH, NC 27609 — Phone no. 919-719-6400

May the IRS discuss this return with the preparer shown above? (see instructions) [X] Yes [ ] No

SEE SCHEDULE O FOR ORGANIZATION MISSION STATEMENT CONTINUATION

Part III Statement of Program Service Accomplishments

Check if Schedule O contains a response to any question in this Part III [X]

1 Briefly describe the organization's mission:
NMA'S MISSION IS TO CREATE AND MAINTAIN A BROAD BASE OF POLITICAL SUPPORT FOR THE MINING INDUSTRY AND TO HELP THE NATION REALIZE THE ECONOMIC AND NATIONAL SECURITY BENEFITS OF AMERICA'S DOMESTIC MINING CAPABILITY. OUR OBJECTIVE IS TO ENGAGE IN AND INFLUENCE THE PUBLIC

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? [ ] Yes [X] No
If "Yes," describe these new services on Schedule O.

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? [ ] Yes [X] No
If "Yes," describe these changes on Schedule O.

4 Describe the exempt purpose achievements for each of the organization's three largest program services by expenses. Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code:) (Expenses $ including grants of $) (Revenue $)
PRESENTED THE INTEREST OF MINING BEFORE CONGRESS, THE ADMINISTRATION, FEDERAL AGENCIES, THE JUDICIARY, AND THE MEDIA.

4b (Code:) (Expenses $ including grants of $) (Revenue $)
HELD SPECIALIZED SEMINARS, WORKSHOPS, MINEXPO INTERNATIONAL, AND OTHER SIGNIFICANT MEETINGS AND EVENTS.

4c (Code:) (Expenses $ including grants of $) (Revenue $)
THE FEDERATION FOR AMERICAN COAL, ENERGY AND SECURITY (FACES OF COAL) IS AN ALLIANCE OF PEOPLE FROM ALL WALKS OF LIFE WHO ARE JOINING FORCES TO EDUCATE LAWMAKERS AND THE GENERAL PUBLIC ABOUT THE IMPORTANCE OF COAL AND COAL MINING TO LOCAL AND NATIONAL ECONOMIES AND TO THE NATION'S ENERGY SECURITY. IN ADDITION TO KEEPING TENS OF THOUSANDS OF PEOPLE EMPLOYED IN GOOD-PAYING JOBS, COAL IS THE LIFEBLOOD OF THE U.S. DOMESTIC ENERGY SUPPLY, GENERATING HALF THE ELECTRICITY CONSUMED IN THE UNITED STATES TODAY.

4d Other program services. (Describe in Schedule O.)
(Expenses $ including grants of $) (Revenue $)

4e **Total program service expenses** ▶

Part IV Checklist of Required Schedules

			Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? *If "Yes," complete Schedule A*	1		X
2	Is the organization required to complete Schedule B, Schedule of Contributors?	2	X	
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? *If "Yes," complete Schedule C, Part I*	3	X	
4	**Section 501(c)(3) organizations.** Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? *If "Yes," complete Schedule C, Part II*	4		
5	Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? *If "Yes," complete Schedule C, Part III*	5	X	
6	Did the organization maintain any donor advised funds or any similar funds or accounts where donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? *If "Yes," complete Schedule D, Part I*	6		X
7	Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? *If "Yes," complete Schedule D, Part II*	7		X
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? *If "Yes," complete Schedule D, Part III*	8		X
9	Did the organization report an amount in Part X, line 21; serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? *If "Yes," complete Schedule D, Part IV*	9		X
10	Did the organization, directly or through a related organization, hold assets in term, permanent, or quasi-endowments? *If "Yes," complete Schedule D, Part V*	10		X
11	If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.			
a	Did the organization report an amount for land, buildings, and equipment in Part X, line 10? *If "Yes," complete Schedule D, Part VI*	11a	X	
b	Did the organization report an amount for investments - other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VII*	11b		X
c	Did the organization report an amount for investments - program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VIII*	11c		X
d	Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part IX*	11d		X
e	Did the organization report an amount for other liabilities in Part X, line 25? *If "Yes," complete Schedule D, Part X*	11e	X	
f	Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? *If "Yes," complete Schedule D, Part X*	11f	X	
12a	Did the organization obtain separate, independent audited financial statements for the tax year? *If "Yes," complete Schedule D, Parts XI, XII, and XIII*	12a	X	
b	Was the organization included in consolidated, independent audited financial statements for the tax year? *If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI, XII, and XIII is optional*	12b		X
13	Is the organization a school described in section 170(b)(1)(A)(ii)? *If "Yes," complete Schedule E*	13		X
14a	Did the organization maintain an office, employees, or agents outside of the United States?	14a		X
b	Did the organization have aggregate revenues or expenses of more than $10,000 from grantmaking, fundraising, business, and program service activities outside the United States? *If "Yes," complete Schedule F, Parts I and IV*	14b		X
15	Did the organization report on Part IX, column (A), line 3, more than $5,000 of grants or assistance to any organization or entity located outside the United States? *If "Yes," complete Schedule F, Parts II and IV*	15		X
16	Did the organization report on Part IX, column (A), line 3, more than $5,000 of aggregate grants or assistance to individuals located outside the United States? *If "Yes," complete Schedule F, Parts III and IV*	16		X
17	Did the organization report a total of more than $15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? *If "Yes," complete Schedule G, Part I*	17		X
18	Did the organization report more than $15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? *If "Yes," complete Schedule G, Part II*	18		X
19	Did the organization report more than $15,000 of gross income from gaming activities on Part VIII, line 9a? *If "Yes," complete Schedule G, Part III*	19		X
20a	Did the organization operate one or more hospitals? *If "Yes," complete Schedule H*	20a		X
b	If "Yes" to line 20a, did the organization attach its audited financial statements to this return? **Note.** Some Form 990 filers that operate one or more hospitals must attach audited financial statements (see instructions)	20b		

Part IV Checklist of Required Schedules *(continued)*

			Yes	No
21	Did the organization report more than $5,000 of grants and other assistance to governments and organizations in the United States on Part IX, column (A), line 1? *If "Yes," complete Schedule I, Parts I and II*	21		X
22	Did the organization report more than $5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? *If "Yes," complete Schedule I, Parts I and III*	22		X
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? *If "Yes," complete Schedule J*	23	X	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than $100,000 as of the last day of the year, that was issued after December 31, 2002? *If "Yes," answer lines 24b through 24d and complete Schedule K. If "No", go to line 25*	24a		X
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		
25a	**Section 501(c)(3) and 501(c)(4) organizations.** Did the organization engage in an excess benefit transaction with a disqualified person during the year? *If "Yes," complete Schedule L, Part I*	25a		
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? *If "Yes," complete Schedule L, Part I*	25b		
26	Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? *If "Yes," complete Schedule L, Part II*	26		X
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor, or a grant selection committee member, or to a person related to such an individual? *If "Yes," complete Schedule L, Part III*	27		X
28	Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):			
a	A current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV*	28a		X
b	A family member of a current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV*	28b		X
c	An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? *If "Yes," complete Schedule L, Part IV*	28c		X
29	Did the organization receive more than $25,000 in non-cash contributions? *If "Yes," complete Schedule M*	29		X
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? *If "Yes," complete Schedule M*	30		X
31	Did the organization liquidate, terminate, or dissolve and cease operations? *If "Yes," complete Schedule N, Part I*	31		X
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? *If "Yes," complete Schedule N, Part II*	32		X
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? *If "Yes," complete Schedule R, Part I*	33		X
34	Was the organization related to any tax-exempt or taxable entity? *If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1*	34	X	
35	Is any related organization a controlled entity within the meaning of section 512(b)(13)?	35		X
a	Did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? *If "Yes," complete Schedule R, Part V, line 2* ☐ **Yes** ☒ **No**			
36	**Section 501(c)(3) organizations.** Did the organization make any transfers to an exempt non-charitable related organization? *If "Yes," complete Schedule R, Part V, line 2*	36		
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? *If "Yes," complete Schedule R, Part VI*	37		X
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11 and 19? **Note.** All Form 990 filers are required to complete Schedule O	38	X	

Part V Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response to any question in this Part V ☐

				Yes	No
1a	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable	1a	49		
b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable	1b	0		
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?		1c	X	
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return	2a	38		
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns?		2b	X	
	Note. If the sum of lines 1a and 2a is greater than 250, you may be required to *e-file*. (see instructions)				
3a	Did the organization have unrelated business gross income of $1,000 or more during the year?		3a		X
b	If "Yes," has it filed a Form 990-T for this year? *If "No," provide an explanation in Schedule O*		3b		
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?		4a		X
b	If "Yes," enter the name of the foreign country: ▶				
	See instructions for filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts.				
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?		5a		X
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?		5b		X
c	If "Yes," to line 5a or 5b, did the organization file Form 8886-T?		5c		
6a	Does the organization have annual gross receipts that are normally greater than $100,000, and did the organization solicit any contributions that were not tax deductible?		6a	X	
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?		6b	X	
7	**Organizations that may receive deductible contributions under section 170(c).**				
a	Did the organization receive a payment in excess of $75 made partly as a contribution and partly for goods and services provided to the payor?		7a		
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?		7b		
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?		7c		
d	If "Yes," indicate the number of Forms 8282 filed during the year	7d			
e	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?		7e		
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		7f		
g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?		7g		
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?		7h		
8	**Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations.** Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?		8		
9	**Sponsoring organizations maintaining donor advised funds.**				
a	Did the organization make any taxable distributions under section 4966?		9a		
b	Did the organization make a distribution to a donor, donor advisor, or related person?		9b		
10	**Section 501(c)(7) organizations.** Enter:				
a	Initiation fees and capital contributions included on Part VIII, line 12	10a			
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10b			
11	**Section 501(c)(12) organizations.** Enter:				
a	Gross income from members or shareholders	11a			
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.)	11b			
12a	**Section 4947(a)(1) non-exempt charitable trusts.** Is the organization filing Form 990 in lieu of Form 1041?		12a		
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year	12b			
13	**Section 501(c)(29) qualified nonprofit health insurance issuers.**				
a	Is the organization licensed to issue qualified health plans in more than one state?		13a		
	Note. See the instructions for additional information the organization must report on Schedule O.				
b	Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans	13b			
c	Enter the amount of reserves on hand	13c			
14a	Did the organization receive any payments for indoor tanning services during the tax year?		14a		X
b	If "Yes," has it filed a Form 720 to report these payments? *If "No," provide an explanation in Schedule O*		14b		

Part VI Governance, Management, and Disclosure *For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.*

Check if Schedule O contains a response to any question in this Part VI ..... [X]

Section A. Governing Body and Management

			Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year **1a** 73			
b	Enter the number of voting members included in line 1a, above, who are independent **1b** 73			
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	**2**		X
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?	**3**		X
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?	**4**		X
5	Did the organization become aware during the year of a significant diversion of the organization's assets?	**5**		X
6	Does the organization have members or stockholders?	**6**	X	
7a	Does the organization have members, stockholders, or other persons who may elect one or more members of the governing body?	**7a**	X	
b	Are any decisions of the governing body subject to approval by members, stockholders, or other persons?	**7b**		X
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:			
a	The governing body?	**8a**	X	
b	Each committee with authority to act on behalf of the governing body?	**8b**	X	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? *If "Yes," provide the names and addresses in Schedule O*	**9**		X

Section B. Policies *(This Section B requests information about policies not required by the Internal Revenue Code.)*

			Yes	No
10a	Does the organization have local chapters, branches, or affiliates?	**10a**		X
b	If "Yes," does the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with those of the organization?	**10b**		
11a	Has the organization provided a copy of this Form 990 to all members of its governing body before filing the form?	**11a**	X	
b	Describe in Schedule O the process, if any, used by the organization to review this Form 990.			
12a	Does the organization have a written conflict of interest policy? *If "No," go to line 13*	**12a**		X
b	Are officers, directors or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	**12b**		
c	Does the organization regularly and consistently monitor and enforce compliance with the policy? *If "Yes," describe in Schedule O how this is done*	**12c**		
13	Does the organization have a written whistleblower policy?	**13**		X
14	Does the organization have a written document retention and destruction policy?	**14**	X	
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?			
a	The organization's CEO, Executive Director, or top management official	**15a**	X	
b	Other officers or key employees of the organization	**15b**	X	
	If "Yes" to line 15a or 15b, describe the process in Schedule O. (See instructions.)			
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	**16a**		X
b	If "Yes," has the organization adopted a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and taken steps to safeguard the organization's exempt status with respect to such arrangements?	**16b**		

Section C. Disclosure

17 List the states with which a copy of this Form 990 is required to be filed ▶ NONE

18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection. Indicate how you make these available. Check all that apply.

[ ] Own website [ ] Another's website [X] Upon request

19 Describe in Schedule O whether (and if so, how), the organization makes its governing documents, conflict of interest policy, and financial statements available to the public.

20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization: ▶

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Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response to any question in this Part VII [X]

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than $100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than $100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than $10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

[ ] Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

(A) Name and Title	(B) Average hours per week (describe hours for related organizations in Schedule O)	(C) Position (check all that apply): Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
GARY GOLDBERG CHAIRMAN (TO OCT 10)	1.00	X		X				0.	0.	0.
GREGORY BOYCE CHAIRMAN (FROM OCT 10)	1.00	X		X				0.	0.	0.
FRANCIS MCALLISTER VICE CHAIRMAN (FROM OCT 10)	1.00	X		X				0.	0.	0.
ALI ALAVI DIRECTOR (FROM OCT 10)	1.00	X						0.	0.	0.
KEITH ALESSI DIRECTOR (TO OCT 10)	1.00	X						0.	0.	0.
DAN ALLAN DIRECTOR	1.00	X						0.	0.	0.
JACK ATWATER DIRECTOR	1.00	X						0.	0.	0.
PHILLIPS BAKER, JR. DIRECTOR	1.00	X						0.	0.	0.
JANPETER BEKKERING DIRECTOR (FROM OCT 10)	1.00	X						0.	0.	0.
ROBERT BENSON DIRECTOR	1.00	X						0.	0.	0.
DON BLANKENSHIP DIRECTOR	1.00	X						0.	0.	0.
JOHN BLASCHAK DIRECTOR	1.00	X						0.	0.	0.
C. BOLTON, JR. DIRECTOR (TO OCT 10)	1.00	X						0.	0.	0.
ALEX BOULTON DIRECTOR	1.00	X						0.	0.	0.
JAMES BOYD DIRECTOR (TO OCT 10)	1.00	X						0.	0.	0.
MICHAEL BROWN DIRECTOR	1.00	X						0.	0.	0.
FRANK CALANDRA, JR. DIRECTOR	1.00	X						0.	0.	0.

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees *(continued)*

(A) Name and title	(B) Average hours per week (describe hours for related organizations in Schedule O)	(C) Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
DAVID CAMPBELL DIRECTOR (TO OCT 10)	1.00	X						0.	0.	0.
JOSEPH CARRABBA DIRECTOR	1.00	X						0.	0.	0.
GERALD CARROLL DIRECTOR	1.00	X						0.	0.	0.
NICK CARTER DIRECTOR	1.00	X						0.	0.	0.
RED CONGER DIRECTOR	1.00	X						0.	0.	0.
JOSEPH CRAFT, III DIRECTOR	1.00	X						0.	0.	0.
CINDY CRANE DIRECTOR	1.00	X						0.	0.	0.
KEVIN CRUTCHFIELD DIRECTOR (FROM OCT 10)	1.00	X						0.	0.	0.
CHRISTOPHER CURFMAN DIRECTOR (TO OCT 10)	1.00	X						0.	0.	0.
1b Sub-total ▶								0.	0.	0.
c Total from continuation sheets to Part VII, Section A ▶								3,261,842.	0.	851,978.
d Total (add lines 1b and 1c) ▶								3,261,842.	0.	851,978.

2 Total number of individuals (including but not limited to those listed above) who received more than $100,000 in reportable compensation from the organization ▶ 13

		Yes	No
3 Did the organization list any **former** officer, director or trustee, key employee, or highest compensated employee on line 1a? *If "Yes," complete Schedule J for such individual*	3		X
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than $150,000? *If "Yes," complete Schedule J for such individual*	4	X	
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? *If "Yes," complete Schedule J for such person*	5		X

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than $100,000 of compensation from the organization.

(A) Name and business address	(B) Description of services	(C) Compensation
WEBER MERRITT , 1331 H STREET NW, STE 1100, WASHINGTON, DC 20005	ADVERTISING & PROJECT MANAGEMENT	2,355,354.
PRESTON-OSBOURNE, 450 OLD VINE ST, STE 100, LEXINGTON, KY 40507	CAMPAIGN DIRECTORS, DATABASE, FIELDWORK	619,621.
CROWELL & MORING, 1001 PENNSYLVANIA AVE NW, NO. 11, WASHINGTON, DC 20004	LEGAL CONSULTING	584,536.
GEI CONSULTANTS PO BOX 843005, BOSTON, MA 02284	LEGAL CONSULTING	432,627.
EDELMAN, INC., 200 E RANDOLPH DR, 63RD FL, CHICAGO, IL 60601	GOVERNMENT AFFAIRS CONSULTING	376,641.

