

COMMITTEE ON NATURAL RESOURCES
Disclosure Form
As required by and provided for in House Rule XI, clause 2(g) and
the Rules of the Committee on Natural Resources

For Individuals:

1. Name: N/A
2. Address: N/A
3. Email Address: N/A
4. Phone Number: N/A

* * * * *

For Witnesses Representing Organizations:

1. Name:
Andrew J. Pershing
2. Name of Organization(s) You are Representing at the Hearing:
University of Maine
Gulf of Maine Research Institute
3. Business Address:
Gulf of Maine Research Institute
350 Commercial St.
Portland, ME 04101
4. Business Email Address:
[Information redacted for privacy]
5. Business Phone Number:
207-228-1656

Name/Organization _____ Andrew Pershing / University of Maine and Gulf of Maine Research Institute
Title/Date of Hearing _____ Legislative Hearing on HR3906, HR6007, and HR6096 /July 19, 2012

a. Any training or educational certificates, diplomas or degrees or other educational experiences that are relevant to your qualifications to testify on or knowledge of the subject matter of the hearing.

Ph. D. in Ecology and Evolutionary Biology from Cornell University (2001).

b. Any professional licenses, certifications, or affiliations held that are relevant to your qualifications to testify on or knowledge of the subject matter of the hearing.

N/A

c. Any employment, occupation, ownership in a firm or business, or work-related experiences that relate to your qualifications to testify on or knowledge of the subject matter of the hearing.

I have been employed as a professional scientist since 2001. I am presently an Associate Research Professor of Oceanography at the University of Maine and hold a joint appointment as a Research Scientist at the Gulf of Maine Research Institute.

d. Any federal grants or contracts (including subgrants or subcontracts) from the Department of the Interior (and /or other agencies invited) that you have received in the current year and previous four years, including the source and the amount of each grant or contract.

I have not been funded by the Department of the Interior, but I have received funding from the Department of Commerce through NOAA:

Impact of oceanographic changes on Atlantic salmon survival in the Northwest Atlantic

Principal Investigators: Pershing, A. J., D. G. Mountain, H. Xue, J. Stockwell.

Award Amount: \$396,940

e. A list of all lawsuits or petitions filed by you against the federal government in the current year and the previous four years, giving the name of the lawsuit or petition, the subject matter of the lawsuit or petition, and the federal statutes under which the lawsuits or petitions were filed.

N/A

f. Any other information you wish to convey that might aid the Members of the Committee to better understand the context of your testimony.

My research focuses on the response of marine ecosystems to climate variability and change, with an emphasis on the Northwest Atlantic and the Gulf of Maine. I have contributed to more than 30 peer-reviewed publications in this field. In particular, my research has helped document how an increase in the supply of freshwater and ice from the Arctic led to an abrupt shift in the plankton community on the Northwest Atlantic shelf that persisted through the 1990s. The change in the plankton community impacted fish populations, including cod, herring, and haddock, as well as the endangered right whale.

Name/Organization _____ Andrew Pershing / University of Maine and Gulf of Maine Research Institute
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In addition, for witnesses representing organizations:

g. Any offices, elected positions, or representational capacity held in the organization(s) on whose behalf you are testifying.

N/A

h. Any federal grants or contracts (including subgrants or subcontracts) from the *Department of the Interior (and /or other agencies invited)* that were received in the current year and previous four years by the organization(s) you represent at this hearing, including the source and amount of each grant or contract for each of the organization(s).

In a typical fiscal year, the University of Maine submits between 600 and 700 proposals to potential sponsors and receives between 400 and 500 awards. The University's Annual Reports on Sponsored Activity break down these awards in many ways, including by donors, including the U.S. Department of Interior and other Federal agency sponsors. The annual reports can be viewed at: <http://umaine.edu/orsp/reports/>.

GMRI: Included in "PershingGMRIDisclosureDocs.pdf"

i. A list of all lawsuits or petitions filed by the organization(s) you represent at the hearing against the federal government in the current year and the previous four years, giving the name of the lawsuit or petition, the subject matter of the lawsuit or petition, and the federal statutes under which the lawsuits or petitions were filed for each of the organization(s).

University of Maine: We are aware not aware of any.
GMRI: N/A

j. A list of any countries from which the organization(s) you represent at the hearing have received foreign donations and the total amount of donations received from each country, for the current year and the previous four years, by each organization.

An annual report on gifts and fund-raising can be viewed at: <http://www.maine.edu/pdf/gift11.pdf>
The University accepts donations to its alumni fund, capital campaigns and special fund-raising drives from alumni, parents, friends, foundations, companies, non-profits and service organization. A total amount by country may be available from the University's Development Office, but we cannot provide by the Subcommittee's deadline for submission of this disclosure statement. Please notify Michael Hastings, Director of Research and Sponsored Program if you want the University to develop and submit totals by country after the hearing occurs.

GMRI: N/A

Name/Organization_____ Andrew Pershing / University of Maine and Gulf of Maine Research Institute
Title/Date of Hearing _____ Legislative Hearing on HR3906, HR6007, and HR6096 /July 19, 2012

k. For tax-exempt organizations and non-profit organizations, copies of the three most recent public IRS Form 990s (including Form 990-PF, Form 990-N, and Form 990-EZ) for each of the organization(s) you represent at the hearing (not including any contributor names and addresses or any information withheld from public inspection by the Secretary of the Treasury under 26 U.S.C. 6104)).

GMRI: Included in "PershingGMRIDisclosureDocs.pdf"

The University of Maine, a state land and sea grant university, is not required by the IRS to file a Form 990.

Copy of Government Projects 2008-2012

Project ID	Project description	Project type	Prime Agreement Source	Funding Source	Grant/Contract number	Start date	End date	Award Amount
10-DEPS-08	DEPSCoR work for USM 2008	Federal Pass Thru Grant	Department of Defense	USM	PR07695	6/1/08	5/31/09	\$ 6,970
10-EVTR-09	Electronic Vessel Trip Reporting	Federal Pass Thru Grant	Department of Commerce	WHOI	A100668	7/1/09	10/31/10	\$ 614,382
10-FTT-08	Flounder Trawl TED	Federal Contract	Department of Commerce	DOC - NOAA Fisheries	EA133F08CN0230	9/18/08	11/30/09	\$ 76,189
10-GMEN-09	Environmental Exchange Network 2 - ME DMR	Federal Pass Thru Grant	Department of Commerce	SOM-DMR		12/15/09	6/30/11	\$ 360,612
10-GMO20-07	Quality Assurance to OCG	Federal Pass Thru Grant	Department of Commerce	WHOI	A100573	1/1/08	12/31/10	\$ 83,778
10-HREQ-08	HRSA Equipment Grant	Federal Grant	Department of Health and Human Services	DHHS	C76HF11029	9/1/08	8/31/09	\$ 132,288
10-HRSA-08	Herring Aggregations - RSA	Federal Grant	Department of Commerce	NOAA-RSA	NA08NMF4540429	3/1/08	5/31/10	\$ -
10-LPRC-08	UNH - Large Pelagics	Federal Pass Thru Grant	Department of Commerce	UNH	09-033	9/1/08	6/1/10	\$ 142,499
10-MA-08	Monkfish Archival - RSA	Federal Grant	Department of Commerce	NOAA-RSA	NA08NMF4540431	5/1/08	4/30/10	\$ -
10-MAG-09	Monkfish Age Validation - RSA	Federal Grant	Department of Commerce	NOAA-RSA	NA09NMF4540047	5/1/09	12/31/10	\$ -
10-MANEC-08	Monkfish Archival - NEC	Federal Pass Thru Grant	Department of Commerce	NEC	09-042	10/7/08	6/30/10	\$ 55,000
10-MSF-08	Monkfish Study Fleet RSA	Federal Grant	Department of Commerce	NOAA-RSA	NA08NMF4540430	5/1/08	8/31/10	\$ -
10-MT-09	Monkfish Movement Tagging - RSA	Federal Grant	Department of Commerce	NOAA-RSA	NA09NMF4540046	5/1/09	12/31/09	\$ -
10-SBS-08	Shrimp Bycatch Survey	Federal Pass Thru Grant	Department of Commerce	NEC	09-020	7/1/08	6/30/10	\$ 84,832
10-SCS-09	Social Capital Survey	Federal Pass Thru Grant	Department of Commerce	WHOI	A100667	7/1/09	6/30/10	\$ 89,015
10-SF-08	Study Fleet	Federal Grant	Department of Commerce	DOC - NOAA Fisheries	NA07NMF4720278	9/1/08	8/31/10	\$ 112,526
10-SGAM-07	Sea Grant Area Management (Yr 1 of 2)	Federal Pass Thru Grant	Department of Commerce	UMO-Sea Grant	UM-S696	2/1/08	1/31/10	\$ 19,135
10-SGAM-09	Sea Grant Area Management (Yr-2)	Federal Pass Thru Grant	Department of Commerce	UMO-Sea Grant	na	2/1/09	1/31/10	\$ 21,948
10-SRSA-08	Scallop Twine Top - RSA 2008	Federal Grant	Department of Commerce	DOC - NOAA Fisheries	NA08NMF4540667	7/1/08	2/28/10	\$ -
20-DSM-09	Dockside Monitoring for Sectors	Federal Pass Thru Grant	Department of Commerce	DOC - NOAA Fisheries	A100670	7/1/09	6/30/11	\$ 1,819,996
20-MREP-08ne	MREP - NEC 2008	Federal Pass Thru Grant	Department of Commerce	NEC	09-024	8/6/08	6/30/10	\$ 116,483
20-MREP-09hi	MREP - Western Pacific	Federal Contract	Department of Commerce	DOC - NOAA Fisheries	AB133F09SE2802	6/30/09	7/25/09	\$ 2,750
20-MREP-09nm	MREP 2009-2011	Federal Pass Thru Grant	Department of Commerce	DOC - NOAA Fisheries	A100669	7/1/09	10/31/11	\$ 381,868
20-SEEXT-09	Sectors - NOAA 09	Federal Grant	Department of Commerce	DOC - NOAA Fisheries	NA09OAR4170214	6/1/09	5/31/10	\$ 194,800
30-VIVE-08	Vital Venture - BWET Billable	Federal Grant	Department of Commerce	NOAA	NA08SEC4690044	10/1/08	9/30/11	\$ 199,912
10-CCS-10	Codend Catch Sensors	Federal Contract	Department of Commerce	NOAA - Contract	EA133F10CN0055	3/1/10	12/31/12	\$ 76,421
10-RCEM-10	Red Crab Electronic Monitoring	Federal Pass Thru Grant	Department of Commerce	NEC	10-073	2/28/10	2/28/12	\$ 41,092
10-GMRCV-10	IOOS RCV Project	Federal Pass Thru Grant	Department of Commerce	WHOI	A100731	4/1/10	8/31/10	\$ 40,000
10-ESLI-10	Economic Structure GOM Lobster Industry	Federal Pass Thru Grant	Department of Commerce	WHOI	A100730	5/1/10	6/30/12	\$ 43,567
20-DSM-10	Dockside Monitoring and Sector Operations - 2011	Federal Pass Thru Grant	Department of Commerce	WHOI	A100740	5/1/10	6/30/13	\$ 1,420,996
10-GMMT-09	NOAA Model Testbed	Federal Pass Thru Grant	Department of Commerce	SURA	2010-004	6/1/10	12/31/11	\$ 72,304
10-HSG-10	Herring Sorting Grid	Federal Pass Thru Grant	Department of Commerce	NEC	10-082	6/1/10	6/30/12	\$ 29,629
20-CRA-10	Crew Rapid Assessment	Federal Pass Thru Grant	Department of Commerce	WHOI	A100732	6/1/10	12/31/11	\$ 49,890
10-OBG-10	Oyster Biogeographic	Federal Grant	Department of Commerce	NSF	OCE-0961741	6/15/10	12/31/11	\$ 131,305
10-RFJ-10	Redfish Jigging	Federal Pass Thru Grant	Department of Commerce	NEC	11-027	7/1/10	6/30/12	\$ 34,670
20-EMST-10ne	Environmental Management System Training - NEC	Federal Pass Thru Grant	Department of Commerce	NEC	10-074	7/1/10	6/30/12	\$ 35,328
10-CAMEO-10	CAMEO - Gulf of Maine	Federal Grant	Department of Commerce	NSF	OCE-1041737	8/1/10	10/31/11	\$ 45,078
10-WT-10	Wolfish Tagging	Federal Pass Thru Grant	Department of Commerce	NEC	11-014	8/1/10	7/31/12	\$ 54,528
20-SKLE-10	SK Lobster Economics	Federal Grant	Department of Commerce	NOAA - Grant	NA10NMF4270209	8/1/10	7/31/12	\$ 165,659
10-ATLS-10	Atlantic Salmon Modeling	Federal Pass Thru Grant	Department of Commerce	WHOI	A100737	9/1/10	8/31/13	\$ 279,553
10-GNET-10	NE Gear Network	Federal Contract	Department of Commerce	NOAA - Contract	EA133F10CN0322	9/15/10	9/14/12	\$ 599,863
20-BAT-10	Bycatch Avoidance Tool	Federal Contract	Department of Commerce	NOAA - Contract	EA133F10CN0325	9/15/10	11/30/13	\$ 300,158
10-GMNER-10	NERACOOS RCOOS 2010	Federal Pass Thru Grant	Department of Commerce	NERACOOS	A001-006	10/1/10	9/30/12	\$ 89,999
20-SHCSK-10	SHC - Saltonstall-Kennedy Grant	Federal Grant	Department of Commerce	NOAA - Grant	NA10NMF4270205	10/1/10	9/30/12	\$ 176,486
10-GMGLOS-11	Great Lakes Observing System - Phase I	Federal Pass Thru Grant	Department of Commerce	GLOS	RCOOS08-07	1/15/11	9/30/11	\$ 10,000
20-MREP-11ne	MREP 2011 NEC	Federal Pass Thru Grant	Department of Commerce	NEC	11-102	3/1/11	12/31/11	\$ 150,000
10-GMRCV-11	IOOS RCV 2011	Federal Pass Thru Grant	Department of Commerce	WHOI	A100795	4/1/11	3/31/13	\$ 108,647
10-CUSKVS-11	Cusk Video Survey	Federal Pass Thru Grant	Department of Commerce	NEC	12-005	6/1/11	7/31/12	\$ 35,428
10-GMNER-11	NERACOOS RCOOS 2011	Federal Pass Thru Grant	Department of Commerce	NERACOOS	A002-006	6/1/11	5/31/16	\$ 75,499
20-SECBP-11	Sectors Business Planning	Federal Pass Thru Grant	Department of Commerce	WHOI	A100860	6/1/11	5/31/13	\$ 21,937
10-GMGLO2-11	Great Lakes Observing System - Phase II	Federal Pass Thru Grant	Department of Commerce	GLOS	RCOOS08-09	8/1/11	7/31/12	\$ 94,000
10-LIHS-10	Lobster Industry Herring Survey	Federal Pass Thru Grant	Department of Commerce	WHOI	A100868	8/1/11	12/31/13	\$ 399,957
20-CUSKWS-11	Cusk Workshop	Federal Contract	Department of Commerce	NOAA - Contract	EA133F11SE2319	8/16/11	3/1/12	\$ 34,712
10-TUNAG-11	Bluefin Tuna Aqing	Federal Pass Thru Grant	Department of Commerce	UMass	12-006742 B 00	9/1/11	8/31/12	\$ 12,797
20-MREP-11cr	MREP 2011 Coop Research	Federal Pass Thru Grant	Department of Commerce	WHOI	A100866	9/1/11	8/31/12	\$ 194,057
10-FS-11	Fishscape	Federal Pass Thru Grant	Department of Commerce	Dartmouth	914	10/1/11	9/30/13	\$ 111,200
10-HAS-10	Herring Acoustic Study	Federal Pass Thru Grant	Department of Commerce	NEC	12-056	11/1/11	12/31/12	\$ 62,425
							Total	\$ 9,512,168

Form **990**

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

OMB No. 1545-0047

2010

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

The organization may have to use a copy of this return to satisfy state reporting requirements.

A For the 2010 calendar year, or tax year beginning and ending

B Check if applicable: <input type="checkbox"/> Address change <input type="checkbox"/> Name change <input type="checkbox"/> Initial return <input type="checkbox"/> Terminated <input type="checkbox"/> Amended return <input type="checkbox"/> Application pending	C Name of organization		D Employer identification number
	GULF OF MAINE RESEARCH INSTITUTE		01-0504905
	Doing Business As		
	Number and street (or P.O. box if mail is not delivered to street address)	Room/suite	E Telephone number
	350 COMMERCIAL STREET		207-772-2321
City or town, state or country, and ZIP + 4		G Gross receipts \$ 14,687,627.	
PORTLAND, ME 04101		H(a) Is this a group return for affiliates? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
F Name and address of principal officer: DONALD W. PERKINS, JR. SAME AS C ABOVE		H(b) Are all affiliates included? <input type="checkbox"/> Yes <input type="checkbox"/> No	
I Tax-exempt status: <input checked="" type="checkbox"/> 501(c)(3) <input type="checkbox"/> 501(c) () (insert no.) <input type="checkbox"/> 4947(a)(1) or <input type="checkbox"/> 527		If "No," attach a list. (see instructions)	
J Website: WWW.GMRI.ORG		H(c) Group exemption number ▶	
K Form of organization: <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Trust <input type="checkbox"/> Association <input type="checkbox"/> Other ▶		L Year of formation: 1994	M State of legal domicile: ME

Part I Summary

Activities & Governance	1 Briefly describe the organization's mission or most significant activities: TO EDUCATE THE PUBLIC ABOUT THE GULF OF MAINE AND ITS WATERSHED, FACILITATING AND CONDUCTING MARINE		
	2 Check this box <input type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its net assets.		
	3 Number of voting members of the governing body (Part VI, line 1a)	3	27
	4 Number of independent voting members of the governing body (Part VI, line 1b)	4	27
	5 Total number of individuals employed in calendar year 2010 (Part V, line 2a)	5	64
	6 Total number of volunteers (estimate if necessary)	6	10
	7 a Total unrelated business revenue from Part VIII, column (C), line 12	7a	0.
b Net unrelated business taxable income from Form 990-T, line 34	7b	0.	
Revenue	8 Contributions and grants (Part VIII, line 1h)	Prior Year	Current Year
	9 Program service revenue (Part VIII, line 2g)	4,156,995.	9,284,241.
	10 Investment income (Part VIII, column (A), lines 3, 4, and 7d)	609,652.	786,387.
	11 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	-436,740.	81,817.
	12 Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	5,214.	85,533.
Expenses	13 Grants and similar amounts paid (Part IX, column (A), lines 1-3)	4,335,121.	10,237,978.
	14 Benefits paid to or for members (Part IX, column (A), line 4)	0.	0.
	15 Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	0.	0.
	16a Professional fundraising fees (Part IX, column (A), line 11e)	2,916,531.	3,522,242.
	b Total fundraising expenses (Part IX, column (D), line 25) ▶ 685,608.	3,066.	14,098.
	17 Other expenses (Part IX, column (A), lines 11a-11d, 11f-24f)	2,540,368.	3,768,889.
18 Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)	5,459,965.	7,305,229.	
19 Revenue less expenses. Subtract line 18 from line 12	-1,124,844.	2,932,749.	
Net Assets or Fund Balances	20 Total assets (Part X, line 16)	Beginning of Current Year	End of Year
	21 Total liabilities (Part X, line 26)	7,480,135.	11,234,624.
	22 Net assets or fund balances. Subtract line 21 from line 20	714,169.	1,449,844.
		6,765,966.	9,784,780.

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here	Signature of officer	Date
	DONALD W. PERKINS, JR., PRESIDENT	11/8/11

Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check if self-employed <input type="checkbox"/>	PTIN
	THOMAS EMERY	<i>Thomas Emery</i>	11/3/11		
	Firm's name ▶	Firm's EIN ▶			
	Firm's address ▶	Phone no.			
	RUNYON KERSTEEN OUELLETTE	207-773-2986			
	20 LONG CREEK DRIVE				
	SOUTH PORTLAND, ME 04106				

May the IRS discuss this return with the preparer shown above? (see instructions) Yes No

Part III Statement of Program Service Accomplishments

Check if Schedule O contains a response to any question in this Part III []

1 Briefly describe the organization's mission: SEE ATTACHED STATEMENT.

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? [X] Yes [] No

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? [] Yes [X] No

4 Describe the exempt purpose achievements for each of the organization's three largest program services by expenses. Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code:) (Expenses \$ 1,435,761. including grants of \$) (Revenue \$ 1,000.) EDUCATED K-12 STUDENTS AND TEACHERS ABOUT THE GULF OF MAINE BIOREGION.

4b (Code:) (Expenses \$ 2,930,894. including grants of \$) (Revenue \$ 710,953.) MANAGED AND CONDUCTED MARINE RESEARCH PRIMARILY RELATED TO THE GULF OF MAINE BIOREGION, WITH A MINOR AMOUNT OF RESEARCH RELATED TO OTHER OCEAN ECOSYSTEMS.

4c (Code:) (Expenses \$ 2,135,673. including grants of \$) (Revenue \$ 74,434.) PROVIDED PROGRAMS FOR TRAINING, TECHNICAL ASSISTANCE, COMMUNICATIONS AND CONVENING FOR STAKEHOLDERS AND GENERAL PUBLIC IN POLICY AND EMERGING CHALLENGES PRIMARILY RELATED TO THE GULF OF MAINE BIOREGION, WITH A MINOR AMOUNT OF COLLABORATIVE PROGRAM DEVELOPMENT IN OTHER OCEAN REGIONS.

4d Other program services. (Describe in Schedule O.) (Expenses \$ including grants of \$) (Revenue \$)

4e Total program service expenses 6,502,328.

Part IV Checklist of Required Schedules

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? <i>If "Yes," complete Schedule A</i>	X	
2 Is the organization required to complete Schedule B, Schedule of Contributors?	X	
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? <i>If "Yes," complete Schedule C, Part I</i>		X
4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? <i>If "Yes," complete Schedule C, Part II</i>		X
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? <i>If "Yes," complete Schedule C, Part III</i>	N/A	
6 Did the organization maintain any donor advised funds or any similar funds or accounts where donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? <i>If "Yes," complete Schedule D, Part I</i>		X
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? <i>If "Yes," complete Schedule D, Part II</i>		X
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? <i>If "Yes," complete Schedule D, Part III</i>		X
9 Did the organization report an amount in Part X, line 21; serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? <i>If "Yes," complete Schedule D, Part IV</i>		X
10 Did the organization, directly or through a related organization, hold assets in term, permanent, or quasi-endowments? <i>If "Yes," complete Schedule D, Part V</i>	X	
11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.		
a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? <i>If "Yes," complete Schedule D, Part VI</i>	X	
b Did the organization report an amount for investments - other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VII</i>		X
c Did the organization report an amount for investments - program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VIII</i>		X
d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part IX</i>	X	
e Did the organization report an amount for other liabilities in Part X, line 25? <i>If "Yes," complete Schedule D, Part X</i>		X
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? <i>If "Yes," complete Schedule D, Part X</i>	X	
12a Did the organization obtain separate, independent audited financial statements for the tax year? <i>If "Yes," complete Schedule D, Parts XI, XII, and XIII</i>		X
b Was the organization included in consolidated, independent audited financial statements for the tax year? <i>If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI, XII, and XIII is optional</i>	X	
13 Is the organization a school described in section 170(b)(1)(A)(ii)? <i>If "Yes," complete Schedule E</i>		X
14a Did the organization maintain an office, employees, or agents outside of the United States?		X
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, and program service activities outside the United States? <i>If "Yes," complete Schedule F, Parts I and IV</i>		X
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any organization or entity located outside the United States? <i>If "Yes," complete Schedule F, Parts II and IV</i>		X
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or assistance to individuals located outside the United States? <i>If "Yes," complete Schedule F, Parts III and IV</i>		X
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? <i>If "Yes," complete Schedule G, Part I</i>		X
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? <i>If "Yes," complete Schedule G, Part II</i>		X
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? <i>If "Yes," complete Schedule G, Part III</i>		X
20a Did the organization operate one or more hospitals? <i>If "Yes," complete Schedule H</i>		X
b If "Yes" to line 20a, did the organization attach its audited financial statements to this return? Note. Some Form 990 filers that operate one or more hospitals must attach audited financial statements (see instructions)		

Part IV Checklist of Required Schedules (continued)

	Yes	No
21 Did the organization report more than \$5,000 of grants and other assistance to governments and organizations in the United States on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II		X
22 Did the organization report more than \$5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III		X
23 Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? If "Yes," complete Schedule J	X	
24a Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25		X
b Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?		
24b		
c Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?		
24c		
d Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?		
24d		
25a Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I		X
b Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete Schedule L, Part I		X
25b		X
26 Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? If "Yes," complete Schedule L, Part II		X
26		X
27 Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor, or a grant selection committee member, or to a person related to such an individual? If "Yes," complete Schedule L, Part III		X
27		X
28 Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):		
a A current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV		X
28a		X
b A family member of a current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV	X	
28b	X	
c An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? If "Yes," complete Schedule L, Part IV		X
28c		X
29 Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M	X	
29	X	
30 Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? If "Yes," complete Schedule M		X
30		X
31 Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, Part I		X
31		X
32 Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes," complete Schedule N, Part II		X
32		X
33 Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If "Yes," complete Schedule R, Part I		X
33		X
34 Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1	X	
34	X	
35 Is any related organization a controlled entity within the meaning of section 512(b)(13)?	X	
35	X	
a Did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2		
36 Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? If "Yes," complete Schedule R, Part V, line 2		X
36		X
37 Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI		X
37		X
38 Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11 and 19? Note. All Form 990 filers are required to complete Schedule O	X	
38	X	

Part V Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response to any question in this Part V

Table with columns for question number, response, and Yes/No checkboxes. Rows include questions 1a-1c, 2a-2b, 3a-3b, 4a-4b, 5a-5c, 6a-6b, 7a-7g, 7h, 8, 9a-9b, 10a-10b, 11a-11b, 12a-12b, 13a-13c, and 14a-14b.

Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response to any question in this Part VI

Section A. Governing Body and Management

		Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year		
1b	Enter the number of voting members included in line 1a, above, who are independent		
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?		X
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?		X
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?		X
5	Did the organization become aware during the year of a significant diversion of the organization's assets?		X
6	Does the organization have members or stockholders?		X
7a	Does the organization have members, stockholders, or other persons who may elect one or more members of the governing body?		X
7b	Are any decisions of the governing body subject to approval by members, stockholders, or other persons?		X
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:		
8a	The governing body?	X	
8b	Each committee with authority to act on behalf of the governing body?	X	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O		X

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

		Yes	No
10a	Does the organization have local chapters, branches, or affiliates?		X
10b	If "Yes," does the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with those of the organization?		
11a	Has the organization provided a copy of this Form 990 to all members of its governing body before filing the form?	X	
11b	Describe in Schedule O the process, if any, used by the organization to review this Form 990.		
12a	Does the organization have a written conflict of interest policy? If "No," go to line 13	X	
12b	Are officers, directors or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	X	
12c	Does the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this is done	X	
13	Does the organization have a written whistleblower policy?		X
14	Does the organization have a written document retention and destruction policy?		X
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
15a	The organization's CEO, Executive Director, or top management official	X	
15b	Other officers or key employees of the organization	X	
15c	If "Yes" to line 15a or 15b, describe the process in Schedule O. (See instructions.)		
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?		X
16b	If "Yes," has the organization adopted a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and taken steps to safeguard the organization's exempt status with respect to such arrangements?		

Section C. Disclosure

- 17 List the states with which a copy of this Form 990 is required to be filed **NONE**
- 18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection. Indicate how you make these available. Check all that apply.
 Own website Another's website Upon request
- 19 Describe in Schedule O whether (and if so, how), the organization makes its governing documents, conflict of interest policy, and financial statements available to the public.
- 20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization: **DONALD W. PERKINS, JR. - 207-772-2321**
350 COMMERCIAL STREET, PORTLAND, ME 04101

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response to any question in this Part VII

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

(A) Name and Title	(B) Average hours per week (describe hours for related organizations in Schedule O)	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
WILLIAM A. BURKE CHAIRMAN	2.00	X		X				0.	0.	0.
MARION F. FREEMAN DIRECTOR	1.00	X						0.	0.	0.
MARJORIE E. DAWSON DIRECTOR	1.00	X						0.	0.	0.
SUZANNE E. HAMLIN DIRECTOR	1.00	X						0.	0.	0.
WILLIAM B. KOURAKOS DIRECTOR	1.00	X						0.	0.	0.
DANIEL W. HILDRETH DIRECTOR	1.00	X						0.	0.	0.
JOHN N. KELLY VICE CHAIRMAN	2.00	X		X				0.	0.	0.
JOAN M. SMITH TREASURER	2.00	X		X				0.	0.	0.
ROBERT C. MONKS DIRECTOR	1.00	X						0.	0.	0.
DONALD W. PERKINS, JR. PRESIDENT	40.00	X		X				247,424.	0.	26,264.
THOMAS S. HANSON SECRETARY	2.00	X		X				0.	0.	0.
STEPHEN A. HUTNAK DIRECTOR	1.00	X						0.	0.	0.
DEREK S. PIERCE DIRECTOR	1.00	X						0.	0.	0.
HOLLY SARGENT DIRECTOR	1.00	X						0.	0.	0.
ROBERT L. STEPHENSON DIRECTOR	1.00	X						0.	0.	0.
JOHN B. SULLIVAN DIRECTOR	1.00	X						0.	0.	0.
KARL W. TURNER DIRECTOR	1.00	X						0.	0.	0.

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

(A) Name and title	(B) Average hours per week (describe hours for related organizations in Schedule O)	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
SARA J. BURNS DIRECTOR	1.00	X						0.	0.	0.
KIMBERLY GORTON DIRECTOR	1.00	X						0.	0.	0.
ROGER L. MARTIN DIRECTOR	1.00	X						0.	0.	0.
ROBERT H. SUVA DIRECTOR	1.00	X						0.	0.	0.
ELIZABETH R. BUTLER DIRECTOR	1.00	X						0.	0.	0.
ANDREW P. BAROWSKY DIRECTOR	1.00	X						0.	0.	0.
GERALD C. KNECHT DIRECTOR	1.00	X						0.	0.	0.
SAMUEL A. LADD DIRECTOR	1.00	X						0.	0.	0.
TONY MCDONALD DIRECTOR	1.00	X						0.	0.	0.
1b Sub-total								247,424.	0.	26,264.
c Total from continuation sheets to Part VII, Section A								408,132.	0.	58,161.
d Total (add lines 1b and 1c)								655,556.	0.	84,425.

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 in reportable compensation from the organization 4

	Yes	No
3 Did the organization list any former officer, director or trustee, key employee, or highest compensated employee on line 1a? If "Yes," complete Schedule J for such individual		X
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If "Yes," complete Schedule J for such individual	X	
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If "Yes," complete Schedule J for such person		X

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization.

(A) Name and business address	(B) Description of services	(C) Compensation
CUSTOM COACH AND LIMOSINE P.O. BOX 17514, PORTLAND, ME 04112	BUS TRANSPORTATION	169,481.

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 in compensation from the organization 1

SEE PART VII, SECTION A CONTINUATION SHEETS

Part VIII Statement of Revenue

			(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512, 513, or 514	
Contributions, gifts, grants and other similar amounts	1 a Federated campaigns	1a					
	b Membership dues	1b					
	c Fundraising events	1c					
	d Related organizations	1d					
	e Government grants (contributions)	1e	5968652.				
	f All other contributions, gifts, grants, and similar amounts not included above	1f	3315589.				
	g Noncash contributions included in lines 1a-1f: \$		206,486.				
	h Total. Add lines 1a-1f		9284241.				
Program Service Revenue	2 a CONTRACT INCOME	Business Code 900099	712,432.	712,432.			
	b CONSULTING INCOME	900099	73,955.	73,955.			
	c						
	d						
	e						
	f All other program service revenue						
	g Total. Add lines 2a-2f		786,387.				
Other Revenue	3 Investment income (including dividends, interest, and other similar amounts)		80,810.			80,810.	
	4 Income from investment of tax-exempt bond proceeds						
	5 Royalties						
	6 a Gross Rents	(i) Real	(ii) Personal				
		b Less: rental expenses					
		c Rental income or (loss)					
		d Net rental income or (loss)					
	7 a Gross amount from sales of assets other than inventory	(i) Securities	(ii) Other				
		4,450,656.					
		b Less: cost or other basis and sales expenses	4,442,323.	7,326.			
		c Gain or (loss)	8,333.	-7,326.			
	d Net gain or (loss)			1,007.		1,007.	
	8 a Gross income from fundraising events (not including \$ _____ of contributions reported on line 1c). See Part IV, line 18	a					
		b Less: direct expenses	b				
		c Net income or (loss) from fundraising events					
9 a Gross income from gaming activities. See Part IV, line 19	a						
	b Less: direct expenses	b					
	c Net income or (loss) from gaming activities						
10 a Gross sales of inventory, less returns and allowances	a						
	b Less: cost of goods sold	b					
	c Net income or (loss) from sales of inventory						
Miscellaneous Revenue		Business Code					
11 a PROPERTY MGMT FEE	900099	82,600.	82,600.				
b OTHER	900099	2,933.	2,933.				
c							
d All other revenue							
e Total. Add lines 11a-11d		85,533.					
12 Total revenue. See instructions.		10,237,978.	871,920.	0.	81,817.		

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns.
All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D).

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to governments and organizations in the U.S. See Part IV, line 21				
2 Grants and other assistance to individuals in the U.S. See Part IV, line 22				
3 Grants and other assistance to governments, organizations, and individuals outside the U.S. See Part IV, lines 15 and 16				
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees	270,967.		270,967.	
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7 Other salaries and wages	2,313,805.	1,759,044.	292,824.	261,937.
8 Pension plan contributions (include section 401(k) and section 403(b) employer contributions)	78,664.	62,187.	10,207.	6,270.
9 Other employee benefits	648,220.	436,384.	144,317.	67,519.
10 Payroll taxes	210,586.	157,036.	30,920.	22,630.
11 Fees for services (non-employees):				
a Management				
b Legal	46,968.	23,163.	23,805.	
c Accounting	20,538.		20,538.	
d Lobbying				
e Professional fundraising services. See Part IV, line 17	14,098.			14,098.
f Investment management fees	12,388.		83.	12,305.
g Other	771,111.	722,247.	26,805.	22,059.
12 Advertising and promotion				
13 Office expenses	242,659.	101,536.	98,448.	42,675.
14 Information technology				
15 Royalties				
16 Occupancy	490,875.		490,875.	
17 Travel	307,732.	260,320.	33,326.	14,086.
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings	8,094.	7,876.	128.	90.
20 Interest	19,336.		19,336.	
21 Payments to affiliates				
22 Depreciation, depletion, and amortization	263,850.		263,850.	
23 Insurance	33,733.	1,600.	32,133.	
24 Other expenses. Itemize expenses not covered above. (List miscellaneous expenses in line 24f. If line 24f amount exceeds 10% of line 25, column (A) amount, list line 24f expenses on Schedule O.)				
a OTHER SPECIAL COSTS	1,374,062.	1,374,062.		
b SUBCONTRACTED VESSELS	87,375.	87,375.		
c WEB ACCESS	31,140.	421.	30,719.	
d STAFF DEVELOPMENT	22,618.	3,314.	16,369.	2,935.
e GIFTS/DONATIONS	11,015.	306.	9,733.	976.
f All other expenses	25,395.	1,505,457.	-1,698,090.	218,028.
25 Total functional expenses. Add lines 1 through 24f	7,305,229.	6,502,328.	117,293.	685,608.
26 Joint costs. Check here <input type="checkbox"/> if following SOP 98-2 (ASC 958-720). Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation				

Part X Balance Sheet

		(A) Beginning of year		(B) End of year	
Assets	1	Cash - non-interest-bearing	731,381.	1	74,872.
	2	Savings and temporary cash investments	734,322.	2	1,783,300.
	3	Pledges and grants receivable, net	1,205,621.	3	995,039.
	4	Accounts receivable, net	458,150.	4	594,259.
	5	Receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L		5	
	6	Receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions)		6	
	7	Notes and loans receivable, net		7	
	8	Inventories for sale or use		8	
	9	Prepaid expenses and deferred charges	34,760.	9	53,510.
	10a	Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D	10a 2,091,322.		
	b	Less: accumulated depreciation	10b 1,133,424.		
	11	Investments - publicly traded securities	1,160,295.	10c	957,898.
	12	Investments - other securities. See Part IV, line 11	2,669,763.	11	2,730,838.
	13	Investments - program-related. See Part IV, line 11		12	
	14	Intangible assets		13	
	15	Other assets. See Part IV, line 11	485,843.	14	
16	Total assets. Add lines 1 through 15 (must equal line 34)	7,480,135.	15	4,044,908.	
Liabilities	17	Accounts payable and accrued expenses	285,194.	16	11,234,624.
	18	Grants payable		17	878,321.
	19	Deferred revenue	3,723.	18	
	20	Tax-exempt bond liabilities		19	2,133.
	21	Escrow or custodial account liability. Complete Part IV of Schedule D		20	
	22	Payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L		21	
	23	Secured mortgages and notes payable to unrelated third parties	425,252.	22	
	24	Unsecured notes and loans payable to unrelated third parties		23	569,390.
	25	Other liabilities. Complete Part X of Schedule D		24	
	26	Total liabilities. Add lines 17 through 25	714,169.	25	
	Net Assets or Fund Balances	Organizations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.			
27		Unrestricted net assets	4,509,481.	26	1,449,844.
28		Temporarily restricted net assets	2,256,485.	27	7,809,692.
29		Permanently restricted net assets		28	1,975,088.
Organizations that do not follow SFAS-117, check here <input type="checkbox"/> and complete lines 30 through 34.					
30		Capital stock or trust principal, or current funds		29	
31		Paid-in or capital surplus, or land, building, or equipment fund		30	
32		Retained earnings, endowment, accumulated income, or other funds		31	
33	Total net assets or fund balances	6,765,966.	32		
34	Total liabilities and net assets/fund balances	7,480,135.	33	9,784,780.	
			34	11,234,624.	

Part XI Reconciliation of Net Assets

Check if Schedule O contains a response to any question in this Part XI

1	Total revenue (must equal Part VIII, column (A), line 12)	1	10,237,978.
2	Total expenses (must equal Part IX, column (A), line 25)	2	7,305,229.
3	Revenue less expenses. Subtract line 2 from line 1	3	2,932,749.
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	6,765,966.
5	Other changes in net assets or fund balances (explain in Schedule O)	5	86,065.
6	Net assets or fund balances at end of year. Combine lines 3, 4, and 5 (must equal Part X, line 33, column (B))	6	9,784,780.

Part XII Financial Statements and Reporting

Check if Schedule O contains a response to any question in this Part XII

		Yes	No
1	Accounting method used to prepare the Form 990: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.		
2a	Were the organization's financial statements compiled or reviewed by an independent accountant?		X
2b	Were the organization's financial statements audited by an independent accountant?	X	
2c	If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.	X	
2d	If "Yes" to line 2a or 2b, check a box below to indicate whether the financial statements for the year were issued on a separate basis, consolidated basis, or both: <input type="checkbox"/> Separate basis <input checked="" type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis		
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	X	
3b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits.	X	

SCHEDULE A
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No. 1545-0047

2010

Open to Public Inspection

Name of the organization **GULF OF MAINE RESEARCH INSTITUTE** Employer identification number **01-0504905**

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 11, check only one box.)