2 Total number of independent contractors (including but not limited to those listed above) who received more than $100,000 in compensation from the organization ▶ 21

SEE PART VII, SECTION A CONTINUATION SHEETS

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees *(continued)*

(A) Name and title	(B) Average hours per week	(C) Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
GENE DIXON DIRECTOR	1.00	X						0.	0.	0.
GARY DRUMMOND DIRECTOR	1.00	X						0.	0.	0.
RAYMOND DUBOIS DIRECTOR (TO OCT 10)	1.00	X						0.	0.	0.
MARK DUNN DIRECTOR	1.00	X						0.	0.	0.
ROBERT EVANS DIRECTOR	1.00	X						0.	0.	0.
DAVID FARNSWORTH DIRECTOR	1.00	X						0.	0.	0.
CLIFF FORREST DIRECTOR	1.00	X						0.	0.	0.
JAC FOURIE DIRECTOR (FROM OCT 10)	1.00	X						0.	0.	0.
JOHN GALASSINI DIRECTOR	1.00	X						0.	0.	0.
TIM GITZEL DIRECTOR	1.00	X						0.	0.	0.
DAVID GODLEWSKI DIRECTOR	1.00	X						0.	0.	0.
JOHN GRISHAM DIRECTOR (TO OCT 10)	1.00	X						0.	0.	0.
BENJAMIN GUENTHER DIRECTOR	1.00	X						0.	0.	0.
LEROY HAGENBUCH DIRECTOR	1.00	X						0.	0.	0.
PETER HALPIN DIRECTOR	1.00	X						0.	0.	0.
JOHN HAMRICK DIRECTOR	1.00	X						0.	0.	0.
DOUGLAS HARDMAN DIRECTOR	1.00	X						0.	0.	0.
J. BRETT HARVEY DIRECTOR	1.00	X						0.	0.	0.
BENNETT HATFIELD DIRECTOR	1.00	X						0.	0.	0.
RON HOCHSTEIN DIRECTOR	1.00	X						0.	0.	0.
Total to Part VII, Section A, line 1c										

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees *(continued)*

(A) Name and title	(B) Average hours per week	(C) Position (check all that apply): Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
ROBERT HOGAN, JR. DIRECTOR	1.00	X						0.	0.	0.
THOMAS JANECK DIRECTOR (TO OCT 10)	1.00	X						0.	0.	0.
CHARLES JEANNES DIRECTOR	1.00	X						0.	0.	0.
TONY JENSEN DIRECTOR	1.00	X						0.	0.	0.
GLENN KELLOW DIRECTOR (TO OCT 10)	1.00	X						0.	0.	0.
KEITH KIMBLE DIRECTOR	1.00	X						0.	0.	0.
GREG LANG DIRECTOR	1.00	X						0.	0.	0.
GUY LEBLANC DIRECTOR	1.00	X						0.	0.	0.
STEVEN LEER DIRECTOR	1.00	X						0.	0.	0.
KEVIN LOUGHREY DIRECTOR	1.00	X						0.	0.	0.
TOM MACKALL DIRECTOR	1.00	X						0.	0.	0.
COLIN MARSHALL DIRECTOR (FROM OCT 10)	1.00	X						0.	0.	0.
JAMES MATTERN DIRECTOR (FROM OCT 10)	1.00	X						0.	0.	0.
MICHAEL MCLANAHAN DIRECTOR	1.00	X						0.	0.	0.
ROBERT MURRAY DIRECTOR	1.00	X						0.	0.	0.
FRED NELSON DIRECTOR	1.00	X						0.	0.	0.
RICHARD O'BRIEN DIRECTOR	1.00	X						0.	0.	0.
DAVID POTTER DIRECTOR	1.00	X						0.	0.	0.
MICHAEL QUILLEN DIRECTOR (TO OCT 10)	1.00	X						0.	0.	0.
JOHN RICH, JR. DIRECTOR	1.00	X						0.	0.	0.
Total to Part VII, Section A, line 1c										

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees *(continued)*

(A) Name and title	(B) Average hours per week	(C) Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
DUANE RICHARDS DIRECTOR	1.00	X						0.	0.	0.
GEORGE RICHMOND DIRECTOR (TO OCT 10)	1.00	X						0.	0.	0.
DANIEL ROLING DIRECTOR	1.00	X						0.	0.	0.
BRYAN RONCK DIRECTOR	1.00	X						0.	0.	0.
WALT SCHELLER DIRECTOR (FROM OCT 10)	1.00	X						0.	0.	0.
MARK SMITH DIRECTOR	1.00	X						0.	0.	0.
RICHARD SMITH DIRECTOR	1.00	X						0.	0.	0.
MARK SNYDER DIRECTOR (TO OCT 10)	1.00	X						0.	0.	0.
VICTOR STABIO DIRECTOR	1.00	X						0.	0.	0.
ROBERT STENGER DIRECTOR	1.00	X						0.	0.	0.
BRIAN SULLIVAN DIRECTOR	1.00	X						0.	0.	0.
MICHAEL SUTHERLIN DIRECTOR	1.00	X						0.	0.	0.
WILLIAM TATE DIRECTOR	1.00	X						0.	0.	0.
CHARLES UNGUREAN DIRECTOR	1.00	X						0.	0.	0.
JOSEPH USIBELLI, JR. DIRECTOR	1.00	X						0.	0.	0.
DENNIS WHEELER DIRECTOR	1.00	X						0.	0.	0.
RICHARD WHITING DIRECTOR	1.00	X						0.	0.	0.
JAY WILEMAN DIRECTOR (FROM OCT 10)	1.00	X						0.	0.	0.
MIKE WILLIAMS DIRECTOR (FROM OCT 10)	1.00	X						0.	0.	0.
HAROLD QUINN, JR. PRESIDENT & CEO	35.00			X				517,539.	0.	99,727.
Total to Part VII, Section A, line 1c										

Part VII **Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees** *(continued)*

(A) Name and title	(B) Average hours per week	(C) Position: Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
ROGER ROBERTS TREASURER/SVP FINANCE	35.00			X				184,006.	0.	39,543.
MARY SWEENEY ASST SECY/GEN COUNSEL	35.00			X				215,765.	0.	63,794.
BRUCE WATZMAN SECRETARY/SVP LEGAL & REG	35.00			X				313,586.	0.	105,225.
DANIEL GERKIN SVP POLITICAL	35.00				X			281,426.	0.	139,147.
MERLYN NOLAN SVP GOVERNMENT AFFAIRS	35.00				X			380,510.	0.	74,259.
MOYA PHELLEPS SVP MEMBERSHIP SERVICES	35.00				X			191,953.	0.	56,813.
CAROL RAULSTON SVP COMMUNICATIONS	35.00				X			305,744.	0.	73,504.
KAREN BENNETT VP ENVIRONMENTAL AFFAIRS	35.00					X		176,173.	0.	59,974.
TAWNY BRIDGEFORD ASSOC GEN COUNSEL	35.00					X		133,547.	0.	30,283.
JULIA GUSTAFSON DIRECTOR, CONGRESSIONAL AF	35.00					X		164,683.	0.	33,911.
GLENN KELLY VP GOVERNMENT AFFAIRS	35.00					X		231,650.	0.	28,191.
LUKE POPOVICH VP COMMUNICATIONS	35.00					X		165,260.	0.	47,607.
Total to Part VII, Section A, line 1c								3,261,842.		851,978.

Part VIII Statement of Revenue

			(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512, 513, or 514
Contributions, gifts, grants and other similar amounts	1 a Federated campaigns	1a				
	b Membership dues	1b				
	c Fundraising events	1c				
	d Related organizations	1d				
	e Government grants (contributions)	1e				
	f All other contributions, gifts, grants, and similar amounts not included above	1f 1,493,748.				
	g Noncash contributions included in lines 1a-1f: $					
	h **Total.** Add lines 1a-1f ▶		1,493,748.			
Program Service Revenue		Business Code				
	2 a MEMBERSHIP DUES & ASSE	900099	14049072.	14049072.		
	b CONVENTIONS, CONFERENC	900099	197,128.			197,128.
	c PUBLICATIONS	900099	1,510.	1,510.		
	d					
	e					
	f All other program service revenue					
	g **Total.** Add lines 2a-2f ▶		14247710.			
Other Revenue	3 Investment income (including dividends, interest, and other similar amounts) ▶		612,224.			612,224.
	4 Income from investment of tax-exempt bond proceeds ▶					
	5 Royalties ▶					
		(i) Real / (ii) Personal				
	6 a Gross Rents					
	b Less: rental expenses					
	c Rental income or (loss)					
	d Net rental income or (loss) ▶					
		(i) Securities / (ii) Other				
	7 a Gross amount from sales of assets other than inventory	1411486. /				
	b Less: cost or other basis and sales expenses	1315124. / 2,779.				
	c Gain or (loss)	96,362. / -2,779.				
	d Net gain or (loss) ▶		93,583.			93,583.
	8 a Gross income from fundraising events (not including $ of contributions reported on line 1c). See Part IV, line 18 a					
	b Less: direct expenses b					
	c Net income or (loss) from fundraising events ▶					
	9 a Gross income from gaming activities. See Part IV, line 19 a					
	b Less: direct expenses b					
	c Net income or (loss) from gaming activities ▶					
	10 a Gross sales of inventory, less returns and allowances a					
	b Less: cost of goods sold b					
	c Net income or (loss) from sales of inventory ▶					
	Miscellaneous Revenue	Business Code				
	11 a SUBLEASE	900099	110,819.			110,819.
	b MISCELLANEOUS	900099	212.			212.
	c					
	d All other revenue					
	e **Total.** Add lines 11a-11d ▶		111,031.			
	12 **Total revenue.** See instructions. ▶		16558296.	14050582.	0.	1013966.

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns.
All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D).

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to governments and organizations in the U.S. See Part IV, line 21				
2 Grants and other assistance to individuals in the U.S. See Part IV, line 22				
3 Grants and other assistance to governments, organizations, and individuals outside the U.S. See Part IV, lines 15 and 16				
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees	3,042,543.			
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7 Other salaries and wages	2,842,306.			
8 Pension plan contributions (include section 401(k) and section 403(b) employer contributions)	497,423.			
9 Other employee benefits	487,453.			
10 Payroll taxes	272,735.			
11 Fees for services (non-employees):				
a Management				
b Legal	47,128.			
c Accounting	38,858.			
d Lobbying	1,380,793.			
e Professional fundraising services. See Part IV, line 17				
f Investment management fees				
g Other	3,069,553.			
12 Advertising and promotion	739,757.			
13 Office expenses	246,136.			
14 Information technology	122,503.			
15 Royalties				
16 Occupancy	1,280,153.			
17 Travel	181,834.			
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings	381,366.			
20 Interest				
21 Payments to affiliates				
22 Depreciation, depletion, and amortization	34,650.			
23 Insurance	72,699.			
24 Other expenses. Itemize expenses not covered above. (List miscellaneous expenses in line 24f. If line 24f amount exceeds 10% of line 25, column (A) amount, list line 24f expenses on Schedule O.)				
a FACES OF COAL	1,482,161.			
b DUES & SUBSCRIPTIONS	858,183.			
c TRAINING	26,950.			
d BAD DEBT	17,400.			
e TAXES & LICENSES	671.			
f All other expenses				
25 **Total functional expenses.** Add lines 1 through 24f	17,123,255.			
26 **Joint costs.** Check here ▶ ☐ if following SOP 98-2 (ASC 958-720). Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation				

Part X Balance Sheet

					(A) Beginning of year		(B) End of year
Assets	1	Cash - non-interest-bearing			1,000.	1	1,000.
	2	Savings and temporary cash investments			849,288.	2	4,452,077.
	3	Pledges and grants receivable, net				3	
	4	Accounts receivable, net			969,186.	4	67,289.
	5	Receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L				5	
	6	Receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions)				6	
	7	Notes and loans receivable, net				7	
	8	Inventories for sale or use				8	
	9	Prepaid expenses and deferred charges			365,444.	9	983,338.
	10a	Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D	10a	612,386.			
	b	Less: accumulated depreciation	10b	551,607.	81,224.	10c	60,779.
	11	Investments - publicly traded securities			21,130,668.	11	21,790,680.
	12	Investments - other securities. See Part IV, line 11				12	
	13	Investments - program-related. See Part IV, line 11				13	
	14	Intangible assets				14	
	15	Other assets. See Part IV, line 11			63,040.	15	85,305.
	16	**Total assets.** Add lines 1 through 15 (must equal line 34)			23,459,850.	16	27,440,468.
Liabilities	17	Accounts payable and accrued expenses			1,156,582.	17	1,270,072.
	18	Grants payable				18	
	19	Deferred revenue			7,100.	19	3,757,479.
	20	Tax-exempt bond liabilities				20	
	21	Escrow or custodial account liability. Complete Part IV of Schedule D				21	
	22	Payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L				22	
	23	Secured mortgages and notes payable to unrelated third parties				23	
	24	Unsecured notes and loans payable to unrelated third parties				24	
	25	Other liabilities. Complete Part X of Schedule D			4,217,857.	25	2,897,219.
	26	**Total liabilities.** Add lines 17 through 25			5,381,539.	26	7,924,770.
Net Assets or Fund Balances		**Organizations that follow SFAS 117, check here ▶ [X] and complete lines 27 through 29, and lines 33 and 34.**					
	27	Unrestricted net assets			17,718,570.	27	19,154,343.
	28	Temporarily restricted net assets			359,741.	28	361,355.
	29	Permanently restricted net assets				29	
		Organizations that do not follow SFAS 117, check here ▶ [ ] and complete lines 30 through 34.					
	30	Capital stock or trust principal, or current funds				30	
	31	Paid-in or capital surplus, or land, building, or equipment fund				31	
	32	Retained earnings, endowment, accumulated income, or other funds				32	
	33	Total net assets or fund balances			18,078,311.	33	19,515,698.
	34	Total liabilities and net assets/fund balances			23,459,850.	34	27,440,468.

Part XI Reconciliation of Net Assets

Check if Schedule O contains a response to any question in this Part XI [X]

1	Total revenue (must equal Part VIII, column (A), line 12)	1	16,558,296.
2	Total expenses (must equal Part IX, column (A), line 25)	2	17,123,255.
3	Revenue less expenses. Subtract line 2 from line 1	3	-564,959.
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	18,078,311.
5	Other changes in net assets or fund balances (explain in Schedule O)	5	2,002,346.
6	Net assets or fund balances at end of year. Combine lines 3, 4, and 5 (must equal Part X, line 33, column (B))	6	19,515,698.

Part XII Financial Statements and Reporting

Check if Schedule O contains a response to any question in this Part XII [ ]

			Yes	No
1	Accounting method used to prepare the Form 990: [ ] Cash [X] Accrual [ ] Other ______ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.			
2a	Were the organization's financial statements compiled or reviewed by an independent accountant?	2a		X
b	Were the organization's financial statements audited by an independent accountant?	2b	X	
c	If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?	2c	X	
	If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.			
d	If "Yes" to line 2a or 2b, check a box below to indicate whether the financial statements for the year were issued on a separate basis, consolidated basis, or both: [X] Separate basis [ ] Consolidated basis [ ] Both consolidated and separate basis			
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	3a		X
b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits.	3b		

Schedule B (Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, 990-EZ, or 990-PF.**

OMB No. 1545-0047

2010

Name of the organization	Employer identification number
NATIONAL MINING ASSOCIATION	52-1916480

Organization type (check one):

Filers of:	**Section:**
Form 990 or 990-EZ	[X] 501(c)(6) (enter number) organization
	[ ] 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	[ ] 527 political organization
Form 990-PF	[ ] 501(c)(3) exempt private foundation
	[ ] 4947(a)(1) nonexempt charitable trust treated as a private foundation
	[ ] 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

[X] For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

[ ] For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.