- 1 A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i)**.
- 2 A school described in **section 170(b)(1)(A)(ii)**. (Attach Schedule E.)
- 3 A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii)**.
- 4 A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii)**. Enter the hospital's name, city, and state: _____
- 5 An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv)**. (Complete Part II.)
- 6 A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v)**.
- 7 An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi)**. (Complete Part II.)
- 8 A community trust described in **section 170(b)(1)(A)(vi)**. (Complete Part II.)
- 9 An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2)**. (Complete Part III.)
- 10 An organization organized and operated exclusively to test for public safety. See **section 509(a)(4)**.
- 11 An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See **section 509(a)(3)**. Check the box that describes the type of supporting organization and complete lines 11e through 11h.
 - a Type I b Type II c Type III - Functionally integrated d Type III - Other
- e By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2).
- f If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box
- g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

	Yes	No
11g(i)		
11g(ii)		
11g(iii)		

 - (i) A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization? _____
 - (ii) A family member of a person described in (i) above? _____
 - (iii) A 35% controlled entity of a person described in (i) or (ii) above? _____
- h Provide the following information about the supported organization(s).

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1-9 above or IRC section (see instructions))	(iv) Is the organization in col. (i) listed in your governing document?		(v) Did you notify the organization in col. (i) of your support?		(vi) Is the organization in col. (i) organized in the U.S.?		(vii) Amount of support
			Yes	No	Yes	No	Yes	No	
Total									

Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2006	(b) 2007	(c) 2008	(d) 2009	(e) 2010	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")	4,608,343.	4,357,750.	4,657,690.	4,156,995.	9,284,241.	27,065,019.
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge ...						
4 Total. Add lines 1 through 3	4,608,343.	4,357,750.	4,657,690.	4,156,995.	9,284,241.	27,065,019.
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						972,783.
6 Public support. Subtract line 5 from line 4.						26,092,236.

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2006	(b) 2007	(c) 2008	(d) 2009	(e) 2010	(f) Total
7 Amounts from line 4	4,608,343.	4,357,750.	4,657,690.	4,156,995.	9,284,241.	27,065,019.
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources ...	122,436.	125,840.	164,804.	101,819.	80,810.	595,709.
9 Net income from unrelated business activities, whether or not the business is regularly carried on ...						
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)	6,271.	4,915.	4,801.	5,214.	2,933.	24,134.
11 Total support. Add lines 7 through 10						27,684,862.
12 Gross receipts from related activities, etc. (see instructions)					12	3,470,515.
13 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here						<input type="checkbox"/>

Section C. Computation of Public Support Percentage

14 Public support percentage for 2010 (line 6, column (f) divided by line 11, column (f))	14	94.25 %
15 Public support percentage from 2009 Schedule A, Part II, line 14	15	95.95 %
16a 33 1/3% support test - 2010. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization		<input checked="" type="checkbox"/>
b 33 1/3% support test - 2009. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization		<input type="checkbox"/>
17a 10% -facts-and-circumstances test - 2010. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization		<input type="checkbox"/>
b 10% -facts-and-circumstances test - 2009. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization		<input type="checkbox"/>
18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions		<input type="checkbox"/>

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2006	(b) 2007	(c) 2008	(d) 2009	(e) 2010	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 Public support (Subtract line 7c from line 6)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2006	(b) 2007	(c) 2008	(d) 2009	(e) 2010	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
13 Total support (Add lines 9, 10c, 11, and 12.)						
14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here						

Section C. Computation of Public Support Percentage

15 Public support percentage for 2010 (line 8, column (f) divided by line 13, column (f))	15	%
16 Public support percentage from 2009 Schedule A, Part III, line 15	16	%

Section D. Computation of Investment Income Percentage

17 Investment income percentage for 2010 (line 10c, column (f) divided by line 13, column (f))	17	%
18 Investment income percentage from 2009 Schedule A, Part III, line 17	18	%

19a **33 1/3% support tests - 2010.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization

b **33 1/3% support tests - 2009.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization

20 **Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions

Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No. 1545-0047

2010

Name of the organization

GULF OF MAINE RESEARCH INSTITUTE

Employer identification number

01-0504905

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

501(c)(3) (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2010)

Name of organization GULF OF MAINE RESEARCH INSTITUTE	Employer identification number 01-0504905
---	---

Part I Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1		\$ 300,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
2		\$ 367,827.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
3		\$ 631,763.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
4		\$ 300,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
5		\$ 840,038.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
6		\$ 300,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)

Name of organization GULF OF MAINE RESEARCH INSTITUTE	Employer identification number 01-0504905
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Part I Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
7	<hr/> <hr/> <hr/> <hr/>	\$ 1,613,180.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
	<hr/> <hr/> <hr/> <hr/>	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
	<hr/> <hr/> <hr/> <hr/>	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
	<hr/> <hr/> <hr/> <hr/>	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
	<hr/> <hr/> <hr/> <hr/>	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
	<hr/> <hr/> <hr/> <hr/>	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
	<hr/> <hr/> <hr/> <hr/>	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)

Name of organization GULF OF MAINE RESEARCH INSTITUTE	Employer identification number 01-0504905
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Part II Noncash Property (see instructions)

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
	<div style="border-bottom: 1px solid black; margin-bottom: 2px;"> </div> <div style="border-bottom: 1px solid black; margin-bottom: 2px;"> </div> <div style="border-bottom: 1px solid black; margin-bottom: 2px;"> </div>	\$ _____	_____
	<div style="border-bottom: 1px solid black; margin-bottom: 2px;"> </div> <div style="border-bottom: 1px solid black; margin-bottom: 2px;"> </div> <div style="border-bottom: 1px solid black; margin-bottom: 2px;"> </div>	\$ _____	_____
	<div style="border-bottom: 1px solid black; margin-bottom: 2px;"> </div> <div style="border-bottom: 1px solid black; margin-bottom: 2px;"> </div> <div style="border-bottom: 1px solid black; margin-bottom: 2px;"> </div>	\$ _____	_____
	<div style="border-bottom: 1px solid black; margin-bottom: 2px;"> </div> <div style="border-bottom: 1px solid black; margin-bottom: 2px;"> </div> <div style="border-bottom: 1px solid black; margin-bottom: 2px;"> </div>	\$ _____	_____
	<div style="border-bottom: 1px solid black; margin-bottom: 2px;"> </div> <div style="border-bottom: 1px solid black; margin-bottom: 2px;"> </div> <div style="border-bottom: 1px solid black; margin-bottom: 2px;"> </div>	\$ _____	_____
	<div style="border-bottom: 1px solid black; margin-bottom: 2px;"> </div> <div style="border-bottom: 1px solid black; margin-bottom: 2px;"> </div> <div style="border-bottom: 1px solid black; margin-bottom: 2px;"> </div>	\$ _____	_____
	<div style="border-bottom: 1px solid black; margin-bottom: 2px;"> </div> <div style="border-bottom: 1px solid black; margin-bottom: 2px;"> </div> <div style="border-bottom: 1px solid black; margin-bottom: 2px;"> </div>	\$ _____	_____
	<div style="border-bottom: 1px solid black; margin-bottom: 2px;"> </div> <div style="border-bottom: 1px solid black; margin-bottom: 2px;"> </div> <div style="border-bottom: 1px solid black; margin-bottom: 2px;"> </div>	\$ _____	_____

Name of organization

Employer identification number

GULF OF MAINE RESEARCH INSTITUTE

01-0504905

Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations aggregating more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

SCHEDULE D (Form 990)

Department of the Treasury Internal Revenue Service

Supplemental Financial Statements

Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11, or 12.

Attach to Form 990. See separate instructions.

OMB No. 1545-0047

2010

Open to Public Inspection

Name of the organization: GULF OF MAINE RESEARCH INSTITUTE; Employer identification number: 01-0504905

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

Table with 3 columns: Line number, (a) Donor advised funds, (b) Funds and other accounts. Rows include total number at end of year, aggregate contributions, aggregate grants, aggregate value, and two questions about donor informed consent.

Part II Conservation Easements. Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

Form for Part II Conservation Easements. Includes questions about purpose of easements, number of easements, acreage, and monitoring costs. Includes a table for 'Held at the End of the Tax Year' with rows 2a, 2b, 2c, 2d.

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.

Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

Form for Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets. Includes questions about reporting requirements and amounts for revenues and assets.

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items

(check all that apply):

- a Public exhibition
- b Scholarly research
- c Preservation for future generations
- d Loan or exchange programs
- e Other _____

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIV.

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? Yes No

Part IV Escrow and Custodial Arrangements. Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? Yes No

b If "Yes," explain the arrangement in Part XIV and complete the following table:

	Amount
1c Beginning balance	
1d Additions during the year	
1e Distributions during the year	
1f Ending balance	

2a Did the organization include an amount on Form 990, Part X, line 21? Yes No

b If "Yes," explain the arrangement in Part XIV.

Part V Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance	1,243,623.	1,019,512.	633,388.		
b Contributions	11,391.	216,966.	745,557.		
c Net investment earnings, gains, and losses	137,636.	7,145.	-306,268.		
d Grants or scholarships					
e Other expenditures for facilities and programs	65,603.		53,165.		
f Administrative expenses					
g End of year balance	1,327,047.	1,243,623.	1,019,512.		

2 Provide the estimated percentage of the year end balance held as:

- a Board designated or quasi-endowment 100.00 %
- b Permanent endowment _____ %
- c Term endowment _____ %

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

	Yes	No
(i) unrelated organizations		X
(ii) related organizations		X
b If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?		

4 Describe in Part XIV the intended uses of the organization's endowment funds.

Part VI Land, Buildings, and Equipment. See Form 990, Part X, line 10.

Description of investment	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land				
b Buildings				
c Leasehold improvements		288,107.	89,334.	198,773.
d Equipment		801,120.	485,594.	315,526.
e Other		1,002,095.	558,496.	443,599.
Total. Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10(c).)				957,898.

Part VII Investments - Other Securities. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests		
(3) Other		
(A)		
(B)		
(C)		
(D)		
(E)		
(F)		
(G)		
(H)		
(I)		
Total. (Col (b) must equal Form 990, Part X, col (B) line 12.) ▶		

Part VIII Investments - Program Related. See Form 990, Part X, line 13.

(a) Description of investment type	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
(10)		
Total. (Col (b) must equal Form 990, Part X, col (B) line 13.) ▶		

Part IX Other Assets. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1) ACCRUED INTEREST AND DIVIDEND RECEIVABLE	11,544.
(2) DEVELOPMENT AND SITE ACQUISITION COSTS	3,905,445.
(3) DUE FROM GULF OF MAINE PROPERTIES, INC.	53,305.
(4) BENEFICIAL INTEREST IN REMAINDER TRUST	74,614.
(5)	
(6)	
(7)	
(8)	
(9)	
(10)	
Total. (Column (b) must equal Form 990, Part X, col (B) line 15.) ▶	4,044,908.

Part X Other Liabilities. See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Amount
(1) Federal income taxes	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
(10)	
(11)	
Total. (Column (b) must equal Form 990, Part X, col (B) line 25.) ▶	

FIN 48 (ASC 740) Footnote. In Part XIV, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740).

Part XI Reconciliation of Change in Net Assets from Form 990 to Audited Financial Statements

1	Total revenue (Form 990, Part VIII, column (A), line 12)	1	10,237,978.
2	Total expenses (Form 990, Part IX, column (A), line 25)	2	7,305,229.
3	Excess or (deficit) for the year. Subtract line 2 from line 1	3	2,932,749.
4	Net unrealized gains (losses) on investments	4	86,065.
5	Donated services and use of facilities	5	
6	Investment expenses	6	
7	Prior period adjustments	7	
8	Other (Describe in Part XIV.)	8	
9	Total adjustments (net). Add lines 4 through 8	9	86,065.
10	Excess or (deficit) for the year per audited financial statements. Combine lines 3 and 9	10	3,018,814.

Part XII Reconciliation of Revenue per Audited Financial Statements With Revenue per Return

1	Total revenue, gains, and other support per audited financial statements	1	10,331,121.
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:		
a	Net unrealized gains on investments	2a	86,064.
b	Donated services and use of facilities	2b	7,079.
c	Recoveries of prior year grants	2c	
d	Other (Describe in Part XIV.)	2d	
e	Add lines 2a through 2d	2e	93,143.
3	Subtract line 2e from line 1	3	10,237,978.
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	
b	Other (Describe in Part XIV.)	4b	
c	Add lines 4a and 4b	4c	0.
5	Total revenue. Add lines 3 and 4c. (This must equal Form 990, Part I, line 12.)	5	10,237,978.

Part XIII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return

1	Total expenses and losses per audited financial statements	1	7,312,308.
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:		
a	Donated services and use of facilities	2a	7,079.
b	Prior year adjustments	2b	
c	Other losses	2c	
d	Other (Describe in Part XIV.)	2d	
e	Add lines 2a through 2d	2e	7,079.
3	Subtract line 2e from line 1	3	7,305,229.
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	
b	Other (Describe in Part XIV.)	4b	
c	Add lines 4a and 4b	4c	0.
5	Total expenses. Add lines 3 and 4c. (This must equal Form 990, Part I, line 18.)	5	7,305,229.

Part XIV Supplemental Information

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, line 8; Part XII, lines 2d and 4b; and Part XIII, lines 2d and 4b. Also complete this part to provide any additional information.

PART V, LINE 4: THE INTENDED USE OF THESE ENDOWMENT FUNDS IS FOR

LONG-TERM INVESTMENT PURPOSES. THE INCOME IS TO BE USED TO HELP MEET THE OPERATING COSTS OF GMRI AND, IF NECESSARY, THE BALANCE IS TO PROVIDE A LAST-RESORT SOURCE OF FUNDS IN THE CASE OF SERIOUS FINANCIAL NEED.