[ ] For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

[ ] For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of $5,000 or more during the year. .... ▶ $ ________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization: NATIONAL MINING ASSOCIATION

Employer identification number: 52-1916480

Part I Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	ALLIANCE RESOURCE PARTNERS, LP PO BOX 22021 TULSA, OK 74121	$ 75,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
2	ALPHA NATURAL RESOURCES 999 CORPORATE BLVD, STE 300 LINTHICUM, MD 21090	$ 75,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
3	ARCH COAL, INC 10 KENTON DR CHARLESTON, WV 25311	$ 6,250.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
4	AUSTIN POWDER COMPANY 25800 SCIENCE PARK DR CLEVELAND, OH 44122	$ 10,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
5	BEAVER COAL COMPANY, LTD 115 1/2 SOUTH KANAWHA ST BECKLEY, WV 25801	$ 12,500.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
6	BRIGHT MINING AND LAND COMPANY PO BOX 460 SUMMERSVILLE, WV 26651	$ 12,500.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)

Name of organization	Employer identification number
NATIONAL MINING ASSOCIATION	52-1916480

Part I **Contributors** (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
7	BUCHANAN OIL COMPANY PO BOX 593 ABINGDON, VA 24212	$ 35,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
8	C&M GIANT TIRE 980 W NEW CIRCLE LEXINGTON, KY 40511	$ 5,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
9	CENTRAL OIL COMPANY 148 BRISTOL EAST RD BRISTOL, VA 24202	$ 25,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
10	COAL OPERATORS & ASSOCIATES, INC PO BOX 3158 PIKEVILLE, KY 41502	$ 50,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
11	COLE & CRANE 7265 KENWOOD RD CINCINNATI, OH 45236	$ 18,750.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
12	CONSOL ENERGY, INC CNX CENTER 1000 CONSOL ENERGY DR CANONSBURG, PA 15317	$ 25,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)

Name of organization	Employer identification number
NATIONAL MINING ASSOCIATION	52-1916480

Part I **Contributors** (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
13	CONTIGA DEVELOPMENT COMPANY 8238 GERMANTOWN AVE PHILADELPHIA, PA 19118	$ 56,250.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
14	CUMBERLAND RESOURCES CORPORATION 152 WEST VALLEY ST ABINGDON, VA 24210	$ 25,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
15	DINGESS RUM PROPERTIES 723 KANAWHA BLVD, E UNION BLDG, 14TH FL CHARLESTON, WV 25301	$ 12,500.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
16	INTERNATIONAL COAL GROUP, INC 300 CORPORATE CENTER DR SCOTT DEPOT, WV 25560	$ 75,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
17	INTERNATIONAL RESOURCE PARTNERS POST OFFICE DRAWER D GILBERT, WV 25621	$ 25,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
18	JACKSON KELLY, PLLC PO BOX 553 CHARLESTON, WV 25322	$ 12,500.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)

Name of organization: NATIONAL MINING ASSOCIATION

Employer identification number: 52-1916480

Part I **Contributors** (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
19	JAMES RIVER COAL COMPANY 301 E BYRD ST, STE 1600 RICHMOND, VA 23219	$ 50,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
20	JENMAR CORPORATION 258 KAPPA DR PITTSBURG, PA 15238	$ 15,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
21	JOY GLOBAL, INC 177 THORN HILL RD WARRENDALE, PA 15086	$ 7,500.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
22	KENNAMETAL, INC 1600 TECHNOLOGY WAY LATROBE, PA 15650	$ 5,001.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
23	KENTUCKY OIL & REFINING 156 KY OIL VILLAGE BETSY LAYNE, KY 41605	$ 10,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
24	KENTUCKY RIVER PROPERTIES, LLC 250 W MAIN ST, STE 1823 LEXINGTON, KY 40507	$ 5,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)

Name of organization	Employer identification number
NATIONAL MINING ASSOCIATION	52-1916480

Part I **Contributors** (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
25	LITTLE COAL LAND COMPANY 723 KANAWHA BLVD, E UNION BLDG, 14TH FL CHARLESTON , WV 25301	$ 5,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
26	MARSHALL MILLER & ASSOCIATES 534 INDUSTRIAL PARK RD BLUEFIELD, VA 24605	$ 7,500.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
27	MASSEY ENERGY COMPANY 300 MORGAN MASSEY DR, PO BOX 621 JULIAN, WV 25526	$ 75,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
28	MCDONALD LAND COMPANY PO BOX 1706 LOGAN, WV 25601	$ 5,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
29	MEPCO, LLP 308 DENTS RUN RD MORGANTOWN, WV 26501	$ 12,500.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
30	MMD MINERAL SIZING, INC 41 EXCELLENCE WAY VONORE, TN 37885	$ 5,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)

Name of organization: NATIONAL MINING ASSOCIATION

Employer identification number: 52-1916480

Part I **Contributors** (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
31	MOUNTAINEER INVESTIGATION & SECURITY PO BOX 891 ATHENS, WV 24712	$ 6,250.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
32	N&G HOLDINGS 122 ROY CAMPBELL DR HAZARD, KY 41701	$ 12,500.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
33	NATURAL RESOURCE PARTNERS 5260 IRWIN RD HUNTINGTON, WV 25705	$ 75,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
34	NELSON BROTHERS, LLC 820 SHADES CREEK PKWY, STE 200 BIRMINGHAM, AL 35209	$ 25,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
35	NORFOLK SOUTHERN/POCHAHONTAS LAND CORP PO BOX 1517 BLUEFIELD, WV 24701	$ 25,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
36	PARDEE RESOURCES COMPANY 1717 ARCH ST, 33RD FL PHILADELPHIA, PA 19103	$ 37,500.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)

Name of organization	Employer identification number
NATIONAL MINING ASSOCIATION	52-1916480

Part I **Contributors** (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
37	PATRIOT COAL 500 LEE ST E, STE 900 CHARLESTON, WV 25301	$ 75,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
38	PETROLUEM PRODUCTS 200 VISCOE RD NITRO, WV 25143	$ 5,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
39	PINEY LAND COMPANY 130 MAIN ST BECKLEY, WV 25801	$ 6,250.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
40	PRITCHARD MINING COMPANY, INC PO BOX 3311 CHARLESTON, WV 25333	$ 25,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
41	RALEIGH MINE & INDUSTRIAL SUPPLY PO BOX 72 MOUNT HOPE, WV 25880	$ 5,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
42	RISH EQUIPMENT COMPANY PO BOX 330 BLUEFIELD, WV 24701	$ 12,500.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)

Name of organization: NATIONAL MINING ASSOCIATION

Employer identification number: 52-1916480

Part I **Contributors** (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
43	ROWLAND LAND COMPANY 405 CAPITAL ST, STE 609 CHARLESTON, WV 25304	$ 12,500.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
44	SOUTHERN LAND/DICKINSON PROPERTIES 300 CAPITOL ST, STE 1401 CHARLESTON, WV 25301	$ 12,500.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
45	SPILLMAN, THOMAS, & BATTLE PO BOX 273 CHARLESTON, WV 25321	$ 10,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
46	TECO COAL COMPANY 200 ALLISON BLVD CORBIN, KY 40701	$ 75,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
47	TRINITY COAL CORPORATION 4978 TEAYS VALLEY RD SCOTT DEPOT, WV 25560	$ 25,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
48	UNITED CENTRAL INDUSTRIAL SUPPLY 1005 GLENWAY AVE BRISTOL, VA 24203	$ 25,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)

Name of organization	Employer identification number
NATIONAL MINING ASSOCIATION	52-1916480

Part I **Contributors** (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
49	UNITED COAL COMPANY, LLC 110 SPRINT DR BLOUNTVILLE, TN 37617	$ 12,500.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
50	WALKER MACHINERY PO BOX 2427 CHARLESTON, WV 25314	$ 15,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
51	WHAYNE SUPPLY COMPANY PO BOX 35900 LOUISVILLE, KY 40232	$ 7,500.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
52	WVCA ALLIANCE - FRIENDS OF COAL PO BOX 3923 CHARLESTON, WV 25339	$ 175,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)

SCHEDULE C
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527

▶ **Complete if the organization is described below.** ▶ **Attach to Form 990 or Form 990-EZ.**
▶ **See separate instructions.**

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If the organization answered "Yes," to Form 990, Part IV, line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then
- Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.
- Section 527 organizations: Complete Part I-A only.

If the organization answered "Yes," to Form 990, Part IV, line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then
- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.

If the organization answered "Yes," to Form 990, Part IV, line 5 (Proxy Tax), or Form 990-EZ, Part V, line 35a (Proxy Tax), then
- Section 501(c)(4), (5), or (6) organizations: Complete Part III.

Name of organization	Employer identification number
NATIONAL MINING ASSOCIATION	52-1916480

Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

1 Provide a description of the organization's direct and indirect political campaign activities in Part IV.
2 Political expenditures ▶ $
3 Volunteer hours

Part I-B Complete if the organization is exempt under section 501(c)(3).

1 Enter the amount of any excise tax incurred by the organization under section 4955 ▶ $
2 Enter the amount of any excise tax incurred by organization managers under section 4955 ▶ $
3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year? ☐ Yes ☐ No
4a Was a correction made? ☐ Yes ☐ No
b If "Yes," describe in Part IV.

Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).

1 Enter the amount directly expended by the filing organization for section 527 exempt function activities ▶ $ 0.
2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities ▶ $ 0.
3 Total exempt function expenditures. Add lines 1 and 2. Enter here and on Form 1120-POL, line 17b ▶ $ 0.
4 Did the filing organization file **Form 1120-POL** for this year? ☐ Yes ☒ No
5 Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments. For each organization listed, enter the amount paid from the filing organization's funds. Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC). If additional space is needed, provide information in Part IV.

(a) Name	**(b)** Address	**(c)** EIN	**(d)** Amount paid from filing organization's funds. If none, enter -0-.	**(e)** Amount of political contributions received and promptly and directly delivered to a separate political organization. If none, enter -0-.
COALPAC	WASHINGTON, DC 20001	52-1322749	0.	11,947.
MINEPAC	WASHINGTON, DC 20001	52-1939409	0.	14,893.

SEE PART IV FOR CONTINUATION

Part II-A **Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).**

A Check ▶ ☐ if the filing organization belongs to an affiliated group.
B Check ▶ ☐ if the filing organization checked box A and "limited control" provisions apply.

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)	**(a)** Filing organization's totals	**(b)** Affiliated group totals
1a Total lobbying expenditures to influence public opinion (grass roots lobbying)		
b Total lobbying expenditures to influence a legislative body (direct lobbying)		
c Total lobbying expenditures (add lines 1a and 1b)		
d Other exempt purpose expenditures		
e Total exempt purpose expenditures (add lines 1c and 1d)		
f Lobbying nontaxable amount. Enter the amount from the following table in both columns.		

If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:
Not over $500,000	20% of the amount on line 1e.
Over $500,000 but not over $1,000,000	$100,000 plus 15% of the excess over $500,000.
Over $1,000,000 but not over $1,500,000	$175,000 plus 10% of the excess over $1,000,000.
Over $1,500,000 but not over $17,000,000	$225,000 plus 5% of the excess over $1,500,000.
Over $17,000,000	$1,000,000.

	(a)	**(b)**
g Grassroots nontaxable amount (enter 25% of line 1f)		
h Subtract line 1g from line 1a. If zero or less, enter -0-		
i Subtract line 1f from line 1c. If zero or less, enter -0-		

j If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year? ☐ **Yes** ☐ **No**

4-Year Averaging Period Under Section 501(h)
(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 2a through 2f on page 4.)

Lobbying Expenditures During 4-Year Averaging Period

Calendar year (or fiscal year beginning in)	**(a)** 2007	**(b)** 2008	**(c)** 2009	**(d)** 2010	**(e)** Total
2a Lobbying nontaxable amount					
b Lobbying ceiling amount (150% of line 2a, column(e))					
c Total lobbying expenditures					
d Grassroots nontaxable amount					
e Grassroots ceiling amount (150% of line 2d, column (e))					
f Grassroots lobbying expenditures					

Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

		(a) Yes	(a) No	(b) Amount
1	During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of:			
a	Volunteers?			
b	Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?			
c	Media advertisements?			
d	Mailings to members, legislators, or the public?			
e	Publications, or published or broadcast statements?			
f	Grants to other organizations for lobbying purposes?			
g	Direct contact with legislators, their staffs, government officials, or a legislative body?			
h	Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?			
i	Other activities? If "Yes," describe in Part IV			
j	Total. Add lines 1c through 1i			
2a	Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?			
b	If "Yes," enter the amount of any tax incurred under section 4912			
c	If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
d	If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

Part III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

			Yes	No
1	Were substantially all (90% or more) dues received nondeductible by members?	1		X
2	Did the organization make only in-house lobbying expenditures of $2,000 or less?	2		X
3	Did the organization agree to carryover lobbying and political expenditures from the prior year?	3	X	

Part III-B Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) if BOTH Part III-A, lines 1 and 2 are answered "No" OR if Part III-A, line 3 is answered "Yes."

1	Dues, assessments and similar amounts from members	1	14,049,284.
2	Section 162(e) nondeductible lobbying and political expenditures **(do not include amounts of political expenses for which the section 527(f) tax was paid).**		
a	Current year	2a	2,961,895.
b	Carryover from last year	2b	-78,560.
c	Total	2c	2,883,335.
3	Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	3	3,090,842.
4	If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4	
5	Taxable amount of lobbying and political expenditures (see instructions)	5	-207,507.

Part IV Supplemental Information

Complete this part to provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; and Part II-B, line 1i. Also, complete this part for any additional information.

PART I-C CONTINUATION FOR INCOMPLETE NAME/ADDRESS INFORMATION:

COALPAC

101 CONSTITUTION AVE NW STE 500E WASHINGTON, DC 20001

MINEPAC

101 CONSTITUTION AVE NW STE 500E WASHINGTON, DC 20001

SCHEDULE D (Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

▶ Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11, or 12.
▶ Attach to Form 990. ▶ See separate instructions.

OMB No. 1545-0047
2010
Open to Public Inspection

Name of the organization NATIONAL MINING ASSOCIATION
Employer identification number 52-1916480

Part I **Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.** Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

		(a) Donor advised funds	(b) Funds and other accounts
1	Total number at end of year		
2	Aggregate contributions to (during year)		
3	Aggregate grants from (during year)		
4	Aggregate value at end of year		

5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? ☐ Yes ☐ No

6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? ☐ Yes ☐ No

Part II **Conservation Easements.** Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply).
- ☐ Preservation of land for public use (e.g., recreation or education)
- ☐ Protection of natural habitat
- ☐ Preservation of open space
- ☐ Preservation of an historically important land area
- ☐ Preservation of a certified historic structure

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.

			Held at the End of the Tax Year
a	Total number of conservation easements	2a	
b	Total acreage restricted by conservation easements	2b	
c	Number of conservation easements on a certified historic structure included in (a)	2c	
d	Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register	2d	

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶ ____

4 Number of states where property subject to conservation easement is located ▶ ____

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year ▶ ____

7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ $ ____

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9 In Part XIV, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

Part III **Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.** Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIV, the text of the footnote to its financial statements that describes these items.

b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:

(i) Revenues included in Form 990, Part VIII, line 1 ▶ $ ____

(ii) Assets included in Form 990, Part X ▶ $ ____

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items:

a Revenues included in Form 990, Part VIII, line 1 ▶ $ ____

b Assets included in Form 990, Part X ▶ $ ____

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets *(continued)*

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):

a ☐ Public exhibition
b ☐ Scholarly research
c ☐ Preservation for future generations
d ☐ Loan or exchange programs
e ☐ Other

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIV.

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? ☐ Yes ☐ No

Part IV Escrow and Custodial Arrangements.
Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIV and complete the following table:

		Amount
c Beginning balance	1c	
d Additions during the year	1d	
e Distributions during the year	1e	
f Ending balance	1f	

2a Did the organization include an amount on Form 990, Part X, line 21? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIV.

Part V Endowment Funds.
Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance					
b Contributions					
c Net investment earnings, gains, and losses					
d Grants or scholarships					
e Other expenditures for facilities and programs					
f Administrative expenses					
g End of year balance					

2 Provide the estimated percentage of the year end balance held as:

a Board designated or quasi-endowment ▶ ______ %

b Permanent endowment ▶ ______ %

c Term endowment ▶ ______ %

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

		Yes	No
(i) unrelated organizations	3a(i)		
(ii) related organizations	3a(ii)		
b If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?	3b		

4 Describe in Part XIV the intended uses of the organization's endowment funds.

Part VI Land, Buildings, and Equipment.
See Form 990, Part X, line 10.

Description of investment	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land				
b Buildings				
c Leasehold improvements		41,600.	33,659.	7,941.
d Equipment		514,352.	478,320.	36,032.
e Other		56,434.	39,628.	16,806.
Total. Add lines 1a through 1e. *(Column (d) must equal Form 990, Part X, column (B), line 10(c).)* ▶				60,779.

Part VII Investments - Other Securities. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests		
(3) Other		
(A)		
(B)		
(C)		
(D)		
(E)		
(F)		
(G)		
(H)		
(I)		
Total. (Col (b) must equal Form 990, Part X, col (B) line 12.) ▶		

Part VIII Investments - Program Related. See Form 990, Part X, line 13.

(a) Description of investment type	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
(10)		
Total. (Col (b) must equal Form 990, Part X, col (B) line 13.) ▶		

Part IX Other Assets. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
(10)	
Total. *(Column (b) must equal Form 990, Part X, col (B) line 15.)* ▶	

Part X Other Liabilities. See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Amount
(1) Federal income taxes	
(2) DUE TO RELATED PARTY	95,525.
(3) CAPITAL LEASE	147,598.
(4) ACCRUED PENSION OBLIGATION	2,568,791.
(5) DEFERRED COMPENSATION LIABILITY	85,305.
(6)	
(7)	
(8)	
(9)	
(10)	
(11)	
Total. *(Column (b) must equal Form 990, Part X, col (B) line 25.)* ▶	2,897,219.

2. FIN 48 (ASC 740) Footnote. In Part XIV, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740).

Part XI Reconciliation of Change in Net Assets from Form 990 to Audited Financial Statements

1	Total revenue (Form 990, Part VIII, column (A), line 12)	1	16,558,296.
2	Total expenses (Form 990, Part IX, column (A), line 25)	2	17,123,255.
3	Excess or (deficit) for the year. Subtract line 2 from line 1	3	-564,959.
4	Net unrealized gains (losses) on investments	4	
5	Donated services and use of facilities	5	
6	Investment expenses	6	
7	Prior period adjustments	7	
8	Other (Describe in Part XIV.)	8	2,002,346.
9	Total adjustments (net). Add lines 4 through 8	9	2,002,346.
10	Excess or (deficit) for the year per audited financial statements. Combine lines 3 and 9	10	1,437,387.