PART X, LINE 2: EFFECTIVE JANUARY 1, 2009, GMRI AND GMPINC ADOPTED THE PROVISIONS OF ACCOUNTING FOR UNCERTAINTY IN INCOME TAXES AS PROVIDED FOR IN THE INCOME TAXES TOPIC OF THE FASB ACCOUNTING STANDARDS CODIFICATION;

**SCHEDULE J
(Form 990)**

Compensation Information

OMB No. 1545-0047

2010

Open to Public Inspection

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 23.

▶ Attach to Form 990. ▶ See separate instructions.

Department of the Treasury
Internal Revenue Service

Name of the organization

GULF OF MAINE RESEARCH INSTITUTE

Employer identification number

01-0504905

Part I Questions Regarding Compensation

1a Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.

- | | |
|--|--|
| <input type="checkbox"/> First-class or charter travel | <input type="checkbox"/> Housing allowance or residence for personal use |
| <input type="checkbox"/> Travel for companions | <input type="checkbox"/> Payments for business use of personal residence |
| <input type="checkbox"/> Tax indemnification and gross-up payments | <input type="checkbox"/> Health or social club dues or initiation fees |
| <input type="checkbox"/> Discretionary spending account | <input type="checkbox"/> Personal services (e.g., maid, chauffeur, chef) |

b If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain

2 Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all officers, directors, trustees, and the CEO/Executive Director, regarding the items checked in line 1a?

3 Indicate which, if any, of the following the organization uses to establish the compensation of the organization's CEO/Executive Director. Check all that apply.

- | | |
|---|---|
| <input checked="" type="checkbox"/> Compensation committee | <input checked="" type="checkbox"/> Written employment contract |
| <input type="checkbox"/> Independent compensation consultant | <input checked="" type="checkbox"/> Compensation survey or study |
| <input checked="" type="checkbox"/> Form 990 of other organizations | <input checked="" type="checkbox"/> Approval by the board or compensation committee |

4 During the year, did any person listed in Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization:

a Receive a severance payment or change-of-control payment from the organization or a related organization?

b Participate in, or receive payment from, a supplemental nonqualified retirement plan?

c Participate in, or receive payment from, an equity-based compensation arrangement?

If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.

Only section 501(c)(3) and 501(c)(4) organizations must complete lines 5-9.

5 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:

a The organization?

b Any related organization?

If "Yes" to line 5a or 5b, describe in Part III.

6 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:

a The organization?

b Any related organization?

If "Yes" to line 6a or 6b, describe in Part III.

7 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III

8 Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III

9 If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?

	Yes	No
1a		
1b		
2		
3		
4a		X
4b		X
4c		X
5a		X
5b		X
6a		X
6b		X
7		X
8		X
9		

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule J (Form 990) 2010

Part I Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

Note. The sum of columns (B)(i)-(iii) must equal the applicable column (D) or column (E) amounts on Form 990, Part VII, line 1a.

(A) Name	(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported in prior Form 990 or Form 990-EZ	
	(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation					
1 DONALD W. PERKINS, JR.	(i)	247,424.	0.	0.	9,428.	16,836.	273,688.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
2 JOHN ANNALA	(i)	145,611.	50,115.	0.	22,168.	12,685.	230,579.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
3	(i)							
	(ii)							
4	(i)							
	(ii)							
5	(i)							
	(ii)							
6	(i)							
	(ii)							
7	(i)							
	(ii)							
8	(i)							
	(ii)							
9	(i)							
	(ii)							
10	(i)							
	(ii)							
11	(i)							
	(ii)							
12	(i)							
	(ii)							
13	(i)							
	(ii)							
14	(i)							
	(ii)							
15	(i)							
	(ii)							
16	(i)							
	(ii)							

**SCHEDULE M
(Form 990)**

Noncash Contributions

OMB No. 1545-0047

2010

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

▶ **Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.**
▶ **Attach to Form 990.**

Name of the organization

GULF OF MAINE RESEARCH INSTITUTE

Employer identification number

01-0504905

Part I Types of Property

	(a) Check if applicable	(b) Number of contributions or items contributed	(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of determining noncash contribution amounts
1 Art - Works of art				
2 Art - Historical treasures				
3 Art - Fractional interests				
4 Books and publications				
5 Clothing and household goods				
6 Cars and other vehicles				
7 Boats and planes				
8 Intellectual property				
9 Securities - Publicly traded	X	12	206,486.	MARKET VALUE
10 Securities - Closely held stock				
11 Securities - Partnership, LLC, or trust interests				
12 Securities - Miscellaneous				
13 Qualified conservation contribution - Historic structures				
14 Qualified conservation contribution - Other...				
15 Real estate - Residential				
16 Real estate - Commercial				
17 Real estate - Other				
18 Collectibles				
19 Food inventory				
20 Drugs and medical supplies				
21 Taxidermy				
22 Historical artifacts				
23 Scientific specimens				
24 Archeological artifacts				
25 Other ▶ (_____)				
26 Other ▶ (_____)				
27 Other ▶ (_____)				
28 Other ▶ (_____)				

29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement **29** **0**

	Yes	No
30a During the year, did the organization receive by contribution any property reported in Part I, lines 1-28 that it must hold for at least three years from the date of the initial contribution, and which is not required to be used for exempt purposes for the entire holding period?		X
b If "Yes," describe the arrangement in Part II.		
31 Does the organization have a gift acceptance policy that requires the review of any non-standard contributions?	X	
32a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions?	X	
b If "Yes," describe in Part II.		
33 If the organization did not report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II.		

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule M (Form 990) (2010)

SCHEDULE O
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on
Form 990 or 990-EZ or to provide any additional information.
▶ Attach to Form 990 or 990-EZ.

OMB No. 1545-0047

2010

Open to Public
Inspection

Name of the organization

GULF OF MAINE RESEARCH INSTITUTE

Employer identification number

01-0504905

FORM 990, PART I, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:

RESEARCH; AND UNDERTAKING THE FINANCING, SITING, DESIGN, AND
CONSTRUCTION OF FACILITIES TO SUPPORT ITS EDUCATION AND RESEARCH
INTERESTS.

FORM 990, PART VI, SECTION B, LINE 11: THE ORGANIZATION HAS THE FOLLOWING
REVIEW AND APPROVAL PROCESS:

1. 990 FILINGS ARE REVIEWED BY GMRI FINANCIAL STAFF.
2. COPIES OF 990 FILINGS ARE THEN PROVIDED TO THE PRESIDENT AND FINANCE
COMMITTEE. AMPLE TIME IS PROVIDED FOR REVIEW AND QUESTIONS TO THE
FINANCIAL STAFF AND THE ORGANIZATION'S AUDITORS. SUBSEQUENTLY THE FILINGS,
WITH ANY AMENDMENTS ARE APPROVED.
3. COPIES OF THE FINAL 990 FILING ARE PROVIDED TO THE ORGANIZATION'S
DIRECTORS FOR REVIEW.

FORM 990, PART VI, SECTION B, LINE 12C: STAFF AND BOARD ARE MINDFUL OF THE
POTENTIAL FOR CONFLICT OF INTEREST. IF ONE ARISES, WE DISCUSS AND ADDRESS
THE ISSUE. ANNUALLY BOARD MEMBERS ARE PRESENTED WITH A DISCLOSURE FORM.

FORM 990, PART VI, SECTION B, LINE 15: STAFF AND EXTERNAL STAKEHOLDERS ARE
ASKED TO GIVE INPUT ON THE PERFORMANCE OF THE PRESIDENT. THE INFORMATION
IS THEN COMPILED AND THE RESULTS SUMMARIZED. THE CHAIRMAN OF THE BOARD
COLLECTS ALL INPUTS AND REVIEWS THE RESULTS WITH THE EXECUTIVE COMMITTEE.
ANNUALLY THE PRESIDENT CONDUCTS A REVIEW OF COMPENSATION OF KEY EMPLOYEES.
PERIODICALLY EXTERNAL SURVEYS AND COMPARISONS ARE DONE.

Name of the organization

GULF OF MAINE RESEARCH INSTITUTE

Employer identification number

01-0504905

FORM 990, PART VI, SECTION C, LINE 19: THE ORGANIZATION'S GOVERNING DOCUMENTS AND POLICIES, ARE AVAILABLE UPON REQUEST AT THE ORGANIZATION'S OFFICE. FINANCIAL STATEMENTS ARE AVAILABLE ON THE WEBSITE.

FORM 990, PART XI, LINE 5, CHANGES IN NET ASSETS:

NET UNREALIZED GAINS ON INVESTMENTS: 86,065.

FORM 990, PART XII, LINE 2C:

NO CHANGES FROM THE PRIOR YEAR.

FORM 990, PART VII, COLUMN (B)

THE PRESIDENT OF GMRI, DONALD W. PERKINS, JR. WORKS ON AVERAGE 5 HOURS PER WEEK FOR THE RELATED ORGANIZATION GULF OF MAINE PROPERTIES, INC.

FORM 990, SCHEDULE R, PART II, COLUMN B

TO ACQUIRE, HOLD, MANAGE, MAINTAIN, DEVELOP, OR DISPOSE OF REAL PROPERTY FOR THE BENEFIT OF AND IN CONNECTION WITH GMRI.

FORM 990, PART III, LINE 2

THE ORGANIZATION UNDERTOOK THREE NEW AREAS OF PROGRAM DEVELOPMENT THAT FALL UNDER PROGRAM SERVICES BROADLY DESCRIBED IN PART III, LINE 4B AND LINE 4C AS FOLLOWS:

(1) UNDER LINE 4B, GMRI PROVIDED ADVICE TO A PAIR OF CHILEAN ORGANIZATIONS REGARDING DEVELOPMENT OF A BUSINESS PLAN FOR A MARINE RESEARCH INSTITUTE AND CONDUCTED A SMALL OCEANOGRAPHIC RESEARCH PROJECT AS A PROTOTYPE FOR SUCH AN INSTITUTE;

(2) UNDER LINE 4C, GMRI DEVELOPED A SUSTAINABLE SEAFOOD STANDARD

Name of the organization

GULF OF MAINE RESEARCH INSTITUTE

Employer identification number

01-0504905

AND BRAND FOR THE GULF OF MAINE AND PROVIDED TRAINING TO FISHERMEN AND THE FISH SUPPLY CHAIN ON SUSTAINABLE HARVESTING AND SOURCING PRACTICES; AND

(3) UNDER LINE 4C, GMRI CONDUCTED EXPLORATORY MEETINGS REGARDING THE REPLICATION OF ITS MARINE RESOURCES EDUCATION PROGRAM TO SERVE SIMILAR TRAINING NEEDS IN THE MID-ATLANTIC, SOUTHEAST, AND GULF OF MEXICO REGIONS.

Related Organizations and Unrelated Partnerships
 ▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 33, 34, 35, 36, or 37.
 ▶ Attach to Form 990. ▶ See separate instructions.

Name of the organization **GULF OF MAINE RESEARCH INSTITUTE** Employer identification number **01-0504905**

Part I Identification of Disregarded Entities (Complete if the organization answered "Yes" to Form 990, Part IV, line 33.)

(a) Name, address, and EIN of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity

Part II Identification of Related Tax-Exempt Organizations (Complete if the organization answered "Yes" to Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity?	
						Yes	No
GULF OF MAINE PROPERTIES, INC. - 20-1480528 350 COMMERCIAL STREET PORTLAND, ME 04101	SEE ATTACHED SCHEDULE O.	MAINE	501(C)(3)	11 TYPE 1		X	

Part V Transactions With Related Organizations (Complete if the organization answered "Yes" to Form 990, Part IV, line 34, 35, 35a, or 36.)

Note. Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.

	Yes	No
1 During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?		
a Receipt of (i) interest (ii) annuities (iii) royalties or (iv) rent from a controlled entity		X
b Gift, grant, or capital contribution to other organization(s)		X
c Gift, grant, or capital contribution from other organization(s)		X
d Loans or loan guarantees to or for other organization(s)		X
e Loans or loan guarantees by other organization(s)		X
f Sale of assets to other organization(s)		X
g Purchase of assets from other organization(s)		X
h Exchange of assets		X
i Lease of facilities, equipment, or other assets to other organization(s)		X
j Lease of facilities, equipment, or other assets from other organization(s)	X	
k Performance of services or membership or fundraising solicitations for other organization(s)	X	
l Performance of services or membership or fundraising solicitations by other organization(s)		X
m Sharing of facilities, equipment, mailing lists, or other assets		X
n Sharing of paid employees		X
o Reimbursement paid to other organization for expenses	X	
p Reimbursement paid by other organization for expenses	X	
q Other transfer of cash or property to other organization(s)		X
r Other transfer of cash or property from other organization(s)		X

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds.

(a) Name of other organization	(b) Transaction type (a-r)	(c) Amount involved	(d) Method of determining amount involved
(1) GULF OF MAINE PROPERTIES, INC.	J	470,025.	ACCOUNTING RECORDS
(2) GULF OF MAINE PROPERTIES, INC.	K	83,609.	ACCOUNTING RECORDS
(3) GULF OF MAINE PROPERTIES, INC.	O	1,325.	ACCOUNTING RECORDS
(4) GULF OF MAINE PROPERTIES, INC.	P	416.	ACCOUNTING RECORDS
(5)			
(6)			

Application for Extension of Time To File an Exempt Organization Return

▶ **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box **X**
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Type or print	Name of exempt organization GULF OF MAINE RESEARCH INSTITUTE	Employer identification number 01-0504905
File by the due date for filing your return. See instructions.	Number, street, and room or suite no. If a P.O. box, see instructions. 350 COMMERCIAL STREET	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. PORTLAND, ME 04101	

Enter the Return code for the return that this application is for (file a separate application for each return) 0 1

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

DONALD W. PERKINS, JR.