Part XII Reconciliation of Revenue per Audited Financial Statements With Revenue per Return

1	Total revenue, gains, and other support per audited financial statements			1	17,534,121.
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:				
a	Net unrealized gains on investments	2a	973,046.		
b	Donated services and use of facilities	2b			
c	Recoveries of prior year grants	2c			
d	Other (Describe in Part XIV.)	2d			
e	Add lines **2a** through **2d**			2e	973,046.
3	Subtract line **2e** from line **1**			3	16,561,075.
4	Amounts included on Form 990, Part VIII, line 12, but not on line **1**:				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a			
b	Other (Describe in Part XIV.)	4b	-2,779.		
c	Add lines **4a** and **4b**			4c	-2,779.
5	Total revenue. Add lines **3** and **4c.** *(This must equal Form 990, Part I, line 12.)*			5	16,558,296.

Part XIII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return

1	Total expenses and losses per audited financial statements			1	18,155,334.
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:				
a	Donated services and use of facilities	2a			
b	Prior year adjustments	2b			
c	Other losses	2c			
d	Other (Describe in Part XIV.)	2d	1,029,300.		
e	Add lines **2a** through **2d**			2e	1,029,300.
3	Subtract line **2e** from line **1**			3	17,126,034.
4	Amounts included on Form 990, Part IX, line 25, but not on line **1**:				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a			
b	Other (Describe in Part XIV.)	4b	-2,779.		
c	Add lines **4a** and **4b**			4c	-2,779.
5	Total expenses. Add lines **3** and **4c.** *(This must equal Form 990, Part I, line 18.)*			5	17,123,255.

Part XIV Supplemental Information

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, line 8; Part XII, lines 2d and 4b; and Part XIII, lines 2d and 4b. Also complete this part to provide any additional information.

PART X, LINE 2: MANAGEMENT HAS CONCLUDED THAT THE ASSOCIATION HAS MAINTAINED ITS EXEMPT STATUS; ALL REVENUE WITHIN THE STATEMENT OF ACTIVITIES HAS BEEN PROPERLY CLASSIFIED FOR THE YEARS ENDED DECEMBER 31, 2010 AND 2009 AND DOES NOT HAVE ANY UNCERTAIN TAX POSITIONS THROUGH DECEMBER 31, 2010.

PART XI, LINE 8 - OTHER ADJUSTMENTS:

FAS 158 ADJUSTMENT 1,029,300.

Part XIV Supplemental Information *(continued)*

NET UNREALIZED GAINS (LOSSES) ON INVESTMENTS	973,046.
TOTAL TO SCHEDULE D, PART XI, LINE 8	2,002,346.

PART XII, LINE 4B - OTHER ADJUSTMENTS:

RECLASS OF GAIN (LOSS) ON SALE OF ASSETS	-2,779.

PART XIII, LINE 2D - OTHER ADJUSTMENTS:

FAS 158 ADJUSTMENT	1,029,300.

PART XIII, LINE 4B - OTHER ADJUSTMENTS:

RECLASS OF GAIN (LOSS) ON SALE OF ASSETS	-2,779.

SCHEDULE O (Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on Form 990 or 990-EZ or to provide any additional information.
▶ Attach to Form 990 or 990-EZ.

OMB No. 1545-0047

2010

Open to Public Inspection

Name of the organization	Employer identification number
NATIONAL MINING ASSOCIATION	52-1916480

FORM 990, PART I, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:

MINERAL INDUSTRY ON PUBLIC POLICY ISSUES BEFORE CONGRESS, THE EXECUTIVE BRANCH, AND THE JUDICIARY AND PREPARE AND DISTRIBUTE INFORMATION TO CARRY OUT THESE PURPOSES.

FORM 990, PART III, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:

POLICY PROCESS ON THE MOST SIGNIFICANT AND TIMELY ISSUES THAT IMPACT OUR ABILITY TO LOCATE, PERMIT, MINE, PROCESS, TRANSPORT, AND UTILIZE THE NATION'S VAST COAL AND MINERAL RESOURCES.

NMA SERVICES ITS MEMBERSHIP BY:

-PROMOTING THE PRODUCTION AND USE OF COAL AND MINERAL RESOURCES PRODUCED BY THE US MINING INDUSTRY;

-ESTABLISHING A STRONG POLITICAL PRESENCE IN THE NATION'S CAPITAL ON BEHALF OF NMA'S MEMBERSHIP;

-SERVING AS THE INFORMATION CENTER FOR AND A SINGLE VOICE OF THE US MINING INDUSTRY;

-AND ADDRESSING THE CURRENT AND FUTURE NEEDS OF THE INDUSTRY, MINING EQUIPMENT MANUFACTURERS, AND SUPPORT SERVICES MEMBERS OF THE ASSOCIATION.

FORM 990, PART III, LINE 4D, OTHER PROGRAM SERVICES:

DEVELOP GENERAL INDUSTRY AND ISSUE SPECIFIC ADVERTISING CAMPAIGNS

FORM 990, PART VI, SECTION A, LINE 6: ANY ORGANIZATION, CORPORATION, PARTNERSHIP, COMPANY, OR INDIVIDUAL ENGAGED IN OR CLOSELY ASSOCIATED WITH

Name of the organization: NATIONAL MINING ASSOCIATION

Employer identification number: 52-1916480

MINING ACTIVITIES SHALL BE ELIGIBLE FOR MEMBERSHIP. MEMBERSHIP IN THE ASSOCIATION CONSISTS OF THE FOLLOWING CLASSES:

PRODUCER MEMBERSHIP - ANY ORGANIZATION CORPORATION, PARTNERSHIP, COMPANY, OR INDIVIDUAL ENGAGED IN THE MINING AND PRODUCTION OR COAL OR MINERALS. PRODUCERS MEMBERS ARE DIVIDED INTO TWO SEPARATE CLASSES (I) COAL PRODUCER MEMBERS AND (II) MINERAL PRODUCER MEMBERS.

MANUFACTURERS AND SERVICES MEMBERSHIP - ANY ORGANIZATION, CORPORATION PARTNERSHIP, COMPANY, OR INDIVIDUAL ENGAGED IN THE MANUFACTURE OF MINING MACHINERY, EQUIPMENT, AND SUPPLIES. MINING RELATED SERVICES OR CLOSELY ASSOCIATED WITH AND INTERESTED IN PROMOTING THE GENERAL WELFARE OF THE MINING INDUSTRY, BUT NOT ENGAGED IN MINING.

FORM 990, PART VI, SECTION A, LINE 7A: THE BOARD OF DIRECTORS SHALL CONSIST OF DIRECTORS SELECTED BY, AND REPRESENTING AS CLASSES, COAL PRODUCER MEMBERS, MINERAL PRODUCER MEMBERS, MANUFACTURERS AND SERVICES MEMBERS. IN ADDITION, THE PRESIDENT OF THE ASSOCIATION SERVES AS AN EX OFFICIO MEMBER OF THE BOARD OF DIRECTORS. DIRECTORS ARE ELECTED BY A MAJORITY VOTE AT THE ANNUAL MEETING OF THE ASSOCIATION.

FORM 990, PART VI, SECTION B, LINE 11: FOR 990 IS PROVIDED TO THE BOARD OF DIRECTORS PRIOR TO FILING. FORM 990 IS REVIEWED BY SENIOR MANAGEMENT AND GENERAL COUNSEL AND ANY CHANGES ARE COMMUNICATED TO THE OUTSIDE ACCOUNTANTS PRIOR TO FILING THE RETURN.

FORM 990, PART VI, SECTION B, LINE 15: THE ORGANIZATION UTILIZES A COMPENSATION CONSULTANT TO PERFORM A BI-ANNUAL COMPENSATION SURVEY OF

Name of the organization: NATIONAL MINING ASSOCIATION

Employer identification number: 52-1916480

COMPARABLE TRADE ASSOCIATIONS. NATIONAL MINING ASSOCIATION'S GOAL WHEN SETTING SALARIES FOR ALL EMPLOYEES IS TO HAVE THEIR COMPENSATION AT THE 75TH PERCENTILE OF COMPARABLE TRADE ASSOCIATIONS USING THE RESULTS FROM THIS SURVEY. FURTHERMORE, THE PRESIDENT AND CEO'S SALARY IS NEGOTIATED VIA CONTRACT WITH THE FOLLOWING BOARD MEMBERS: CHAIRMAN, VICE CHAIRMAN, AND CHAIRMAN OF THE AUDIT AND FINANCE COMMITTEE.

FORM 990, PART VI, SECTION C, LINE 19: THE ORGANIZATION DOES NOT GENERALLY MAKE ITS GOVERNING DOCUMENTS AND FINANCIAL STATEMENTS AVAILABLE TO THE PUBLIC.

FORM 990, PART XI, LINE 5, CHANGES IN NET ASSETS:

FAS 158 ADJUSTMENT	1,029,300.
NET UNREALIZED GAINS (LOSSES) ON INVESTMENTS	973,046.
TOTAL TO FORM 990, PART XI, LINE 5	2,002,346.

FORM 990, PART VII

HOURS PER WEEK DEVOTED TO RELATED ORGANIZATIONS

HAROLD QUINN	2.0 HRS PER WEEK	(AMERICAN COAL FOUNDATION)
ROGER ROBERTS	2.0 HRS PER WEEK	(AMERICAN COAL FOUNDATION)

SCHEDULE R (Form 990)
Department of the Treasury
Internal Revenue Service

Related Organizations and Unrelated Partnerships

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 33, 34, 35, 36, or 37.
▶ Attach to Form 990. ▶ See separate instructions.

OMB No. 1545-0047
2010
Open to Public Inspection

Name of the organization: NATIONAL MINING ASSOCIATION

Employer identification number 52-1916480

Part I **Identification of Disregarded Entities** (Complete if the organization answered "Yes" to Form 990, Part IV, line 33.)

(a) Name, address, and EIN of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity

Part II **Identification of Related Tax-Exempt Organizations** (Complete if the organization answered "Yes" to Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity? Yes	No
AMERICAN COAL FOUNDATION - 52-1236554 101 CONSTITUTION AVE, STE 525E WASHINGTON, DC 20001	EDUCATIONAL FOUNDATION	DISTRICT OF COLUMBIA	501(C)(3)	11-II	N/A		X
MINEPAC - 52-1939409 101 CONSTITUTION AVE, STE 525E WASHINGTON, DC 20001	POLITICAL ACTION COMMITTEE	DISTRICT OF COLUMBIA	527		N/A		X
COALPAC - 52-1322749 101 CONSTITUTION AVE, STE 525E WASHINGTON, DC 20001	POLITICAL ACTION COMMITTEE	DISTRICT OF COLUMBIA	527		N/A		X

Part III **Identification of Related Organizations Taxable as a Partnership** (Complete if the organization answered "Yes" to Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income (related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportion-ate allocations? Yes	(h) No	(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner? Yes	(j) No	(k) Percentage ownership

Part IV **Identification of Related Organizations Taxable as a Corporation or Trust** (Complete if the organization answered "Yes" to Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership

Part V Transactions With Related Organizations (Complete if the organization answered "Yes" to Form 990, Part IV, line 34, 35, 35a, or 36.)

Note. Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.		Yes	No
1 During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?			
a Receipt of **(i)** interest **(ii)** annuities **(iii)** royalties or **(iv)** rent from a controlled entity	1a		X
b Gift, grant, or capital contribution to other organization(s)	1b		X
c Gift, grant, or capital contribution from other organization(s)	1c		X
d Loans or loan guarantees to or for other organization(s)	1d		X
e Loans or loan guarantees by other organization(s)	1e		X
f Sale of assets to other organization(s)	1f		X
g Purchase of assets from other organization(s)	1g		X
h Exchange of assets	1h		X
i Lease of facilities, equipment, or other assets to other organization(s)	1i		X
j Lease of facilities, equipment, or other assets from other organization(s)	1j		X
k Performance of services or membership or fundraising solicitations for other organization(s)	1k		X
l Performance of services or membership or fundraising solicitations by other organization(s)	1l		X
m Sharing of facilities, equipment, mailing lists, or other assets	1m	X	
n Sharing of paid employees	1n	X	
o Reimbursement paid to other organization for expenses	1o	X	
p Reimbursement paid by other organization for expenses	1p		X
q Other transfer of cash or property to other organization(s)	1q		X
r Other transfer of cash or property from other organization(s)	1r		X

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds.

	(a) Name of other organization	**(b)** Transaction type (a-r)	**(c)** Amount involved	**(d)** Method of determining amount involved
(1)				
(2)				
(3)				
(4)				
(5)				
(6)				

Part VI Unrelated Organizations Taxable as a Partnership (Complete if the organization answered "Yes" to Form 990, Part IV, line 37.)

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

(a) Name, address, and EIN of entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Are all partners section 501(c)(3) organizations?		(e) Share of end-of-year assets	(f) Disproportionate allocations?		(g) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	(h) General or managing partner?	
			Yes	No		Yes	No		Yes	No

*** PUBLIC DISCLOSURE COPY ***

Form **990** | **Return of Organization Exempt From Income Tax** | OMB No. 1545-0047

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

Department of the Treasury Internal Revenue Service | ▶ The organization may have to use a copy of this return to satisfy state reporting requirements. | **2009** Open to Public Inspection

A For the 2009 calendar year, or tax year beginning and ending

B Check if applicable: [ ] Address change [ ] Name change [ ] Initial return [ ] Terminated [ ] Amended return [ ] Application pending

Please use IRS label or print or type. See Specific Instructions.

C Name of organization: NATIONAL MINING ASSOCIATION

Doing Business As

Number and street (or P.O. box if mail is not delivered to street address): 101 CONSTITUTION AVENUE NW | Room/suite: 500E

City or town, state or country, and ZIP + 4: WASHINGTON, DC 20001-2133

D Employer identification number: 52-1916480

E Telephone number: 202-463-2600

G Gross receipts $ 18,814,630.

F Name and address of principal officer: HAROLD QUINN SAME AS C ABOVE

H(a) Is this a group return for affiliates? [ ] Yes [X] No

H(b) Are all affiliates included? [ ] Yes [ ] No If "No," attach a list. (see instructions)

H(c) Group exemption number ▶

I Tax-exempt status: [X] 501(c) (6) ◀ (insert no.) [ ] 4947(a)(1) or [ ] 527

J Website: ▶ WWW.NMA.ORG

K Form of organization: [X] Corporation [ ] Trust [ ] Association [ ] Other ▶ | **L** Year of formation: 1995 | **M** State of legal domicile: DE

Part I Summary

Activities & Governance

1	Briefly describe the organization's mission or most significant activities: TO PROMOTE THE GENERAL WELFARE OF THE PRODUCERS OF COAL AND MINERALS, REPRESENT THE COAL AND		
2	Check this box ▶ [ ] if the organization discontinued its operations or disposed of more than 25% of its net assets.		
3	Number of voting members of the governing body (Part VI, line 1a)	3	76
4	Number of independent voting members of the governing body (Part VI, line 1b)	4	76
5	Total number of employees (Part V, line 2a)	5	40
6	Total number of volunteers (estimate if necessary)	6	76
7a	Total gross unrelated business revenue from Part VIII, column (C), line 12	7a	0.
b	Net unrelated business taxable income from Form 990-T, line 34	7b	0.

			Prior Year	Current Year
Revenue	8	Contributions and grants (Part VIII, line 1h)		1,467,473.
	9	Program service revenue (Part VIII, line 2g)	32,604,756.	13,119,897.
	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	-66,165.	522,521.
	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	5,399.	15,589.
	12	Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	32,543,990.	15,125,480.
Expenses	13	Grants and similar amounts paid (Part IX, column (A), lines 1-3)		
	14	Benefits paid to or for members (Part IX, column (A), line 4)		
	15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	7,456,762.	7,867,052.
	16a	Professional fundraising fees (Part IX, column (A), line 11e)		
	b	Total fundraising expenses (Part IX, column (D), line 25) ▶		
	17	Other expenses (Part IX, column (A), lines 11a-11d, 11f-24f)	14,812,662.	8,098,044.
	18	Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)	22,269,424.	15,965,096.
	19	Revenue less expenses. Subtract line 18 from line 12	10,274,566.	-839,616.
			Beginning of Current Year	**End of Year**
Net Assets or Fund Balances	20	Total assets (Part X, line 16)	23,309,610.	23,459,850.
	21	Total liabilities (Part X, line 26)	7,280,131.	5,381,539.
	22	Net assets or fund balances. Subtract line 21 from line 20	16,029,479.	18,078,311.

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here ▶ Signature of officer | Date

▶ ROGER ROBERTS, TREASURER/SVP FINANCE
Type or print name and title

Paid Preparer's Use Only

Preparer's signature ▶ | Date | Check if self-employed ▶ [ ] | Preparer's identifying number (see instructions)

Firm's name (or yours if self-employed), address, and ZIP + 4 ▶ JOHNSON LAMBERT & CO. LLP 700 SPRING FOREST ROAD, STE 115 RALEIGH, NC 27609 | EIN ▶ | Phone no. ▶ 919-719-6400

May the IRS discuss this return with the preparer shown above? (see instructions) [X] Yes [ ] No

SEE SCHEDULE O FOR ORGANIZATION MISSION STATEMENT CONTINUATION

Form **8453-EO**

Department of the Treasury
Internal Revenue Service

Exempt Organization Declaration and Signature for Electronic Filing

For calendar year 2009, or tax year beginning ______, 2009, and ending ______, 20__

For use with Forms 990, 990-EZ, 990-PF, 1120-POL, and 8868

▶ **See instructions.**

OMB No. 1545-1879

2009

Name of exempt organization: NATIONAL MINING ASSOCIATION

Employer identification number: 52-1916480

Part I Type of Return and Return Information (Whole Dollars Only)

Check the box for the return for which you are using this Form 8453-EO and enter the applicable amount, if any, from the return. If you check the box on line **1a, 2a, 3a, 4a, or 5a** below and the amount on that line for the return for which you are filing this form was blank, then leave line **1b, 2b, 3b, 4b, or 5b,** whichever is applicable, blank (do not enter -0-). If you entered -0- on the return, then enter -0- on the applicable line below. **Do not** complete more than one line in Part I.

1a **Form 990** check here ▶	X	b **Total revenue,** if any (Form 990, Part VIII, column (A), line 12)	1b	15125480
2a **Form 990-EZ** check here ▶		b **Total revenue,** if any (Form 990-EZ, line 9)	2b	
3a **Form 1120-POL** check here ▶		b **Total tax** (Form 1120-POL, line 22)	3b	
4a **Form 990-PF** check here ▶		b **Tax based on investment income** (Form 990-PF, Part VI, line 5)	4b	
5a **Form 8868** check here ▶		b **Balance due** (Form 8868, line 3c)	5b	

Part II Declaration of Officer

6 ☐ I authorize the U.S. Treasury and its designated Financial Agent to initiate an ACH electronic funds withdrawal (direct debit) entry to the financial institution account indicated in the tax preparation software for payment of the organization's federal taxes owed on this return, and the financial institution to debit the entry to this account. To revoke a payment, I must contact the U.S. Treasury Financial Agent at 1-888-353-4537 no later than 2 business days prior to the payment (settlement) date. I also authorize the financial institutions involved in the processing of the electronic payment of taxes to receive confidential information necessary to answer inquiries and resolve issues related to the payment.

☐ If a copy of this return is being filed with a state agency(ies) regulating charities as part of the IRS Fed/State program, I certify that I executed the electronic disclosure consent contained within this return allowing disclosure by the IRS of this Form 990/990-EZ/990-PF (as specifically identified in Part I above) to the selected state agency(ies).

Under penalties of perjury, I declare that I am an officer of the above named organization and that I have examined a copy of the organization's 2009 electronic return and accompanying schedules and statements and to the best of my knowledge and belief, they are true, correct, and complete. I further declare that the amount in Part I above is the amount shown on the copy of the organization's electronic return. I consent to allow my intermediate service provider, transmitter, or electronic return originator (ERO) to send the organization's return to the IRS and to receive from the IRS (a) an acknowledgement of receipt or reason for rejection of the transmission, (b) an indication of any refund offset, (c) the reason for any delay in processing the return or refund, and (d) the date of any refund.

Sign Here ▶ Signature of officer | 11-15-10 Date | ▶ TREASURER/SVP FINANCE Title

Part III Declaration of Electronic Return Originator (ERO) and Paid Preparer (see instructions)

I declare that I have reviewed the above organization's return and that the entries on Form 8453-EO are complete and correct to the best of my knowledge. If I am only a collector, I am not responsible for reviewing the return and only declare that this form accurately reflects the data on the return. The organization officer will have signed this form before I submit the return. I will give the officer a copy of all forms and information to be filed with the IRS, and have followed all other requirements in Pub. 4163, Modernized e-file (MeF) Information for Authorized IRS *e-file* Providers for Business Returns. If I am also the Paid Preparer, under penalties of perjury I declare that I have examined the above organization's return and accompanying schedules and statements, and to the best of my knowledge and belief, they are true, correct, and complete. This Paid Preparer declaration is based on all information of which I have any knowledge.

ERO's Use Only

ERO's signature ▶	Date 11/15/10	Check if also paid preparer X	Check if self-employed ☐	ERO's SSN or PTIN P01059941
Firm's name (or yours if self-employed), address, and ZIP code ▶	JOHNSON LAMBERT & CO. LLP 700 SPRING FOREST ROAD, STE 115 RALEIGH, NC 27609			EIN 52-1446779 Phone no. 919-719-6400

Under penalties of perjury, I declare that I have examined the above return and accompanying schedules and statements, and to the best of my knowledge and belief, they are true, correct, and complete. Declaration of preparer is based on all information of which the preparer has any knowledge.

Paid Preparer's Use Only

Preparer's signature ▶	Date		Check if self-employed ☐	Preparer's SSN or PTIN
Firm's name (or yours if self-employed), address, and ZIP code ▶				EIN Phone no.

LHA **For Privacy Act and Paperwork Reduction Act Notice, see the instructions.**

Form **8453-EO** (2009)

923061 11-04-09

Print Export

Name: National Mining Association IRS Center: OGDEN e-Postmark: 11/15/2010 2:41:54 PM

FEIN: 52-1916480 Refund: $0.00 Notification:

Return History

DCN	DATE	TYPE OF ACTIVITY	UPDATED BY
	11/15/2010	Upload Started	
	11/15/2010	Released for Transmission - Validation in Progress	System
	11/15/2010	Ready to transmit - Validation Complete	
	11/15/2010	Transmitted to FD	
	11/15/2010	Accepted by FD	

Form **8868** (Rev. April 2009)
Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

▶ File a separate application for each return.

OMB No. 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box ▶ [X]
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ▶ [ ]

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Electronic Filing (e-file). Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T). However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T. Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868. For more details on the electronic filing of this form, visit *www.irs.gov/efile* and click on *e-file for Charities & Nonprofits.*

Type or print	Name of Exempt Organization	Employer identification number
	NATIONAL MINING ASSOCIATION	52-1916480
File by the due date for filing your return. See instructions.	Number, street, and room or suite no. If a P.O. box, see instructions. 101 CONSTITUTION AVENUE NW, NO. 500E	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. WASHINGTON, DC 20001-2133	

Check type of return to be filed(file a separate application for each return):

[X] Form 990	[ ] Form 990-T (corporation)	[ ] Form 4720
[ ] Form 990-BL	[ ] Form 990-T (sec. 401(a) or 408(a) trust)	[ ] Form 5227
[ ] Form 990-EZ	[ ] Form 990-T (trust other than above)	[ ] Form 6069
[ ] Form 990-PF	[ ] Form 1041-A	[ ] Form 8870

- The books are in the care of ▶ ROGER ROBERTS
101 CONSTITUTION AVE NW, STE. 500E - WASHINGTON, DC 20001
Telephone No. ▶ 202-463-2600 FAX No. ▶
- If the organization does not have an office or place of business in the United States, check this box ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______. If this is for the whole group, check this box ▶ [ ]. If it is for part of the group, check this box ▶ [ ] and attach a list with the names and EINs of all members the extension will cover.

1 I request an automatic 3-month (6-months for a corporation required to file Form 990-T) extension of time until AUGUST 16, 2010, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
▶ [X] calendar year 2009 or
▶ [ ] tax year beginning ________, and ending ________.

2 If this tax year is for less than 12 months, check reason: [ ] Initial return [ ] Final return [ ] Change in accounting period

3a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	$
b	If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	$
c	**Balance Due.** Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	$ N/A

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

LHA **For Privacy Act and Paperwork Reduction Act Notice, see Instructions.**

923831
05-26-09

- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** and check this box ▶ [X]

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** (on page 1).

Part II **Additional (Not Automatic) 3-Month Extension of Time.** Only file the original (no copies needed).

Type or print		
Type or print	Name of Exempt Organization NATIONAL MINING ASSOCIATION	Employer identification number 52-1916480
File by the extended due date for filing the return. See instructions.	Number, street, and room or suite no. If a P.O. box, see instructions. 101 CONSTITUTION AVENUE NW, NO. 500E	For IRS use only
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. WASHINGTON, DC 20001-2133	

Check type of return to be filed (File a separate application for each return):

[X] Form 990 [ ] Form 990-EZ [ ] Form 990-T (sec. 401(a) or 408(a) trust) [ ] Form 1041-A [ ] Form 5227 [ ] Form 8870
[ ] Form 990-BL [ ] Form 990-PF [ ] Form 990-T (trust other than above) [ ] Form 4720 [ ] Form 6069

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of ▶ ROGER ROBERTS 101 CONSTITUTION AVE NW STE 500E - WASHINGTON, DC 20001

Telephone No. ▶ 2024632600 FAX No. ▶

- If the organization does not have an office or place of business in the United States, check this box ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ . If this is for the whole group, check this box ▶ [ ] . If it is for part of the group, check this box ▶ [ ] and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until NOVEMBER 15, 2010.

5 For calendar year 2009 , or other tax year beginning ______ , and ending ______ .

6 If this tax year is for less than 12 months, check reason: [ ] Initial return [ ] Final return [ ] Change in accounting period

7 State in detail why you need the extension
ADDITIONAL TIME IS NECESSARY TO FILE A COMPLETE AND ACCURATE RETURN.

8a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	$
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	$
c	**Balance Due.** Subtract line 8b from line 8a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	$ N/A

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ▶ [signature] Title ▶ CPA Date ▶ 7/14/2010

923832
05-26-09

Part III Statement of Program Service Accomplishments

1 Briefly describe the organization's mission: SEE SCHEDULE O FOR CONTINUATION
NMA'S MISSION IS TO CREATE AND MAINTAIN A BROAD BASE OF POLITICAL SUPPORT FOR THE MINING INDUSTRY AND TO HELP THE NATION REALIZE THE ECONOMIC AND NATIONAL SECURITY BENEFITS OF AMERICA'S DOMESTIC MINING CAPABILITY. OUR OBJECTIVE IS TO ENGAGE IN AND INFLUENCE THE PUBLIC

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? ☐ Yes ☒ No
If "Yes," describe these new services on Schedule O.

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? ☐ Yes ☒ No
If "Yes," describe these changes on Schedule O.

4 Describe the exempt purpose achievements for each of the organization's three largest program services by expenses. Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code:) (Expenses $ including grants of $) (Revenue $)
PRESENTED THE INTEREST OF MINING BEFORE CONGRESS, THE ADMINISTRATION, FEDERAL AGENCIES, THE JUDICIARY, AND THE MEDIA.

4b (Code:) (Expenses $ including grants of $) (Revenue $)
HELD SPECIALIZED SEMINARS, WORKSHOPS, MINEXPO INTERNATIONAL, AND OTHER SIGNIFICANT MEETINGS AND EVENTS.

4c (Code:) (Expenses $ including grants of $) (Revenue $)
THE FEDERATION FOR AMERICAN COAL, ENERGY AND SECURITY (FACES OF COAL) IS AN ALLIANCE OF PEOPLE FROM ALL WALKS OF LIFE WHO ARE JOINING FORCES TO EDUCATE LAWMAKERS AND THE GENERAL PUBLIC ABOUT THE IMPORTANCE OF COAL AND COAL MINING TO LOCAL AND NATIONAL ECONOMIES AND TO THE NATION'S ENERGY SECURITY. IN ADDITION TO KEEPING TENS OF THOUSANDS OF PEOPLE EMPLOYED IN GOOD-PAYING JOBS, COAL IS THE LIFEBLOOD OF THE U.S. DOMESTIC ENERGY SUPPLY, GENERATING HALF THE ELECTRICITY CONSUMED IN THE UNITED STATES TODAY.

4d Other program services. (Describe in Schedule O.)
(Expenses $ including grants of $) (Revenue $)

4e **Total program service expenses ▶ $**

Part IV Checklist of Required Schedules

			Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? *If "Yes," complete Schedule A*	1		X
2	Is the organization required to complete Schedule B, Schedule of Contributors?	2		X
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? *If "Yes," complete Schedule C, Part I*	3	X	
4	**Section 501(c)(3) organizations.** Did the organization engage in lobbying activities? *If "Yes," complete Schedule C, Part II*	4		
5	**Section 501(c)(4), 501(c)(5), and 501(c)(6) organizations.** Is the organization subject to the section 6033(e) notice and reporting requirement and proxy tax? *If "Yes," complete Schedule C, Part III*	5	X	
6	Did the organization maintain any donor advised funds or any similar funds or accounts where donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? *If "Yes," complete Schedule D, Part I*	6		X
7	Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? *If "Yes," complete Schedule D, Part II*	7		X
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? *If "Yes," complete Schedule D, Part III*	8		X
9	Did the organization report an amount in Part X, line 21; serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? *If "Yes," complete Schedule D, Part IV*	9		X
10	Did the organization, directly or through a related organization, hold assets in term, permanent, or quasi-endowments? *If "Yes," complete Schedule D, Part V*	10		X
11	Is the organization's answer to any of the following questions "Yes"? *If so, complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable*	11	X	
	• Did the organization report an amount for land, buildings, and equipment in Part X, line 10? *If "Yes," complete Schedule D, Part VI.*			
	• Did the organization report an amount for investments - other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VII.*			
	• Did the organization report an amount for investments - program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VIII.*			
	• Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part IX.*			
	• Did the organization report an amount for other liabilities in Part X, line 25? *If "Yes," complete Schedule D, Part X.*			
	• Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48? *If "Yes," complete Schedule D, Part X.*			
12	Did the organization obtain separate, independent audited financial statements for the tax year? *If "Yes," complete Schedule D, Parts XI, XII, and XIII.*	12	X	
12A	Was the organization included in consolidated, independent audited financial statements for the tax year? *If "Yes," completing Schedule D, Parts XI, XII, and XIII is optional* — 12A Yes: , No: X			
13	Is the organization a school described in section 170(b)(1)(A)(ii)? *If "Yes," complete Schedule E*	13		X
14a	Did the organization maintain an office, employees, or agents outside of the United States?	14a		X
b	Did the organization have aggregate revenues or expenses of more than $10,000 from grantmaking, fundraising, business, and program service activities outside the United States? *If "Yes," complete Schedule F, Part I*	14b		X
15	Did the organization report on Part IX, column (A), line 3, more than $5,000 of grants or assistance to any organization or entity located outside the United States? *If "Yes," complete Schedule F, Part II*	15		X
16	Did the organization report on Part IX, column (A), line 3, more than $5,000 of aggregate grants or assistance to individuals located outside the United States? *If "Yes," complete Schedule F, Part III*	16		X
17	Did the organization report a total of more than $15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? *If "Yes," complete Schedule G, Part I*	17		X
18	Did the organization report more than $15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? *If "Yes," complete Schedule G, Part II*	18		X
19	Did the organization report more than $15,000 of gross income from gaming activities on Part VIII, line 9a? *If "Yes," complete Schedule G, Part III*	19		X
20	Did the organization operate one or more hospitals? *If "Yes," complete Schedule H*	20		X

Part IV Checklist of Required Schedules *(continued)*

			Yes	No
21	Did the organization report more than $5,000 of grants and other assistance to governments and organizations in the United States on Part IX, column (A), line 1? *If "Yes," complete Schedule I, Parts I and II*	21		X
22	Did the organization report more than $5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? *If "Yes," complete Schedule I, Parts I and III*	22		X
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? *If "Yes," complete Schedule J*	23	X	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than $100,000 as of the last day of the year, that was issued after December 31, 2002? *If "Yes," answer lines 24b through 24d and complete Schedule K. If "No", go to line 25*	24a		X
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		
25a	**Section 501(c)(3) and 501(c)(4) organizations.** Did the organization engage in an excess benefit transaction with a disqualified person during the year? *If "Yes," complete Schedule L, Part I*	25a		
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? *If "Yes," complete Schedule L, Part I*	25b		
26	Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? *If "Yes," complete Schedule L, Part II*	26		X
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor, or a grant selection committee member, or to a person related to such an individual? *If "Yes," complete Schedule L, Part III*	27		X
28	Was the organization a party to a business transaction with one of the following parties, (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):			
a	A current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV*	28a		X
b	A family member of a current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV*	28b		X
c	An entity of which a current or former officer, director, trustee, or key employee of the organization (or a family member) was an officer, director, trustee, or direct or indirect owner? *If "Yes," complete Schedule L, Part IV*	28c		X
29	Did the organization receive more than $25,000 in non-cash contributions? *If "Yes," complete Schedule M*	29		X
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? *If "Yes," complete Schedule M*	30		X
31	Did the organization liquidate, terminate, or dissolve and cease operations? *If "Yes," complete Schedule N, Part I*	31		X
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? *If "Yes," complete Schedule N, Part II*	32		X
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? *If "Yes," complete Schedule R, Part I*	33		X
34	Was the organization related to any tax-exempt or taxable entity? *If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1*	34	X	
35	Is any related organization a controlled entity within the meaning of section 512(b)(13)? *If "Yes," complete Schedule R, Part V, line 2*	35		X
36	**Section 501(c)(3) organizations.** Did the organization make any transfers to an exempt non-charitable related organization? *If "Yes," complete Schedule R, Part V, line 2*	36		
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? *If "Yes," complete Schedule R, Part VI*	37		X
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11 and 19? **Note.** All Form 990 filers are required to complete Schedule O.	38	X	