• The books are in the care of ▶ **350 COMMERCIAL STREET - PORTLAND, ME 04101**
Telephone No. ▶ **207-772-2321** FAX No. ▶ _____

- If the organization does not have an office or place of business in the United States, check this box
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____. If this is for the whole group, check this box . If it is for part of the group, check this box and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **AUGUST 15, 2011**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
▶ calendar year **2010** or
▶ tax year beginning _____, and ending _____

2 If the tax year entered in line 1 is for less than 12 months, check reason: Initial return Final return
 Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	0.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	0.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	0.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

• If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box **X**

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

• If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).	
Type or print	Name of exempt organization GULF OF MAINE RESEARCH INSTITUTE
	Employer identification number 01-0504905
File by the extended due date for filing your return. See instructions.	Number, street, and room or suite no. If a P.O. box, see instructions. 350 COMMERCIAL STREET
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. PORTLAND, ME 04101

Enter the Return code for the return that this application is for (file a separate application for each return) 01

Application Is For	Return Code	Application Is For	Return Code
Form 990	01		
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

DONALD W. PERKINS, JR.

• The books are in the care of **350 COMMERCIAL STREET - PORTLAND, ME 04101**
Telephone No. **207-772-2321** FAX No.

• If the organization does not have an office or place of business in the United States, check this box

• If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box . If it is for part of the group, check this box and attach a list with the names and EINs of all members the extension is for.

- 4 I request an additional 3-month extension of time until **NOVEMBER 15, 2011.**
- 5 For calendar year **2010**, or other tax year beginning _____, and ending _____.
- 6 If the tax year entered in line 5 is for less than 12 months, check reason: Initial return Final return
 Change in accounting period
- 7 State in detail why you need the extension
INFORMATION NEEDED TO PREPARE A COMPLETE AND ACCURATE RETURN IS NOT AVAILABLE.

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$	0.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$	0.
c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	\$	0.

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature Title **CPA** Date

Form **990**

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

OMB No. 1545-0047

2009

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

The organization may have to use a copy of this return to satisfy state reporting requirements.

A For the 2009 calendar year, or tax year beginning and ending

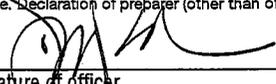
B Check if applicable: <input type="checkbox"/> Address change <input type="checkbox"/> Name change <input type="checkbox"/> Initial return <input type="checkbox"/> Terminated return <input type="checkbox"/> Amended return <input type="checkbox"/> Application pending	Please use IRS label or print or type. See Specific Instructions.	C Name of organization GULF OF MAINE RESEARCH INSTITUTE		D Employer identification number 01-0504905
		Doing Business As		E Telephone number 207-772-2321
		Number and street (or P.O. box if mail is not delivered to street address) Room/suite 350 COMMERCIAL STREET		G Gross receipts \$ 8,931,149.
		City or town, state or country, and ZIP + 4 PORTLAND, ME 04101		H(a) Is this a group return for affiliates? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No H(b) Are all affiliates included? <input type="checkbox"/> Yes <input type="checkbox"/> No If "No," attach a list. (see instructions) H(c) Group exemption number ▶
F Name and address of principal officer: DONALD W. PERKINS SAME AS C ABOVE		I Tax-exempt status: <input checked="" type="checkbox"/> 501(c) (3) ◀ (insert no.) <input type="checkbox"/> 4947(a)(1) or <input type="checkbox"/> 527		
J Website: ▶ WWW.GMRI.ORG				
K Form of organization: <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Trust <input type="checkbox"/> Association <input type="checkbox"/> Other ▶			L Year of formation: 1994 M State of legal domicile: ME	

Part I Summary

Activities & Governance	1 Briefly describe the organization's mission or most significant activities: <u>TO EDUCATE THE PUBLIC ABOUT THE GULF OF MAINE AND ITS WATERSHED, FACILITATING AND CONDUCTING MARINE</u>		
	2 Check this box <input type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its net assets.		
	3 Number of voting members of the governing body (Part VI, line 1a)	3	25
	4 Number of independent voting members of the governing body (Part VI, line 1b)	4	25
	5 Total number of employees (Part V, line 2a)	5	59
	6 Total number of volunteers (estimate if necessary)	6	10
	7a Total gross unrelated business revenue from Part VIII, column (C), line 12	7a	0.
b Net unrelated business taxable income from Form 990-T, line 34	7b	0.	
Revenue	8 Contributions and grants (Part VIII, line 1h)	Prior Year	Current Year
	9 Program service revenue (Part VIII, line 2g)	4,657,690.	4,156,995.
	10 Investment income (Part VIII, column (A), lines 3, 4, and 7d)	847,722.	609,652.
	11 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	166,570.	-436,740.
	12 Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	4,798.	5,214.
Expenses	13 Grants and similar amounts paid (Part IX, column (A), lines 1-3)	5,676,780.	4,335,121.
	14 Benefits paid to or for members (Part IX, column (A), line 4)		
	15 Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	2,815,037.	2,916,531.
	16a Professional fundraising fees (Part IX, column (A), line 11e)	29,900.	3,066.
	b Total fundraising expenses (Part IX, column (D), line 25) ▶ 565,932.		
	17 Other expenses (Part IX, column (A), lines 11a-11d, 11f-24f)	2,421,999.	2,540,368.
18 Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)	5,266,936.	5,459,965.	
19 Revenue less expenses. Subtract line 18 from line 12	409,844.	-1,124,844.	
Net Assets or Fund Balances	20 Total assets (Part X, line 16)	Beginning of Current Year	End of Year
	21 Total liabilities (Part X, line 26)	7,729,914.	7,480,135.
	22 Net assets or fund balances. Subtract line 21 from line 20	1,613,986.	714,169.
		6,115,928.	6,765,966.

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here ▶  Date 8/3/10

Signature of officer

DONALD W. PERKINS, PRESIDENT

Type or print name and title

Paid Preparer's Use Only	Preparer's signature ▶	Date	Check if self-employed <input type="checkbox"/>	Preparer's identifying number (see instructions)
	Firm's name (or yours if self-employed), address, and ZIP + 4		EIN ▶	Phone no. ▶ 207-773-2986

RUNYON KERSTEEN OUELLETTE
20 LONG CREEK DRIVE
SOUTH PORTLAND, ME 04106

May the IRS discuss this return with the preparer shown above? (see instructions) Yes No

Part III Statement of Program Service Accomplishments

1 Briefly describe the organization's mission: SEE ATTACHED STATEMENT.

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? [] Yes [X] No

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? [] Yes [X] No

4 Describe the exempt purpose achievements for each of the organization's three largest program services by expenses. Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code:) (Expenses \$ 1,551,541. including grants of \$) (Revenue \$ 0.) EDUCATED K-12 STUDENTS AND TEACHERS ABOUT THE GULF OF MAINE AND ITS WATERSHED.

4b (Code:) (Expenses \$ 2,099,504. including grants of \$) (Revenue \$ 528,772.) MANAGED AND CONDUCTED MARINE RESEARCH RELATED TO THE GULF OF MAINE AND ITS WATERSHED.

4c (Code:) (Expenses \$ 1,028,181. including grants of \$) (Revenue \$ 0.) PROVIDED PROGRAMS FOR TRAINING, TECHNICAL ASSISTANCE, COMMUNICATIONS AND CONVENING FOR STAKEHOLDERS AND GENERAL PUBLIC IN POLICY AND EMERGING CHALLENGES IN THE GULF OF MAINE AND ITS WATERSHED.

4d Other program services. (Describe in Schedule O.) (Expenses \$ including grants of \$) (Revenue \$)

4e Total program service expenses \$ 4,679,226.

Part IV Checklist of Required Schedules

		Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? <i>If "Yes," complete Schedule A</i>	X	
2	Is the organization required to complete Schedule B, Schedule of Contributors?	X	
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? <i>If "Yes," complete Schedule C, Part I</i>		X
4	Section 501(c)(3) organizations. Did the organization engage in lobbying activities? <i>If "Yes," complete Schedule C, Part II</i>	X	
5	Section 501(c)(4), 501(c)(5), and 501(c)(6) organizations. Is the organization subject to the section 6033(e) notice and reporting requirement and proxy tax? <i>If "Yes," complete Schedule C, Part III</i>	N/A	
6	Did the organization maintain any donor advised funds or any similar funds or accounts where donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? <i>If "Yes," complete Schedule D, Part I</i>		X
7	Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? <i>If "Yes," complete Schedule D, Part II</i>		X
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? <i>If "Yes," complete Schedule D, Part III</i>		X
9	Did the organization report an amount in Part X, line 21; serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? <i>If "Yes," complete Schedule D, Part IV</i>		X
10	Did the organization, directly or through a related organization, hold assets in term, permanent, or quasi-endowments? <i>If "Yes," complete Schedule D, Part V</i>	X	
11	Is the organization's answer to any of the following questions "Yes"? <i>If so, complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable</i>	X	
	• Did the organization report an amount for land, buildings, and equipment in Part X, line 10? <i>If "Yes," complete Schedule D, Part VI.</i>		
	• Did the organization report an amount for investments - other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VII.</i>		
	• Did the organization report an amount for investments - program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VIII.</i>		
	• Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part IX.</i>		
	• Did the organization report an amount for other liabilities in Part X, line 25? <i>If "Yes," complete Schedule D, Part X.</i>		
	• Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48? <i>If "Yes," complete Schedule D, Part X.</i>		
12	Did the organization obtain separate, independent audited financial statements for the tax year? <i>If "Yes," complete Schedule D, Parts XI, XII, and XIII.</i>		X
12A	Was the organization included in consolidated, independent audited financial statements for the tax year? <i>If "Yes," completing Schedule D, Parts XI, XII, and XIII is optional</i>	X	
13	Is the organization a school described in section 170(b)(1)(A)(ii)? <i>If "Yes," complete Schedule E</i>		X
14a	Did the organization maintain an office, employees, or agents outside of the United States?		X
14b	Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, and program service activities outside the United States? <i>If "Yes," complete Schedule F, Part I</i>		X
15	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any organization or entity located outside the United States? <i>If "Yes," complete Schedule F, Part II</i>		X
16	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or assistance to individuals located outside the United States? <i>If "Yes," complete Schedule F, Part III</i>		X
17	Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? <i>If "Yes," complete Schedule G, Part I</i>		X
18	Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? <i>If "Yes," complete Schedule G, Part II</i>		X
19	Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? <i>If "Yes," complete Schedule G, Part III</i>		X
20	Did the organization operate one or more hospitals? <i>If "Yes," complete Schedule H</i>		X

Part IV Checklist of Required Schedules (continued)

	Yes	No
21 Did the organization report more than \$5,000 of grants and other assistance to governments and organizations in the United States on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II</i>		X
22 Did the organization report more than \$5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i>		X
23 Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J</i>	X	
24a Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25</i>		X
b Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?		
c Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?		
d Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?		
25a Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i>		X
b Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i>		X
26 Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? <i>If "Yes," complete Schedule L, Part II</i>		X
27 Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor, or a grant selection committee member, or to a person related to such an individual? <i>If "Yes," complete Schedule L, Part III</i>		X
28 Was the organization a party to a business transaction with one of the following parties, (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):		
a A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>		X
b A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>	X	
c An entity of which a current or former officer, director, trustee, or key employee of the organization (or a family member) was an officer, director, trustee, or direct or indirect owner? <i>If "Yes," complete Schedule L, Part IV</i>		X
29 Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i>	X	
30 Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i>		X
31 Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i>		X
32 Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i>		X
33 Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I</i>		X
34 Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1</i>	X	
35 Is any related organization a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i>	X	
36 Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i>		X
37 Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i>		X
38 Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11 and 19? Note. All Form 990 filers are required to complete Schedule O.	X	

Part V Statements Regarding Other IRS Filings and Tax Compliance

		Yes	No
1a	Enter the number reported in Box 3 of Form 1096, Annual Summary and Transmittal of U.S. Information Returns. Enter -0- if not applicable		
1a	42		
b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable		
1b	0		
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	X	
1c			
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return		
2a	59		
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file this return. (see instructions)	X	
2b			
3a	Did the organization have unrelated business gross income of \$1,000 or more during the year covered by this return?		X
3a			
b	If "Yes," has it filed a Form 990-T for this year? If "No," provide an explanation in Schedule O		
3b			
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?		X
4a			
b	If "Yes," enter the name of the foreign country: See the instructions for exceptions and filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts.		
4b			
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?		X
5a			
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?		X
5b			
c	If "Yes," to line 5a or 5b, did the organization file Form 8886-T, Disclosure by Tax-Exempt Entity Regarding Prohibited Tax Shelter Transaction?		
5c			
6a	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible?		X
6a			
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?		
6b			
7	Organizations that may receive deductible contributions under section 170(c).		
a	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?		X
7a			
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?		
7b			
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?		X
7c			
d	If "Yes," indicate the number of Forms 8282 filed during the year		
7d			
e	Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?		X
7e			
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		X
7f			
g	For all contributions of qualified intellectual property, did the organization file Form 8899 as required?		
7g			
h	For contributions of cars, boats, airplanes, and other vehicles, did the organization file a Form 1098-C as required?		
7h			
8	Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations. Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year? N/A		
8			
9	Sponsoring organizations maintaining donor advised funds.		
a	Did the organization make any taxable distributions under section 4966? N/A		
9a			
b	Did the organization make a distribution to a donor, donor advisor, or related person? N/A		
9b			
10	Section 501(c)(7) organizations. Enter:		
a	Initiation fees and capital contributions included on Part VIII, line 12 N/A	10a	
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10b	
11	Section 501(c)(12) organizations. Enter:		
a	Gross income from members or shareholders N/A	11a	
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.)	11b	
12a	Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?	12a	
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year	12b	

Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Section A. Governing Body and Management

		Yes	No
1a	Enter the number of voting members of the governing body		
1b	Enter the number of voting members that are independent		
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?		X
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?		X
4	Did the organization make any significant changes to its organizational documents since the prior Form 990 was filed?		X
5	Did the organization become aware during the year of a material diversion of the organization's assets?		X
6	Does the organization have members or stockholders?		X
7a	Does the organization have members, stockholders, or other persons who may elect one or more members of the governing body?		X
7b	Are any decisions of the governing body subject to approval by members, stockholders, or other persons?		X
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:		
8a	The governing body?	X	
8b	Each committee with authority to act on behalf of the governing body?	X	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O		X

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

		Yes	No
10a	Does the organization have local chapters, branches, or affiliates?		X
10b	If "Yes," does the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with those of the organization?		
11	Has the organization provided a copy of this Form 990 to all members of its governing body before filing the form?	X	
11A	Describe in Schedule O the process, if any, used by the organization to review this Form 990.		
12a	Does the organization have a written conflict of interest policy? If "No," go to line 13	X	
12b	Are officers, directors or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	X	
12c	Does the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this is done	X	
13	Does the organization have a written whistleblower policy?		X
14	Does the organization have a written document retention and destruction policy?		X
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
15a	The organization's CEO, Executive Director, or top management official	X	
15b	Other officers or key employees of the organization	X	
If "Yes" to line 15a or 15b, describe the process in Schedule O. (See instructions.)			
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?		X
16b	If "Yes," has the organization adopted a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and taken steps to safeguard the organization's exempt status with respect to such arrangements?		