Part V Statements Regarding Other IRS Filings and Tax Compliance

					Yes	No
1a	Enter the number reported in Box 3 of Form 1096, Annual Summary and Transmittal of U.S. Information Returns. Enter -0- if not applicable	1a	40			
b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable	1b	0			
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?			1c	X	
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return	2a	40			
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns?			2b	X	
	Note. If the sum of lines 1a and 2a is greater than 250, you may be required to *e-file* this return. (see instructions)					
3a	Did the organization have unrelated business gross income of $1,000 or more during the year covered by this return?			3a		X
b	If "Yes," has it filed a Form 990-T for this year? *If "No," provide an explanation in Schedule O*			3b		
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?			4a		X
b	If "Yes," enter the name of the foreign country: ▶					
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts.					
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?			5a		X
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?			5b		X
c	If "Yes," to line 5a or 5b, did the organization file Form 8886-T, Disclosure by Tax-Exempt Entity Regarding Prohibited Tax Shelter Transaction?			5c		
6a	Does the organization have annual gross receipts that are normally greater than $100,000, and did the organization solicit any contributions that were not tax deductible?			6a		X
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?			6b		
7	**Organizations that may receive deductible contributions under section 170(c).**					
a	Did the organization receive a payment in excess of $75 made partly as a contribution and partly for goods and services provided to the payor?			7a		
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?			7b		
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?			7c		
d	If "Yes," indicate the number of Forms 8282 filed during the year	7d				
e	Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?			7e		
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?			7f		
g	For all contributions of qualified intellectual property, did the organization file Form 8899 as required?			7g		
h	For contributions of cars, boats, airplanes, and other vehicles, did the organization file a Form 1098-C as required?			7h		
8	**Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations.** Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?			8		
9	**Sponsoring organizations maintaining donor advised funds.**					
a	Did the organization make any taxable distributions under section 4966?			9a		
b	Did the organization make a distribution to a donor, donor advisor, or related person?			9b		
10	**Section 501(c)(7) organizations.** Enter:					
a	Initiation fees and capital contributions included on Part VIII, line 12	10a				
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10b				
11	**Section 501(c)(12) organizations.** Enter:					
a	Gross income from members or shareholders	11a				
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.)	11b				
12a	**Section 4947(a)(1) non-exempt charitable trusts.** Is the organization filing Form 990 in lieu of Form 1041?			12a		
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year	12b				

Part VI Governance, Management, and Disclosure *For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.*

Section A. Governing Body and Management

				Yes	No
1a	Enter the number of voting members of the governing body	1a	76		
b	Enter the number of voting members that are independent	1b	76		
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?		2		X
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?		3		X
4	Did the organization make any significant changes to its organizational documents since the prior Form 990 was filed?		4		X
5	Did the organization become aware during the year of a material diversion of the organization's assets?		5		X
6	Does the organization have members or stockholders?		6	X	
7a	Does the organization have members, stockholders, or other persons who may elect one or more members of the governing body?		7a	X	
b	Are any decisions of the governing body subject to approval by members, stockholders, or other persons?		7b		X
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:				
a	The governing body?		8a	X	
b	Each committee with authority to act on behalf of the governing body?		8b	X	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? *If "Yes," provide the names and addresses in Schedule O*		9		X

Section B. Policies *(This Section B requests information about policies not required by the Internal Revenue Code.)*

			Yes	No
10a	Does the organization have local chapters, branches, or affiliates?	10a		X
b	If "Yes," does the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with those of the organization?	10b		
11	Has the organization provided a copy of this Form 990 to all members of its governing body before filing the form?	11		X
11A	Describe in Schedule O the process, if any, used by the organization to review this Form 990.			
12a	Does the organization have a written conflict of interest policy? *If "No," go to line 13*	12a		X
b	Are officers, directors or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b		
c	Does the organization regularly and consistently monitor and enforce compliance with the policy? *If "Yes," describe in Schedule O how this is done*	12c		
13	Does the organization have a written whistleblower policy?	13		X
14	Does the organization have a written document retention and destruction policy?	14	X	
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?			
a	The organization's CEO, Executive Director, or top management official	15a	X	
b	Other officers or key employees of the organization	15b	X	
	If "Yes" to line 15a or 15b, describe the process in Schedule O. (See instructions.)			
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a		X
b	If "Yes," has the organization adopted a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and taken steps to safeguard the organization's exempt status with respect to such arrangements?	16b		

Section C. Disclosure

17 List the states with which a copy of this Form 990 is required to be filed ▶ NONE

18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection. Indicate how you make these available. Check all that apply.

☐ Own website ☐ Another's website ☒ Upon request

19 Describe in Schedule O whether (and if so, how), the organization makes its governing documents, conflict of interest policy, and financial statements available to the public.

20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization: ▶

ROGER ROBERTS - 202-463-2600

101 CONSTITUTION AVE NW STE 500E, WASHINGTON, DC 20001

Part VII **Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year. Use Schedule J-2 if additional space is needed.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's **current** key employees. See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than $100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than $100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than $10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

☐ Check this box if the organization did not compensate any current officer, director, or trustee.

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply): Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
KEITH ALESSI DIRECTOR	1.00	X						0.	0.	0.
DAN ALLAN DIRECTOR	1.00	X						0.	0.	0.
JACK ATWATER DIRECTOR	1.00	X						0.	0.	0.
PHILLIPS BAKER, JR. DIRECTOR	1.00	X						0.	0.	0.
ROBERT BENSON DIRECTOR	1.00	X						0.	0.	0.
DON BLANKENSHIP DIRECTOR	1.00	X						0.	0.	0.
JOHN BLASCHAK DIRECTOR	1.00	X						0.	0.	0.
C. BOLTON, JR. DIRECTOR	1.00	X						0.	0.	0.
ALEX BOULTON DIRECTOR	1.00	X						0.	0.	0.
JAMES BOYD DIRECTOR	1.00	X						0.	0.	0.
MICHAEL BROWN DIRECTOR	1.00	X						0.	0.	0.
FRANK CALANDRA, JR. DIRECTOR	1.00	X						0.	0.	0.
DAVID CAMPBELL DIRECTOR	1.00	X						0.	0.	0.
JOSEPH CARRABBA DIRECTOR	1.00	X						0.	0.	0.
GERARD CARROLL DIRECTOR	1.00	X						0.	0.	0.
NICK CARTER DIRECTOR	1.00	X						0.	0.	0.
RED CONGER DIRECTOR	1.00	X						0.	0.	0.

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees *(continued)*

(A) Name and title	(B) Average hours per week	(C) Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
JOSEPH CRAFT III DIRECTOR	1.00	X						0.	0.	0.
CINDY CRANE DIRECTOR	1.00	X						0.	0.	0.
CHRISTOPHER CURFMAN DIRECTOR	1.00	X						0.	0.	0.
GENE DIXON DIRECTOR	1.00	X						0.	0.	0.
GARY DRUMMOND DIRECTOR	1.00	X						0.	0.	0.
RAYMOND DUBOIS DIRECTOR	1.00	X						0.	0.	0.
MARK DUNN DIRECTOR	1.00	X						0.	0.	0.
ROBERT EVANS DIRECTOR	1.00	X						0.	0.	0.
DAVID FARNSWORTH DIRECTOR	1.00	X						0.	0.	0.
CLIFF FORREST DIRECTOR	1.00	X						0.	0.	0.
1b Total ▶								3,961,448.	0.	830,766.

2 Total number of individuals (including but not limited to those listed above) who received more than $100,000 in reportable compensation from the organization ▶ 15

		Yes	No
3 Did the organization list any **former** officer, director or trustee, key employee, or highest compensated employee on line 1a? *If "Yes," complete Schedule J for such individual*	3	X	
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than $150,000? *If "Yes," complete Schedule J for such individual*	4	X	
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization for services rendered to the organization? *If "Yes," complete Schedule J for such person*	5		X

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than $100,000 of compensation from the organization.

(A) Name and business address	(B) Description of services	(C) Compensation
WEBER MERRITT STRATEGIES, 414 N WASHINGTON STREET, STE 301, ALEXANDRIA, VA 22314	PR MANAGEMENT	1,104,450.
CROWELL & MORING, 1001 PENNSYLVANIA AVE NW, NO. 11, WASHINGTON, DC 20004	LEGAL CONSULTANT	458,953.
COMMUNITY STRATEGIES 915 S COLUMBIA ST, ALEXANDRIA, VA 22314	GRASSROOTS CONSULTANT	197,050.
INFRASTRUCTURE DEVELOPMENT STRATEGIES, 444 N CAPITOL ST, NO. 216, WASHINGTON, DC	GOVERNMENT AFFAIRS CONSULTANT	176,700.
RAFFANIELLO & ASSOCIATES, 1099 NEW YORK AVE NW, STE 635, WASHINGTON, DC 20001	GOVERNMENT AFFAIRS CONSULTANT	175,000.

2 Total number of independent contractors (including but not limited to those listed above) who received more than $100,000 in compensation from the organization ▶ 8

SEE SCHEDULE J-2 FOR PART VII, SECTION A CONTINUATION

Part VIII Statement of Revenue

			(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512, 513, or 514
Contributions, gifts, grants and other similar amounts	1 a Federated campaigns	1a				
	b Membership dues	1b				
	c Fundraising events	1c				
	d Related organizations	1d				
	e Government grants (contributions)	1e				
	f All other contributions, gifts, grants, and similar amounts not included above	1f 1,467,473.				
	g Noncash contributions included in lines 1a-1f: $					
	h **Total.** Add lines 1a-1f ▶		1,467,473.			
Program Service Revenue		Business Code				
	2 a MEMBERSHIP DUES & ASSE	900099	12982248.	12982248.		
	b CONVENTIONS, CONFERENC	900099	137,420.	137,420.		
	c PUBLICATIONS	900099	1,004.	1,004.		
	d EXHIBIT SPACE RENTAL	900099	-775.	-775.		
	e					
	f All other program service revenue					
	g **Total.** Add lines 2a-2f ▶		13119897.			
Other Revenue	3 Investment income (including dividends, interest, and other similar amounts) ▶		635,257.			635,257.
	4 Income from investment of tax-exempt bond proceeds ▶					
	5 Royalties ▶					
		(i) Real / (ii) Personal				
	6 a Gross Rents	24,150. /				
	b Less: rental expenses	8,561. /				
	c Rental income or (loss)	15,589. /				
	d Net rental income or (loss) ▶		15,589.			15,589.
	7 a Gross amount from sales of assets other than inventory	(i) Securities 3567853. / (ii) Other				
	b Less: cost or other basis and sales expenses	3680589. /				
	c Gain or (loss)	-112736. /				
	d Net gain or (loss) ▶		-112,736.			-112,736.
	8 a Gross income from fundraising events (not including $ ____ of contributions reported on line 1c). See Part IV, line 18	a				
	b Less: direct expenses	b				
	c Net income or (loss) from fundraising events ▶					
	9 a Gross income from gaming activities. See Part IV, line 19	a				
	b Less: direct expenses	b				
	c Net income or (loss) from gaming activities ▶					
	10 a Gross sales of inventory, less returns and allowances	a				
	b Less: cost of goods sold	b				
	c Net income or (loss) from sales of inventory ▶					
	Miscellaneous Revenue	Business Code				
	11 a					
	b					
	c					
	d All other revenue					
	e **Total.** Add lines 11a-11d ▶					
	12 **Total revenue.** See instructions. ▶		15125480.	13119897.	0.	538,110.

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns.
All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D).

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to governments and organizations in the U.S. See Part IV, line 21				
2 Grants and other assistance to individuals in the U.S. See Part IV, line 22				
3 Grants and other assistance to governments, organizations, and individuals outside the U.S. See Part IV, lines 15 and 16				
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees	3,145,429.			
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7 Other salaries and wages	3,145,755.			
8 Pension plan contributions (include section 401(k) and section 403(b) employer contributions)	848,253.			
9 Other employee benefits	443,645.			
10 Payroll taxes	283,970.			
11 Fees for services (non-employees):				
a Management				
b Legal	39,978.			
c Accounting	205,460.			
d Lobbying	283,802.			
e Professional fundraising services. See Part IV, line 17				
f Investment management fees	7,766.			
g Other	2,371,118.			
12 Advertising and promotion	1,119,862.			
13 Office expenses	245,327.			
14 Information technology	47,309.			
15 Royalties				
16 Occupancy	1,290,057.			
17 Travel	117,202.			
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings	381,865.			
20 Interest				
21 Payments to affiliates				
22 Depreciation, depletion, and amortization	30,288.			
23 Insurance	83,568.			
24 Other expenses. Itemize expenses not covered above. (Expenses grouped together and labeled miscellaneous may not exceed 5% of total expenses shown on line 25 below.)				
a FACES OF COAL	1,469,061.			
b DUES & SUBSCRIPTIONS	332,647.			
c BAD DEBT	38,306.			
d TRAINING	31,895.			
e MISCELLANEOUS	1,887.			
f All other expenses	646.			
25 **Total functional expenses.** Add lines 1 through 24f	15,965,096.			
26 **Joint costs.** Check here ▶ ☐ if following SOP 98-2. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation				

Part X Balance Sheet

				(A) Beginning of year		(B) End of year
Assets	1	Cash - non-interest-bearing		1,000.	1	1,000.
	2	Savings and temporary cash investments		12,029,435.	2	849,288.
	3	Pledges and grants receivable, net			3	
	4	Accounts receivable, net		1,897,405.	4	969,186.
	5	Receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L			5	
	6	Receivables from other disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B). Complete Part II of Schedule L			6	
	7	Notes and loans receivable, net			7	
	8	Inventories for sale or use			8	
	9	Prepaid expenses and deferred charges		341,717.	9	365,444.
	10a	Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D	10a 941,643.			
	b	Less: accumulated depreciation	10b 860,419.	97,331.	10c	81,224.
	11	Investments - publicly traded securities		8,936,746.	11	21,130,668.
	12	Investments - other securities. See Part IV, line 11			12	
	13	Investments - program-related. See Part IV, line 11			13	
	14	Intangible assets			14	
	15	Other assets. See Part IV, line 11		5,976.	15	63,040.
	16	**Total assets.** Add lines 1 through 15 (must equal line 34)		23,309,610.	16	23,459,850.
Liabilities	17	Accounts payable and accrued expenses		996,173.	17	1,156,582.
	18	Grants payable			18	
	19	Deferred revenue			19	7,100.
	20	Tax-exempt bond liabilities			20	
	21	Escrow or custodial account liability. Complete Part IV of Schedule D			21	
	22	Payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L			22	
	23	Secured mortgages and notes payable to unrelated third parties			23	
	24	Unsecured notes and loans payable to unrelated third parties			24	
	25	Other liabilities. Complete Part X of Schedule D		6,283,958.	25	4,217,857.
	26	**Total liabilities.** Add lines 17 through 25		7,280,131.	26	5,381,539.
Net Assets or Fund Balances		**Organizations that follow SFAS 117, check here ▶ [X] and complete lines 27 through 29, and lines 33 and 34.**				
	27	Unrestricted net assets		15,596,487.	27	17,718,570.
	28	Temporarily restricted net assets		432,992.	28	359,741.
	29	Permanently restricted net assets			29	
		Organizations that do not follow SFAS 117, check here ▶ [ ] and complete lines 30 through 34.				
	30	Capital stock or trust principal, or current funds			30	
	31	Paid-in or capital surplus, or land, building, or equipment fund			31	
	32	Retained earnings, endowment, accumulated income, or other funds			32	
	33	Total net assets or fund balances		16,029,479.	33	18,078,311.
	34	Total liabilities and net assets/fund balances		23,309,610.	34	23,459,850.

Part XI Financial Statements and Reporting

			Yes	No
1	Accounting method used to prepare the Form 990: [ ] Cash [X] Accrual [ ] Other ______ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.			
2a	Were the organization's financial statements compiled or reviewed by an independent accountant?	2a		X
b	Were the organization's financial statements audited by an independent accountant?	2b	X	
c	If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?	2c	X	
	If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.			
d	If "Yes" to line 2a or 2b, check a box below to indicate whether the financial statements for the year were issued on a consolidated basis, separate basis, or both: [X] Separate basis [ ] Consolidated basis [ ] Both consolidated and separate basis			
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	3a		X
b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits.	3b		

Department of the Treasury
Internal Revenue Service

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527

▶ Complete if the organization is described below.
▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No. 1545-0047

2009

Open to Public Inspection

If the organization answered "Yes," to Form 990, Part IV, line 3, or Form 990-EZ, Part VI, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.
- Section 527 organizations: Complete Part I-A only.

If the organization answered "Yes," to Form 990, Part IV, line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.

If the organization answered "Yes," to Form 990, Part IV, line 5 (Proxy Tax), then

- Section 501(c)(4), (5), or (6) organizations: Complete Part III.

Name of organization	Employer identification number
NATIONAL MINING ASSOCIATION	52-1916480

Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

1 Provide a description of the organization's direct and indirect political campaign activities in Part IV.

2 Political expenditures ▶ $

3 Volunteer hours

Part I-B Complete if the organization is exempt under section 501(c)(3).

1 Enter the amount of any excise tax incurred by the organization under section 4955 ▶ $

2 Enter the amount of any excise tax incurred by organization managers under section 4955 ▶ $

3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year? ☐ Yes ☐ No

4a Was a correction made? ☐ Yes ☐ No

b If "Yes," describe in Part IV.

Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).

1 Enter the amount directly expended by the filing organization for section 527 exempt function activities ▶ $ 0.

2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities ▶ $ 0.

3 Total exempt function expenditures. Add lines 1 and 2. Enter here and on Form 1120-POL, line 17b ▶ $

4 Did the filing organization file **Form 1120-POL** for this year? ☐ Yes ☐ No

5 Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which payments were made. For each organization listed, enter the amount paid from the filing organization's funds. Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC). If additional space is needed, provide information in Part IV. SEE PART IV FOR CONTINUATION

(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds. If none, enter -0-.	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization. If none, enter -0-.
COALPAC	WASHINGTON, DC 20001	52-1322749	0.	11,356.
MINEPAC	WASHINGTON, DC 20001	52-1939409	0.	15,118.

For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.
LHA

932041 02-04-10

Part II-A Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).

A Check ▶ ☐ if the filing organization belongs to an affiliated group.

B Check ▶ ☐ if the filing organization checked box A and "limited control" provisions apply.

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)	**(a)** Filing organization's totals	**(b)** Affiliated group totals
1a Total lobbying expenditures to influence public opinion (grass roots lobbying)		
b Total lobbying expenditures to influence a legislative body (direct lobbying)		
c Total lobbying expenditures (add lines 1a and 1b)		
d Other exempt purpose expenditures		
e Total exempt purpose expenditures (add lines 1c and 1d)		
f Lobbying nontaxable amount. Enter the amount from the following table in both columns.		

If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:
Not over $500,000	20% of the amount on line 1e.
Over $500,000 but not over $1,000,000	$100,000 plus 15% of the excess over $500,000.
Over $1,000,000 but not over $1,500,000	$175,000 plus 10% of the excess over $1,000,000.
Over $1,500,000 but not over $17,000,000	$225,000 plus 5% of the excess over $1,500,000.
Over $17,000,000	$1,000,000.

	(a)	(b)
g Grassroots nontaxable amount (enter 25% of line 1f)		
h Subtract line 1g from line 1a. If zero or less, enter -0-		
i Subtract line 1f from line 1c. If zero or less, enter -0-		

j If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year? ☐ **Yes** ☐ **No**

4-Year Averaging Period Under Section 501(h)
(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 2a through 2f on page 4.)

Lobbying Expenditures During 4-Year Averaging Period

Calendar year (or fiscal year beginning in)	**(a)** 2006	**(b)** 2007	**(c)** 2008	**(d)** 2009	**(e)** Total
2a Lobbying nontaxable amount					
b Lobbying ceiling amount (150% of line 2a, column(e))					
c Total lobbying expenditures					
d Grassroots nontaxable amount					
e Grassroots ceiling amount (150% of line 2d, column (e))					
f Grassroots lobbying expenditures					

Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

		(a) Yes	(a) No	(b) Amount
1	During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of:			
a	Volunteers?			
b	Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?			
c	Media advertisements?			
d	Mailings to members, legislators, or the public?			
e	Publications, or published or broadcast statements?			
f	Grants to other organizations for lobbying purposes?			
g	Direct contact with legislators, their staffs, government officials, or a legislative body?			
h	Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?			
i	Other activities? If "Yes," describe in Part IV			
j	Total. Add lines 1c through 1i			
2a	Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?			
b	If "Yes," enter the amount of any tax incurred under section 4912			
c	If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
d	If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

Part III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

			Yes	No
1	Were substantially all (90% or more) dues received nondeductible by members?	1		X
2	Did the organization make only in-house lobbying expenditures of $2,000 or less?	2		X
3	Did the organization agree to carryover lobbying and political expenditures from the prior year?	3	X	

Part III-B Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) if BOTH Part III-A, lines 1 and 2 are answered "No" OR if Part III-A, line 3 is answered "Yes."

1	Dues, assessments and similar amounts from members	1	12,982,248.
2	Section 162(e) nondeductible lobbying and political expenditures **(do not include amounts of political expenses for which the section 527(f) tax was paid).**		
a	Current year	2a	2,839,899.
b	Carryover from last year	2b	1,106,038.
c	Total	2c	3,945,937.
3	Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	3	4,024,497.
4	If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4	
5	Taxable amount of lobbying and political expenditures (see instructions)	5	-78,560.

Part IV Supplemental Information

Complete this part to provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; and Part II-B, line 1i. Also, complete this part for any additional information.

PART I-C CONTINUATION FOR INCOMPLETE NAME/ADDRESS INFORMATION:

COALPAC

101 CONSTITUTION AVE NW STE 500E WASHINGTON, DC 20001

MINEPAC

101 CONSTITUTION AVE NW STE 500E WASHINGTON, DC 20001

Schedule D (Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

▶ Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11, or 12.
▶ Attach to Form 990. ▶ See separate instructions.

OMB No. 1545-0047
2009
Open to Public Inspection

Name of the organization: NATIONAL MINING ASSOCIATION
Employer identification number: 52-1916480

Part I **Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.** Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

		(a) Donor advised funds	(b) Funds and other accounts
1	Total number at end of year		
2	Aggregate contributions to (during year)		
3	Aggregate grants from (during year)		
4	Aggregate value at end of year		

5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? ☐ Yes ☐ No

6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? ☐ Yes ☐ No

Part II **Conservation Easements.** Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply).
- ☐ Preservation of land for public use (e.g., recreation or pleasure)
- ☐ Protection of natural habitat
- ☐ Preservation of open space
- ☐ Preservation of an historically important land area
- ☐ Preservation of a certified historic structure

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.

			Held at the End of the Tax Year
a	Total number of conservation easements	2a	
b	Total acreage restricted by conservation easements	2b	
c	Number of conservation easements on a certified historic structure included in (a)	2c	
d	Number of conservation easements included in (c) acquired after 8/17/06	2d	

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶ ______

4 Number of states where property subject to conservation easement is located ▶ ______

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year ▶ ______

7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ $ ______

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9 In Part XIV, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

Part III **Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.** Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116, not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIV, the text of the footnote to its financial statements that describes these items.

b If the organization elected, as permitted under SFAS 116, to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:

(i) Revenues included in Form 990, Part VIII, line 1 ▶ $ ______

(ii) Assets included in Form 990, Part X ▶ $ ______

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 relating to these items:

a Revenues included in Form 990, Part VIII, line 1 ▶ $ ______

b Assets included in Form 990, Part X ▶ $ ______

Part III **Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets** *(continued)*

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):

a ☐ Public exhibition
b ☐ Scholarly research
c ☐ Preservation for future generations
d ☐ Loan or exchange programs
e ☐ Other ______

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIV.

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? ☐ Yes ☐ No

Part IV **Escrow and Custodial Arrangements.** Complete if organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIV and complete the following table:

		Amount
c Beginning balance	1c	
d Additions during the year	1d	
e Distributions during the year	1e	
f Ending balance	1f	

2a Did the organization include an amount on Form 990, Part X, line 21? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIV.

Part V **Endowment Funds.** Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance					
b Contributions					
c Net investment earnings, gains, and losses					
d Grants or scholarships					
e Other expenditures for facilities and programs					
f Administrative expenses					
g End of year balance					

2 Provide the estimated percentage of the year end balance held as:

a Board designated or quasi-endowment ▶ ______ %

b Permanent endowment ▶ ______ %

c Term endowment ▶ ______ %

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

		Yes	No
(i) unrelated organizations	3a(i)		
(ii) related organizations	3a(ii)		
b If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?	3b		

4 Describe in Part XIV the intended uses of the organization's endowment funds.

Part VI **Investments - Land, Buildings, and Equipment.** See Form 990, Part X, line 10.

Description of investment	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land				
b Buildings				
c Leasehold improvements		39,239.	29,156.	10,083.
d Equipment		642,758.	599,685.	43,073.
e Other		259,646.	231,578.	28,068.
Total. Add lines 1a through 1e. *(Column (d) must equal Form 990, Part X, column (B), line 10(c).)* ▶				81,224.

Part VII Investments - Other Securities. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
Financial derivatives		
Closely-held equity interests		
Other		
Total. (Col (b) must equal Form 990, Part X, col (B) line 12.) ▶		

Part VIII Investments - Program Related. See Form 990, Part X, line 13.

(a) Description of investment type	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
Total. (Col (b) must equal Form 990, Part X, col (B) line 13.) ▶		

Part IX Other Assets. See Form 990, Part X, line 15.

(a) Description	(b) Book value
Total. *(Column (b) must equal Form 990, Part X, col (B) line 15.)* ▶	

Part X Other Liabilities. See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Amount
Federal income taxes	
DUE TO RELATED PARTY	82,800.
CAPITAL LEASE	220,666.
ACCRUED PENSION OBLIGATION	3,853,224.
DEFERRED COMPENSATION LIABILITY	61,167.
Total. *(Column (b) must equal Form 990, Part X, col (B) line 25.)* ▶	4,217,857.

2. FIN 48 Footnote. In Part XIV, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48.

Part XI Reconciliation of Change in Net Assets from Form 990 to Audited Financial Statements

1	Total revenue (Form 990, Part VIII, column (A), line 12)	1	15,125,480.
2	Total expenses (Form 990, Part IX, column (A), line 25)	2	15,965,096.
3	Excess or (deficit) for the year. Subtract line 2 from line 1	3	-839,616.
4	Net unrealized gains (losses) on investments	4	2,368,028.
5	Donated services and use of facilities	5	
6	Investment expenses	6	
7	Prior period adjustments	7	
8	Other (Describe in Part XIV.)	8	520,420.
9	Total adjustments (net). Add lines 4 through 8	9	2,888,448.
10	Excess or (deficit) for the year per audited financial statements. Combine lines 3 and 9	10	2,048,832.

Part XII Reconciliation of Revenue per Audited Financial Statements With Revenue per Return

1	Total revenue, gains, and other support per audited financial statements			1	17,502,069.
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:				
a	Net unrealized gains on investments	2a	2,368,028.		
b	Donated services and use of facilities	2b			
c	Recoveries of prior year grants	2c			
d	Other (Describe in Part XIV.)	2d	8,561.		
e	Add lines **2a** through **2d**			2e	2,376,589.
3	Subtract line **2e** from line **1**			3	15,125,480.
4	Amounts included on Form 990, Part VIII, line 12, but not on line **1**:				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a			
b	Other (Describe in Part XIV.)	4b			
c	Add lines **4a** and **4b**			4c	0.
5	Total revenue. Add lines **3** and **4c.** *(This must equal Form 990, Part I, line 12.)*			5	15,125,480.

Part XIII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return

1	Total expenses and losses per audited financial statements			1	16,494,077.
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:				
a	Donated services and use of facilities	2a			
b	Prior year adjustments	2b			
c	Other losses	2c			
d	Other (Describe in Part XIV.)	2d	528,981.		
e	Add lines **2a** through **2d**			2e	528,981.
3	Subtract line **2e** from line **1**			3	15,965,096.
4	Amounts included on Form 990, Part IX, line 25, but not on line **1**:				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a			
b	Other (Describe in Part XIV.)	4b			
c	Add lines **4a** and **4b**			4c	0.
5	Total expenses. Add lines **3** and **4c.** *(This must equal Form 990, Part I, line 18.)*			5	15,965,096.

Part XIV Supplemental Information

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, line 8; Part XII, lines 2d and 4b; and Part XIII, lines 2d and 4b. Also complete this part to provide any additional information.

PART X: MANAGEMENT HAS CONCLUDED THAT THE ASSOCIATION HAS MAINTAINED ITS EXEMPT STATUS; ALL REVENUE WITHIN THE STATEMENT OF ACTIVITIES HAS BEEN PROPERLY CLASSIFIED FOR THE YEAR ENDED DECEMBER 31, 2009 AND DOES NOT HAVE ANY UNCERTAIN TAX POSITIONS AS OF DECEMBER 31, 2009.

PART XI, LINE 8 - OTHER ADJUSTMENTS:

FAS 158 ADJUSTMENT: 520420.

Part XIV Supplemental Information *(continued)*

PART XII, LINE 2D - OTHER ADJUSTMENTS:

RENTAL EXPENSES: 8561.

PART XIII, LINE 2D - OTHER ADJUSTMENTS:

FAS 158 ADJUSTMENT: 520420.

RENTAL EXPENSES: 8561.

SCHEDULE J (Form 990)

Department of the Treasury
Internal Revenue Service

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 23.

▶ Attach to Form 990. ▶ See separate instructions.

OMB No. 1545-0047

2009

Open to Public Inspection

Name of the organization
NATIONAL MINING ASSOCIATION

Employer identification number
52-1916480

Part I Questions Regarding Compensation

		Yes	No
1a Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items. ☐ First-class or charter travel ☐ Travel for companions ☐ Tax indemnification and gross-up payments ☐ Discretionary spending account ☐ Housing allowance or residence for personal use ☐ Payments for business use of personal residence ☒ Health or social club dues or initiation fees ☐ Personal services (e.g., maid, chauffeur, chef)			
b If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain	1b		X
2 Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all officers, directors, trustees, and the CEO/Executive Director, regarding the items checked in line 1a?	2	X	
3 Indicate which, if any, of the following the organization uses to establish the compensation of the organization's CEO/Executive Director. Check all that apply. ☐ Compensation committee ☒ Independent compensation consultant ☐ Form 990 of other organizations ☒ Written employment contract ☒ Compensation survey or study ☒ Approval by the board or compensation committee			
4 During the year, did any person listed in Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization:			
a Receive a severance payment or change-of-control payment?	4a	X	
b Participate in, or receive payment from, a supplemental nonqualified retirement plan?	4b	X	
c Participate in, or receive payment from, an equity-based compensation arrangement?	4c		X
If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.			
Only section 501(c)(3) and 501(c)(4) organizations must complete lines 5-9.			
5 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:			
a The organization?	5a		
b Any related organization?	5b		
If "Yes" to line 5a or 5b, describe in Part III.			
6 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:			
a The organization?	6a		
b Any related organization?	6b		
If "Yes" to line 6a or 6b, describe in Part III.			
7 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III	7		
8 Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regs. section 53.4958-4(a)(3)? If "Yes," describe in Part III	8		
9 If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?	9		

Part II **Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees.** Use Schedule J-1 if additional space is needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

Note. The sum of columns (B)(i)-(iii) must equal the applicable column (D) or column (E) amounts on Form 990, Part VII, line 1a.

(A) Name		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported in prior Form 990 or Form 990-EZ
		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
HAROLD QUINN, JR.	(i)	424,518.	253,000.	39,673.	65,523.	27,071.	809,785.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
ROGER ROBERTS	(i)	141,170.	28,000.	9,765.	24,401.	12,547.	215,883.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
MARY SWEENEY	(i)	159,180.	35,000.	16,260.	31,941.	25,596.	267,977.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
BRUCE WATZMAN	(i)	217,930.	70,000.	23,918.	64,048.	32,374.	408,270.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
MOYA PHELLEPS	(i)	137,880.	22,900.	23,173.	40,296.	11,388.	235,637.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
DANIEL GERKIN	(i)	207,990.	38,000.	25,366.	103,605.	25,814.	400,775.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
MERLYN NOLAN	(i)	273,430.	80,000.	17,010.	36,173.	35,069.	441,682.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
CAROL RAULSTON	(i)	218,170.	50,000.	27,504.	55,851.	13,895.	365,420.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
KAREN BENNETT	(i)	140,930.	25,000.	9,765.	28,710.	25,383.	229,788.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
DAVID FINKENBINDER	(i)	134,872.	22,000.	15,331.	47,184.	21,066.	240,453.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
JULIA GUSTAFSON	(i)	157,978.	21,750.	9,384.	20,080.	12,718.	221,910.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
GLENN KELLY	(i)	200,170.	30,900.	31,410.	12,297.	12,982.	287,759.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
LUKE POPOVICH	(i)	118,170.	15,000.	25,128.	32,258.	12,496.	203,052.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
KRAIG NAASZ	(i)	0.	0.	463,823.	0.	0.	463,823.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
	(i)							
	(ii)							
	(i)							
	(ii)							

Part III Supplemental Information

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 4c, 5a, 5b, 6a, 6b, 7, and 8. Also complete this part for any additional information.

PART I, LINE 1A: CLUB BENEFITS ARE AVAILABLE TO MEMBERS OF SENIOR MANAGEMENT ON AN AS-APPROPRIATE BASIS. FIVE OFFICERS OR KEY EMPLOYEES RECEIVED CLUB DUES AS A BENEFIT, WHICH WAS NONTAXABLE TO THE RECIPIENTS.

PART I, LINE 1B: NMA DOES NOT HAVE A WRITTEN POLICY CONCERNING CLUB DUES. THEY ARE APPROVED BY THE CEO ON A CASE-BY-CASE BASIS FOR SENIOR MANAGEMENT WITH A VALID BUSINESS PURPOSE AND ARE REIMBURSED BASED UPON ACTUAL COST.

PART I, LINE 4A:

KRAIG NAASZ	SEVERANCE PAYMENTS	463,823
HAROLD QUINN, JR.	NON-QUALIFIED RETIREMENT PLAN	16,500

SCHEDULE J-2
(Form 990)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Form 990

▶ Attach to Form 990 to list additional information for Form 990, Part VII, Section A, line 1a.
▶ See the Instructions for Form 990.