Section C. Disclosure

- 17 List the states with which a copy of this Form 990 is required to be filed **ME**
- 18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection. Indicate how you make these available. Check all that apply.
 Own website Another's website Upon request
- 19 Describe in Schedule O whether (and if so, how), the organization makes its governing documents, conflict of interest policy, and financial statements available to the public.
- 20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization: **▶**
DONALD W. PERKINS, JR. - 207-772-2321
350 COMMERCIAL STREET, PORTLAND, ME 04101

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year. Use Schedule J-2 if additional space is needed.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's **current** key employees. See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

Check this box if the organization did not compensate any current officer, director, or trustee.

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
KATHRYN L. BARBER DIRECTOR	1.00	X					0.	0.	0.	
WILLIAM A. BURKE CHAIRMAN	1.00	X		X			0.	0.	0.	
WILLIAM L. CARON, JR. DIRECTOR	1.00	X					0.	0.	0.	
MARK DOIRON DIRECTOR	1.00	X					0.	0.	0.	
MARION F. FREEMAN DIRECTOR	1.00	X					0.	0.	0.	
DAVID A. GOLDBERG DIRECTOR	1.00	X					0.	0.	0.	
MARJORIE E. DAWSON DIRECTOR	1.00	X					0.	0.	0.	
SUZANNE E. HAMLIN DIRECTOR	1.00	X					0.	0.	0.	
WILLIAM B. KOURAKOS, JR. DIRECTOR	1.00	X					0.	0.	0.	
DANIEL W. HILDRETH DIRECTOR	1.00	X					0.	0.	0.	
JOHN N. KELLY VICE CHAIRMAN	1.00	X		X			0.	0.	0.	
JOAN M. SMITH TREASURER	2.00	X		X			0.	0.	0.	
ROBERT C. MONKS DIRECTOR	1.00	X					0.	0.	0.	
DONALD W. PERKINS, JR. PRESIDENT	40.00	X		X			207,791.	0.	20,438.	
SEAN MAHONEY SECRETARY	2.00	X		X			0.	0.	0.	
THOMAS S. HANSON DIRECTOR	1.00	X					0.	0.	0.	
STEPHEN A. HUTNAK DIRECTOR	1.00	X					0.	0.	0.	

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

(A) Name and title	(B) Average hours per week	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
DEREK S. PIERCE DIRECTOR	1.00	X						0.	0.	0.
HOLLY SARGENT DIRECTOR	1.00	X						0.	0.	0.
ROBERT L. STEPHENSON DIRECTOR	1.00	X						0.	0.	0.
JOHN B. SULLIVAN DIRECTOR	1.00	X						0.	0.	0.
KARL W. TURNER DIRECTOR	1.00	X						0.	0.	0.
SARA J. BURNS DIRECTOR	1.00	X						0.	0.	0.
KIMBERLY GORTON DIRECTOR	1.00	X						0.	0.	0.
ROGER L. MARTIN DIRECTOR	1.00	X						0.	0.	0.
ROBERT H. SUVA DIRECTOR	1.00	X						0.	0.	0.
JOHN ANNALA CHIEF SCIENTIFIC OFF	40.00					X		162,975.	0.	15,924.
1b Total								474,619.	0.	52,915.

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 in reportable compensation from the organization **3**

	Yes	No
3 Did the organization list any former officer, director or trustee, key employee, or highest compensated employee on line 1a? If "Yes," complete Schedule J for such individual		X
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If "Yes," complete Schedule J for such individual	X	
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization for services rendered to the organization? If "Yes," complete Schedule J for such person		X

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization.

(A) Name and business address	(B) Description of services	(C) Compensation
IMAGE WORKS 541 CONGRESS STREET, PORTLAND, ME 04101	WEBSITE DESIGN	193,074.
CUSTOM COACH AND LIMOSINE P.O. BOX 17514, PORTLAND, ME 04112	BUS TRANSPORTATION	124,115.

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 in compensation from the organization **2**

SEE SCHEDULE J-2 FOR PART VII, SECTION A CONTINUATION

Part VIII Statement of Revenue

				(A)	(B)	(C)	(D)	
				Total revenue	Related or exempt function revenue	Unrelated business revenue	Revenue excluded from tax under sections 512, 513, or 514	
Contributions, gifts, grants and other similar amounts	1 a Federated campaigns	1a						
	b Membership dues	1b						
	c Fundraising events	1c						
	d Related organizations	1d						
	e Government grants (contributions)	1e	1295667.					
	f All other contributions, gifts, grants, and similar amounts not included above	1f	2861328.					
	g Noncash contributions included in lines 1a-1f \$		73,488.					
	h Total. Add lines 1a-1f			4156995.				
Program Service Revenue	2 a CONTRACT INCOME	Business Code	900099	508,522.	508,522.			
	b PROPERTY MGMT FEE		900099	80,880.	80,880.			
	c CONSULTING INCOME		900099	20,250.	20,250.			
	d							
	e							
	f All other program service revenue							
	g Total. Add lines 2a-2f			609,652.				
Other Revenue	3 Investment income (including dividends, interest, and other similar amounts)			101,819.			101,819.	
	4 Income from investment of tax-exempt bond proceeds							
	5 Royalties							
	6 a Gross Rents	(i) Real	(ii) Personal					
		b Less: rental expenses						
		c Rental income or (loss)						
		d Net rental income or (loss)						
	7 a Gross amount from sales of assets other than inventory	(i) Securities	(ii) Other					
		4,057,469.						
		b Less: cost or other basis and sales expenses						
		4,596,028.						
	c Gain or (loss)							
	-538,559.							
	d Net gain or (loss)				-538,559.		-538,559.	
8 a Gross income from fundraising events (not including \$ _____ of contributions reported on line 1c). See Part IV, line 18	a							
	b Less: direct expenses	b						
	c Net income or (loss) from fundraising events							
9 a Gross income from gaming activities. See Part IV, line 19	a							
	b Less: direct expenses	b						
	c Net income or (loss) from gaming activities							
10 a Gross sales of inventory, less returns and allowances	a							
	b Less: cost of goods sold	b						
	c Net income or (loss) from sales of inventory							
Miscellaneous Revenue			Business Code					
11 a MISCELLANEOUS INCOME			900099	5,214.	5,214.			
	b							
	c							
	d All other revenue							
	e Total. Add lines 11a-11d				5,214.			
12 Total revenue. See instructions				4335121.	614,866.	0.	-436740.	

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns.
 All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D).

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to governments and organizations in the U.S. See Part IV, line 21				
2 Grants and other assistance to individuals in the U.S. See Part IV, line 22				
3 Grants and other assistance to governments, organizations, and individuals outside the U.S. See Part IV, lines 15 and 16				
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees	228,229.		228,229.	
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7 Other salaries and wages	1,900,588.	1,437,029.	260,803.	202,756.
8 Pension plan contributions (include section 401(k) and section 403(b) employer contributions)	72,350.	54,811.	10,412.	7,127.
9 Other employee benefits	541,317.	354,600.	136,483.	50,234.
10 Payroll taxes	174,047.	131,937.	20,617.	21,493.
11 Fees for services (non-employees):				
a Management				
b Legal	15,519.	3,167.	12,352.	
c Accounting	27,125.		27,125.	
d Lobbying				
e Professional fundraising services. See Part IV, line 17	3,066.			3,066.
f Investment management fees	9,525.			9,525.
g Other				
12 Advertising and promotion				
13 Office expenses	208,837.	91,448.	83,221.	34,168.
14 Information technology				
15 Royalties				
16 Occupancy	479,052.	12,552.	466,500.	
17 Travel	271,354.	220,079.	33,095.	18,180.
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings	6,118.	5,445.	43.	630.
20 Interest	13,540.		13,540.	
21 Payments to affiliates				
22 Depreciation, depletion, and amortization	257,050.		257,050.	
23 Insurance	17,204.		17,204.	
24 Other expenses. Itemize expenses not covered above. (Expenses grouped together and labeled miscellaneous may not exceed 5% of total expenses shown on line 25 below.)				
a OUTSIDE SERVICES	384,257.	362,507.		21,750.
b SUBCONTRACTED VESSELS	311,859.	311,859.		
c OTHER SPECIAL COSTS	298,527.	298,527.		
d SUB-CONTRACTED SERVICES	178,314.	144,157.	17,607.	16,550.
e STAFF DEVELOPMENT	14,321.	4,581.	9,740.	
f All other expenses	47,766.	1,246,527.	-1,379,214.	180,453.
25 Total functional expenses. Add lines 1 through 24f	5,459,965.	4,679,226.	214,807.	565,932.
26 Joint costs. Check here <input type="checkbox"/> if following SOP 98-2. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation ...				

Part X Balance Sheet

		(A) Beginning of year		(B) End of year	
Assets	1	Cash - non-interest-bearing	107,284.	1	731,381.
	2	Savings and temporary cash investments	695,063.	2	264,950.
	3	Pledges and grants receivable, net	1,306,432.	3	1,205,621.
	4	Accounts receivable, net	277,779.	4	458,150.
	5	Receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L		5	
	6	Receivables from other disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B). Complete Part II of Schedule L		6	
	7	Notes and loans receivable, net		7	
	8	Inventories for sale or use		8	
	9	Prepaid expenses and deferred charges	32,382.	9	34,760.
	10a	Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D	10a 2,037,194.		
	b	Less: accumulated depreciation	10b 876,899.		
	11	Investments - publicly traded securities	1,287,918.	10c	1,160,295.
	12	Investments - other securities. See Part IV, line 11	3,164,136.	11	2,669,763.
	13	Investments - program-related. See Part IV, line 11	430,562.	12	469,372.
	14	Intangible assets		13	
	15	Other assets. See Part IV, line 11	428,358.	14	485,843.
16	Total assets. Add lines 1 through 15 (must equal line 34)	7,729,914.	15	7,480,135.	
Liabilities	17	Accounts payable and accrued expenses	382,372.	17	285,194.
	18	Grants payable		18	
	19	Deferred revenue	4,637.	19	3,723.
	20	Tax-exempt bond liabilities		20	
	21	Escrow or custodial account liability. Complete Part IV of Schedule D		21	
	22	Payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L		22	
	23	Secured mortgages and notes payable to unrelated third parties	1,226,977.	23	425,252.
	24	Unsecured notes and loans payable to unrelated third parties		24	
25	Other liabilities. Complete Part X of Schedule D		25		
26	Total liabilities. Add lines 17 through 25	1,613,986.	26	714,169.	
Net Assets or Fund Balances	Organizations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.				
	27	Unrestricted net assets	4,497,621.	27	4,509,481.
	28	Temporarily restricted net assets	1,618,307.	28	2,256,485.
	29	Permanently restricted net assets		29	
	Organizations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 30 through 34.				
	30	Capital stock or trust principal, or current funds		30	
	31	Paid-in or capital surplus, or land, building, or equipment fund		31	
	32	Retained earnings, endowment, accumulated income, or other funds		32	
	33	Total net assets or fund balances	6,115,928.	33	6,765,966.
	34	Total liabilities and net assets/fund balances	7,729,914.	34	7,480,135.

Part X Financial Statements and Reporting

1 Accounting method used to prepare the Form 990: Cash Accrual Other _____

If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.

2a Were the organization's financial statements compiled or reviewed by an independent accountant?

b Were the organization's financial statements audited by an independent accountant?

c If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?

If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.

d If "Yes" to line 2a or 2b, check a box below to indicate whether the financial statements for the year were issued on a consolidated basis, separate basis, or both:

Separate basis Consolidated basis Both consolidated and separate basis

3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?

b If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits.