OMB No. 1545-0047
2009
Open to Public Inspection

Name of the Organization: NATIONAL MINING ASSOCIATION
Employer Identification number: 52-1916480

Part I **Continuation of Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees**

(A) Name and title	(B) Average hours per week	(C) Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
JOHN GALASSINI DIRECTOR	1.00	X						0.	0.	0.
TIM GITZEL DIRECTOR	1.00	X						0.	0.	0.
DAVID GODLEWSKI DIRECTOR	1.00	X						0.	0.	0.
JOHN GRISHAM DIRECTOR	1.00	X						0.	0.	0.
BENJAMIN GUENTHER DIRECTOR	1.00	X						0.	0.	0.
LEROY HAGENBUCH DIRECTOR	1.00	X						0.	0.	0.
PETER HALPIN DIRECTOR	1.00	X						0.	0.	0.
JOHN HAMRICK DIRECTOR	1.00	X						0.	0.	0.
DOUGLAS HARDMAN DIRECTOR	1.00	X						0.	0.	0.
J. BRETT HARVEY DIRECTOR	1.00	X						0.	0.	0.
BENNETT HATFIELD DIRECTOR	1.00	X						0.	0.	0.
RON HOCHSTEIN DIRECTOR	1.00	X						0.	0.	0.
ROBERT HOGAN, JR. DIRECTOR	1.00	X						0.	0.	0.
THOMAS JANECK DIRECTOR	1.00	X						0.	0.	0.
CHARLES JEANNES DIRECTOR	1.00	X						0.	0.	0.
TONY JENSEN DIRECTOR	1.00	X						0.	0.	0.
GLENN KELLOW DIRECTOR	1.00	X						0.	0.	0.
KEITH KIMBLE DIRECTOR	1.00	X						0.	0.	0.
GREG LANG DIRECTOR	1.00	X						0.	0.	0.
GUY LEBLANC DIRECTOR	1.00	X						0.	0.	0.

SCHEDULE J-2 (Form 990)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Form 990

▶ Attach to Form 990 to list additional information for Form 990, Part VII, Section A, line 1a.
▶ See the Instructions for Form 990.

OMB No. 1545-0047
2009
Open to Public Inspection

Name of the Organization: NATIONAL MINING ASSOCIATION

Employer Identification number: 52-1916480

Part I **Continuation of Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees**

(A) Name and title	(B) Average hours per week	(C) Position (check all that apply): Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
STEVEN LEER DIRECTOR	1.00	X						0.	0.	0.
KEVIN LOUGHREY DIRECTOR	1.00	X						0.	0.	0.
TOM MACKALL DIRECTOR	1.00	X						0.	0.	0.
MICHAEL MCLANAHAN DIRECTOR	1.00	X						0.	0.	0.
ROBERT MURRAY DIRECTOR	1.00	X						0.	0.	0.
FRED NELSON DIRECTOR	1.00	X						0.	0.	0.
RICHARD O'BRIEN DIRECTOR	1.00	X						0.	0.	0.
DAVID POTTER DIRECTOR	1.00	X						0.	0.	0.
MICHAEL QUILLEN DIRECTOR	1.00	X						0.	0.	0.
JOHN RICH, JR. DIRECTOR	1.00	X						0.	0.	0.
DUANE RICHARDS DIRECTOR	1.00	X						0.	0.	0.
GEORGE RICHMOND DIRECTOR	1.00	X						0.	0.	0.
DANIEL ROLING DIRECTOR	1.00	X						0.	0.	0.
BRIAN RONCK DIRECTOR	1.00	X						0.	0.	0.
MARK SMITH DIRECTOR	1.00	X						0.	0.	0.
RICHARD SMITH DIRECTOR	1.00	X						0.	0.	0.
MARK SNYDER DIRECTOR	1.00	X						0.	0.	0.
VICTOR STABIO DIRECTOR	1.00	X						0.	0.	0.
ROBERT STENGER DIRECTOR	1.00	X						0.	0.	0.
BRIAN SULLIVAN DIRECTOR	1.00	X						0.	0.	0.

SCHEDULE J-2 (Form 990)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Form 990

▶ Attach to Form 990 to list additional information for Form 990, Part VII, Section A, line 1a.

▶ See the Instructions for Form 990.

OMB No. 1545-0047

2009

Open to Public Inspection

Name of the Organization: NATIONAL MINING ASSOCIATION

Employer Identification number: 52-1916480

Part I Continuation of Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

(A) Name and title	(B) Average hours per week	(C) Position (check all that apply): Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
MICHAEL SUTHERLIN DIRECTOR	1.00	X						0.	0.	0.
WILLIAM TATE DIRECTOR	1.00	X						0.	0.	0.
CHARLES UNGUREAN DIRECTOR	1.00	X						0.	0.	0.
JOSEPH USIBELLI, JR. DIRECTOR	1.00	X						0.	0.	0.
DENNIS WHEELER DIRECTOR	1.00	X						0.	0.	0.
RICHARD WHITING DIRECTOR	1.00	X						0.	0.	0.
GARY GOLDBERG CHAIRMAN	1.00	X		X				0.	0.	0.
GREGORY BOYCE VICE CHAIRMAN	1.00	X		X				0.	0.	0.
FRANCIS MCALLISTER DIRECTOR	1.00	X						0.	0.	0.
HAROLD QUINN, JR. PRESIDENT & CEO	35.00			X				717,191.	0.	92,594.
ROGER ROBERTS TREASURER/SVP FINANCE	35.00			X				178,935.	0.	36,948.
MARY SWEENEY ASSISTANT SECRETARY	35.00			X				210,440.	0.	57,537.
BRUCE WATZMAN SECRETARY	35.00			X				311,848.	0.	96,422.
MOYA PHELLEPS SVP MEMBERSHIP	35.00				X			183,953.	0.	51,684.
DANIEL GERKIN SVP POLITICAL	35.00				X			271,356.	0.	129,419.
MERLYN NOLAN SVP GOVERNMENT AFFAIRS	35.00				X			370,440.	0.	71,242.
CAROL RAULSTON SVP COMMUNICATIONS	35.00				X			295,674.	0.	69,746.
KAREN BENNETT DIRECTOR, WATER QUALITY	35.00					X		175,695.	0.	54,093.
DAVID FINKENBINDER VP CONGRESSIONAL AFFAIRS	35.00					X		172,203.	0.	68,250.
JULIA GUSTAFSON DIRECTOR, CONGRESSIONAL	35.00					X		189,112.	0.	32,798.

SCHEDULE J-2
(Form 990)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Form 990

▶ Attach to Form 990 to list additional information for Form 990, Part VII, Section A, line 1a.
▶ See the Instructions for Form 990.

OMB No. 1545-0047
2009
Open to Public Inspection

Name of the Organization: NATIONAL MINING ASSOCIATION

Employer Identification number: 52-1916480

Part I **Continuation of Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees**

(A) Name and title	(B) Average hours per week	(C) Position (check all that apply): Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
GLENN KELLY VP GOVERNMENT AFFAIRS	35.00					X		262,480.	0.	25,279.
LUKE POPOVICH VP EXTERNAL COMMUNICATIO	35.00					X		158,298.	0.	44,754.
KRAIG NAASZ FORMER PRESIDENT & CEO	0.00						X	463,823.	0.	0.

SCHEDULE O (Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990

Complete to provide information for responses to specific questions on Form 990 or to provide any additional information.
▶ Attach to Form 990.

OMB No. 1545-0047

2009

Open to Public Inspection

Name of the organization	Employer identification number
NATIONAL MINING ASSOCIATION	52-1916480

FORM 990, PART I, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:
MINERAL INDUSTRY ON PUBLIC POLICY ISSUES BEFORE CONGRESS, THE EXECUTIVE
BRANCH, AND THE JUDICIARY AND PREPARE AND DISTRIBUTE INFORMATION TO
CARRY OUT THESE PURPOSES.

FORM 990, PART III, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:
POLICY PROCESS ON THE MOST SIGNIFICANT AND TIMELY ISSUES THAT IMPACT
OUR ABILITY TO LOCATE, PERMIT, MINE, PROCESS, TRANSPORT, AND UTILIZE
THE NATION'S VAST COAL AND MINERAL RESOURCES.

NMA SERVICES ITS MEMBERSHIP BY:
-PROMOTING THE PRODUCTION AND USE OF COAL AND MINERAL RESOURCES
PRODUCED BY THE US MINING INDUSTRY;
-ESTABLISHING A STRONG POLITICAL PRESENCE IN THE NATION'S CAPITAL ON
BEHALF OF NMA'S MEMBERSHIP;
-SERVING AS THE INFORMATION CENTER FOR AND A SINGLE VOICE OF THE US
MINING INDUSTRY;
-AND ADDRESSING THE CURRENT AND FUTURE NEEDS OF THE INDUSTRY, MINING
EQUIPMENT MANUFACTURERS, AND SUPPORT SERVICES MEMBERS OF THE
ASSOCIATION.

FORM 990, PART III, LINE 4D, OTHER PROGRAM SERVICES:
DEVELOP GENERAL INDUSTRY AND ISSUE SPECIFIC ADVERTISING CAMPAIGNS

FORM 990, PART VI, SECTION A, LINE 6: ANY ORGANIZATION, CORPORATION,
PARTNERSHIP, COMPANY, OR INDIVIDUAL ENGAGED IN OR CLOSELY ASSOCIATED WITH

SCHEDULE O (Form 990)

Department of the Treasury Internal Revenue Service

Supplemental Information to Form 990

Complete to provide information for responses to specific questions on Form 990 or to provide any additional information.
▶ Attach to Form 990.

OMB No. 1545-0047

2009

Open to Public Inspection

Name of the organization	Employer identification number
NATIONAL MINING ASSOCIATION	52-1916480

MINING ACTIVITIES SHALL BE ELIGIBLE FOR MEMBERSHIP. MEMBERSHIP IN THE ASSOCIATION CONSISTS OF THE FOLLOWING CLASSES:

PRODUCER MEMBERSHIP - ANY ORGANIZATION CORPORATION, PARTNERSHIP, COMPANY, OR INDIVIDUAL ENGAGED IN THE MINING AND PRODUCTION OR COAL OR MINERALS. PRODUCERS MEMBERS ARE DIVIDED INTO TWO SEPARATE CLASSES (I) COAL PRODUCER MEMBERS AND (II) MINERAL PRODUCER MEMBERS.

MANUFACTURERS AND SERVICES MEMBERSHIP - ANY ORGANIZATION, CORPORATION PARTNERSHIP, COMPANY, OR INDIVIDUAL ENGAGED IN THE MANUFACTURE OF MINING MACHINERY, EQUIPMENT, AND SUPPLIES. MINING RELATED SERVICES OR CLOSELY ASSOCIATED WITH AND INTERESTED IN PROMOTING THE GENERAL WELFARE OF THE MINING INDUSTRY, BUT NOT ENGAGED IN MINING.

FORM 990, PART VI, SECTION A, LINE 7A: THE BOARD OF DIRECTORS SHALL CONSIST OF DIRECTORS SELECTED AS FOLLOWS:

1) FORTY DIRECTORS ELECTED BY, AND REPRESETING AS A CLASS, COAL PRODUCER MEMBERS; AND FORTY DIRECTORS ELECTED BY, AND REPRESENTING AS A CLASS, MINERAL PRODUCER MEMBERS; AND

2) FOURTEEN DIRECTORS ELECTED BY, AND REPRESENTING AS A CLASS, MANUFACTURERS AND SERVICES MEMBERS; AND

3) THE PRESIDENT OF THE ASSOCIATION, EX OFFICIO.

SCHEDULE O (Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990

Complete to provide information for responses to specific questions on Form 990 or to provide any additional information.
▶ Attach to Form 990.

OMB No. 1545-0047

2009

Open to Public Inspection

Name of the organization	Employer identification number
NATIONAL MINING ASSOCIATION	52-1916480

DIRECTORS SHALL BE ELECTED BY A MAJORITY VOTE AT THE ANNUAL MEETING OF THE ASSOCIATION.

FORM 990, PART VI, SECTION B, LINE 11: FORM 990 IS REVIEWED BY SENIOR MANAGEMENT AND GENERAL COUNSEL AND ANY CHANGES ARE COMMUNICATED TO THE OUTSIDE ACCOUNTANTS PRIOR TO FILING THE RETURN.

FORM 990, PART VI, SECTION B, LINE 15: THE ORGANIZATION UTILIZES A COMPENSATION CONSULTANT TO PERFORM A BI-ANNUAL COMPENSATION SURVEY OF COMPARABLE TRADE ASSOCIATIONS. NATIONAL MINING ASSOCIATION'S GOAL WHEN SETTING SALARIES FOR ALL EMPLOYEES IS TO HAVE THEIR COMPENSATION AT THE 75TH PERCENTILE OF COMPARABLE TRADE ASSOCIATIONS USING THE RESULTS FROM THIS SURVEY. FURTHERMORE, THE PRESIDENT AND CEO'S SALARY IS NEGOTIATED VIA CONTRACT WITH THE FOLLOWING BOARD MEMBERS: CHAIRMAN, VICE CHAIRMAN, AND CHAIRMAN OF THE AUDIT AND FINANCE COMMITTEE.

FORM 990, PART VI, SECTION C, LINE 19: THE ORGANIZATION DOES NOT GENERALLY MAKE ITS GOVERNING DOCUMENTS AND FINANCIAL STATEMENTS AVAILABLE TO THE PUBLIC.

SCHEDULE R (Form 990)
Department of the Treasury
Internal Revenue Service

Related Organizations and Unrelated Partnerships

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 33, 34, 35, 36, or 37.

▶ Attach to Form 990. ▶ See separate instructions.

OMB No. 1545-0047
2009
Open to Public Inspection

Name of the organization NATIONAL MINING ASSOCIATION

Employer identification number 52-1916480

Part I **Identification of Disregarded Entities** (Complete if the organization answered "Yes" to Form 990, Part IV, line 33.)

(a) Name, address, and EIN of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity

Part II **Identification of Related Tax-Exempt Organizations** (Complete if the organization answered "Yes" to Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity
AMERICAN COAL FOUNDATION - 52-1236554 101 CONSTITUTION AVE, STE 525E WASHINGTON, DC 20001	EDUCATIONAL FOUNDATION	DISTRICT OF COLUMBIA	501(C)(3)	11-II	N/A
MINEPAC - 52-1939409 101 CONSTITUTION AVE, STE 525E WASHINGTON, DC 20001	POLITICAL ACTION COMMITTEE	DISTRICT OF COLUMBIA	527		N/A
COALPAC - 52-1322749 101 CONSTITUTION AVE, STE 525E WASHINGTON, DC 20001	POLITICAL ACTION COMMITTEE	DISTRICT OF COLUMBIA	527		N/A

Part III **Identification of Related Organizations Taxable as a Partnership** (Complete if the organization answered "Yes" to Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income (related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportionate allocations? Yes	(h) No	(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner? Yes	(j) No

Part IV **Identification of Related Organizations Taxable as a Corporation or Trust** (Complete if the organization answered "Yes" to Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership

Part V **Transactions With Related Organizations** (Complete if the organization answered "Yes" to Form 990, Part IV, line 34, 35, or 36.)

Note. Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.		**Yes**	**No**
1 During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?			
a Receipt of **(i)** interest **(ii)** annuities **(iii)** royalties or **(iv)** rent from a controlled entity	**1a**		X
b Gift, grant, or capital contribution to other organization(s)	**1b**		X
c Gift, grant, or capital contribution from other organization(s)	**1c**		X
d Loans or loan guarantees to or for other organization(s)	**1d**		X
e Loans or loan guarantees by other organization(s)	**1e**		X
f Sale of assets to other organization(s)	**1f**		X
g Purchase of assets from other organization(s)	**1g**		X
h Exchange of assets	**1h**		X
i Lease of facilities, equipment, or other assets to other organization(s)	**1i**		X
j Lease of facilities, equipment, or other assets from other organization(s)	**1j**		X
k Performance of services or membership or fundraising solicitations for other organization(s)	**1k**		X
l Performance of services or membership or fundraising solicitations by other organization(s)	**1l**		X
m Sharing of facilities, equipment, mailing lists, or other assets	**1m**	X	
n Sharing of paid employees	**1n**	X	
o Reimbursement paid to other organization for expenses	**1o**	X	
p Reimbursement paid by other organization for expenses	**1p**		X
q Other transfer of cash or property to other organization(s)	**1q**		X
r Other transfer of cash or property from other organization(s)	**1r**		X

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds.

	(a) Name of other organization(s)	**(b)** Transaction type (a-r)	**(c)** Amount involved
(1)			
(2)			
(3)			
(4)			
(5)			
(6)			

Part VI **Unrelated Organizations Taxable as a Partnership** (Complete if the organization answered "Yes" to Form 990, Part IV, line 37.)

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

(a) Name, address, and EIN of entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Are all partners section 501(c)(3) organizations?		(e) Share of end-of-year assets	(f) Disproportionate allocations?		(g) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	(h) General or managing partner?	
			Yes	No		Yes	No		Yes	No

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