	Yes	No
2a		X
2b	X	
2c	X	
3a	X	
3b	X	

Form 990 (2009)

SCHEDULE A
(Form 990 or 990-EZ)

Public Charity Status and Public Support

OMB No. 1545-0047

2009

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

Name of the organization **GULF OF MAINE RESEARCH INSTITUTE** Employer identification number **01-0504905**

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 11, check only one box.)

- 1 A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i)**.
- 2 A school described in **section 170(b)(1)(A)(ii)**. (Attach Schedule E.)
- 3 A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii)**.
- 4 A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii)**. Enter the hospital's name, city, and state: _____
- 5 An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv)**. (Complete Part II.)
- 6 A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v)**.
- 7 An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi)**. (Complete Part II.)
- 8 A community trust described in **section 170(b)(1)(A)(vii)**. (Complete Part II.)
- 9 An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2)**. (Complete Part III.)
- 10 An organization organized and operated exclusively to test for public safety. See **section 509(a)(4)**.
- 11 An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See **section 509(a)(3)**. Check the box that describes the type of supporting organization and complete lines 11e through 11h.
 - a Type I b Type II c Type III - Functionally integrated d Type III - Other
- e By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2).
- f If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box
- g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

	Yes	No
(i) A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization? 11g(i)		
(ii) A family member of a person described in (i) above? 11g(ii)		
(iii) A 35% controlled entity of a person described in (i) or (ii) above? 11g(iii)		
- h Provide the following information about the supported organization(s).

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1-9 above or IRC section (see instructions))	(iv) Is the organization in col. (i) listed in your governing document?		(v) Did you notify the organization in col. (i) of your support?		(vi) Is the organization in col. (i) organized in the U.S.?		(vii) Amount of support
			Yes	No	Yes	No	Yes	No	
Total									

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule A (Form 990 or 990-EZ) 2009

Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)

(Complete only if you checked the box on line 5, 7, or 8 of Part I.)

Section A. Public Support

Calendar year (or fiscal year beginning in)	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")	4,607,796.	4,608,343.	4,357,750.	4,657,690.	4,156,995.	22,388,574.
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 Total. Add lines 1 through 3	4,607,796.	4,608,343.	4,357,750.	4,657,690.	4,156,995.	22,388,574.
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						320,187.
6 Public support. Subtract line 5 from line 4.						22,068,387.

Section B. Total Support

Calendar year (or fiscal year beginning in)	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
7 Amounts from line 4	4,607,796.	4,608,343.	4,357,750.	4,657,690.	4,156,995.	22,388,574.
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	74,150.	122,436.	125,840.	164,804.	101,819.	589,049.
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)		6,271.	4,915.	4,801.	5,214.	21,201.
11 Total support. Add lines 7 through 10						22,998,824.
12 Gross receipts from related activities, etc. (see instructions)					12	2,725,117.

13 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here

Section C. Computation of Public Support Percentage

14 Public support percentage for 2009 (line 6, column (f) divided by line 11, column (f))	14	95.95 %
15 Public support percentage from 2008 Schedule A, Part II, line 14	15	96.47 %

16a **33 1/3% support test - 2009.** If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization

b **33 1/3% support test - 2008.** If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization

17a **10% -facts-and-circumstances test - 2009.** If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization

b **10% -facts-and-circumstances test - 2008.** If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization

18 **Private foundation.** If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions

Part III Support Schedule for Organizations Described in Section 509(a)(2) (Complete only if you checked the box on line 9 of Part I.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 Public support (Subtract line 7c from line 6)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
13 Total support (Add lines 9, 10c, 11, and 12.)						

14 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here

Section C. Computation of Public Support Percentage

15 Public support percentage for 2009 (line 8, column (f) divided by line 13, column (f))	15	%
16 Public support percentage from 2008 Schedule A, Part III, line 15	16	%

Section D. Computation of Investment Income Percentage

17 Investment income percentage for 2009 (line 10c, column (f) divided by line 13, column (f))	17	%
18 Investment income percentage from 2008 Schedule A, Part III, line 17	18	%

19a **33 1/3% support tests - 2009.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization

b **33 1/3% support tests - 2008.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization

20 **Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No. 1545-0047

2009

Name of the organization

Employer identification number

GULF OF MAINE RESEARCH INSTITUTE

01-0504905

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

501(c)(3) (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions
for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2009)

Name of organization

Employer identification number

GULF OF MAINE RESEARCH INSTITUTE

01-0504905

Part I Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1		\$ 105,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
2		\$ 100,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
3		\$ 300,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
4		\$ 150,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
5		\$ 170,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
6		\$ 711,999.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)

Name of organization

Employer identification number

GULF OF MAINE RESEARCH INSTITUTE

01-0504905

Part I Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
7		\$ 131,069.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
8		\$ 84,304.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)

SCHEDULE C
(Form 990 or 990-EZ)

Political Campaign and Lobbying Activities
For Organizations Exempt From Income Tax Under section 501(c) and section 527

OMB No. 1545-0047

2009

Department of the Treasury
Internal Revenue Service

▶ **Complete if the organization is described below.**
▶ **Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.**

Open to Public Inspection

If the organization answered "Yes," to Form 990, Part IV, line 3, or Form 990-EZ, Part VI, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.
- Section 527 organizations: Complete Part I-A only.

If the organization answered "Yes," to Form 990, Part IV, line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.

If the organization answered "Yes," to Form 990, Part IV, line 5 (Proxy Tax), then

- Section 501(c)(4), (5), or (6) organizations: Complete Part III.

Name of organization <p align="center">GULF OF MAINE RESEARCH INSTITUTE</p>	Employer identification number <p align="center">01-0504905</p>
--	--

Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

- 1 Provide a description of the organization's direct and indirect political campaign activities in Part IV.
- 2 Political expenditures ▶ \$ _____
- 3 Volunteer hours _____

Part I-B Complete if the organization is exempt under section 501(c)(3).

- 1 Enter the amount of any excise tax incurred by the organization under section 4955 ▶ \$ _____
- 2 Enter the amount of any excise tax incurred by organization managers under section 4955 ▶ \$ _____
- 3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year? Yes No
- 4a Was a correction made? Yes No
- b If "Yes," describe in Part IV.

Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).

- 1 Enter the amount directly expended by the filing organization for section 527 exempt function activities ▶ \$ _____
- 2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities ▶ \$ _____
- 3 Total exempt function expenditures. Add lines 1 and 2. Enter here and on Form 1120-POL, line 17b ▶ \$ _____
- 4 Did the filing organization file Form 1120-POL for this year? Yes No
- 5 Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which payments were made. For each organization listed, enter the amount paid from the filing organization's funds. Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC). If additional space is needed, provide information in Part IV.

(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds. If none, enter -0-.	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization. If none, enter -0-.

For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ. Schedule C (Form 990 or 990-EZ) 2009
LHA

Part II-A Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).

- A Check if the filing organization belongs to an affiliated group.
 B Check if the filing organization checked box A and "limited control" provisions apply.

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)		(a) Filing organization's totals	(b) Affiliated group totals												
1a	Total lobbying expenditures to influence public opinion (grass roots lobbying)														
b	Total lobbying expenditures to influence a legislative body (direct lobbying)														
c	Total lobbying expenditures (add lines 1a and 1b)														
d	Other exempt purpose expenditures														
e	Total exempt purpose expenditures (add lines 1c and 1d)														
f	Lobbying nontaxable amount. Enter the amount from the following table in both columns.														
<table border="1" style="width: 100%;"> <thead> <tr> <th style="text-align: left;">If the amount on line 1e, column (a) or (b) is:</th> <th style="text-align: left;">The lobbying nontaxable amount is:</th> </tr> </thead> <tbody> <tr> <td>Not over \$500,000</td> <td>20% of the amount on line 1e.</td> </tr> <tr> <td>Over \$500,000 but not over \$1,000,000</td> <td>\$100,000 plus 15% of the excess over \$500,000.</td> </tr> <tr> <td>Over \$1,000,000 but not over \$1,500,000</td> <td>\$175,000 plus 10% of the excess over \$1,000,000.</td> </tr> <tr> <td>Over \$1,500,000 but not over \$17,000,000</td> <td>\$225,000 plus 5% of the excess over \$1,500,000.</td> </tr> <tr> <td>Over \$17,000,000</td> <td>\$1,000,000.</td> </tr> </tbody> </table>		If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:	Not over \$500,000	20% of the amount on line 1e.	Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000.	Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000.	Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000.	Over \$17,000,000	\$1,000,000.		
If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:														
Not over \$500,000	20% of the amount on line 1e.														
Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000.														
Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000.														
Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000.														
Over \$17,000,000	\$1,000,000.														
g	Grassroots nontaxable amount (enter 25% of line 1f)														
h	Subtract line 1g from line 1a. If zero or less, enter -0-														
i	Subtract line 1f from line 1c. If zero or less, enter -0-														
j	If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year?														

Yes No

4-Year Averaging Period Under Section 501(h)
 (Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 2a through 2f on page 4.)

Lobbying Expenditures During 4-Year Averaging Period					
Calendar year (or fiscal year beginning in)	(a) 2006	(b) 2007	(c) 2008	(d) 2009	(e) Total
2a	Lobbying nontaxable amount				
b	Lobbying ceiling amount (150% of line 2a, column(e))				
c	Total lobbying expenditures				
d	Grassroots nontaxable amount				
e	Grassroots ceiling amount (150% of line 2d, column (e))				
f	Grassroots lobbying expenditures				

Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

	(a)		(b)
	Yes	No	Amount
1 During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of:			
a Volunteers?		X	
b Paid staff or management (include compensation in expenses reported on lines 1c through 1i)? ..		X	
c Media advertisements?		X	
d Mailings to members, legislators, or the public?		X	
e Publications, or published or broadcast statements?		X	
f Grants to other organizations for lobbying purposes?	X		16,000.
g Direct contact with legislators, their staffs, government officials, or a legislative body?	X		19,447.
h Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?		X	
i Other activities? If "Yes," describe in Part IV		X	
j Total. Add lines 1c through 1i			35,447.
2a Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?		X	
b If "Yes," enter the amount of any tax incurred under section 4912			
c If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
d If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

Part III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

	Yes	No
1 Were substantially all (90% or more) dues received nondeductible by members?	1	
2 Did the organization make only in-house lobbying expenditures of \$2,000 or less?	2	
3 Did the organization agree to carryover lobbying and political expenditures from the prior year?	3	

Part III-B Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) if BOTH Part III-A, lines 1 and 2 are answered "No" OR if Part III-A, line 3 is answered "Yes."

1 Dues, assessments and similar amounts from members	1	
2 Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).		
a Current year	2a	
b Carryover from last year	2b	
c Total	2c	
3 Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	3	
4 If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4	
5 Taxable amount of lobbying and political expenditures (see instructions)	5	

Part IV Supplemental Information

Complete this part to provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; and Part II-B, line 1i. Also, complete this part for any additional information.

Schedule D
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

▶ Complete if the organization answered "Yes," to Form 990,

Part IV, line 6, 7, 8, 9, 10, 11, or 12.

▶ Attach to Form 990. ▶ See separate instructions.

OMB No. 1545-0047

2009

Open to Public Inspection

Name of the organization

GULF OF MAINE RESEARCH INSTITUTE

Employer identification number

01-0504905

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1 Total number at end of year		
2 Aggregate contributions to (during year)		
3 Aggregate grants from (during year)		
4 Aggregate value at end of year		
5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit?	<input type="checkbox"/> Yes	<input type="checkbox"/> No

Part II Conservation Easements. Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply).

<input type="checkbox"/> Preservation of land for public use (e.g., recreation or pleasure)	<input type="checkbox"/> Preservation of an historically important land area
<input type="checkbox"/> Protection of natural habitat	<input type="checkbox"/> Preservation of a certified historic structure
<input type="checkbox"/> Preservation of open space	

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.

	Held at the End of the Tax Year
a Total number of conservation easements	2a
b Total acreage restricted by conservation easements	2b
c Number of conservation easements on a certified historic structure included in (a)	2c
d Number of conservation easements included in (c) acquired after 8/17/06	2d

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶ _____

4 Number of states where property subject to conservation easement is located ▶ _____

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds?

Yes No

6 Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year ▶ _____

7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ \$ _____

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)?

Yes No

9 In Part XIV, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.

Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

- 1a If the organization elected, as permitted under SFAS 116, not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIV, the text of the footnote to its financial statements that describes these items.
- b If the organization elected, as permitted under SFAS 116, to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:
- (i) Revenues included in Form 990, Part VIII, line 1 ▶ \$ _____
- (ii) Assets included in Form 990, Part X ▶ \$ _____
- 2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 relating to these items:
- a Revenues included in Form 990, Part VIII, line 1 ▶ \$ _____
- b Assets included in Form 990, Part X ▶ \$ _____

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items

(check all that apply):

- a Public exhibition
- b Scholarly research
- c Preservation for future generations
- d Loan or exchange programs
- e Other _____

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIV.

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? Yes No

Part IV Escrow and Custodial Arrangements. Complete if organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? Yes No

b If "Yes," explain the arrangement in Part XIV and complete the following table:

	Amount
1c Beginning balance	
1d Additions during the year	
1e Distributions during the year	
1f Ending balance	

2a Did the organization include an amount on Form 990, Part X, line 21? Yes No

b If "Yes," explain the arrangement in Part XIV.

Part V Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance	1019512.	633,388.			
b Contributions	216,966.	745,557.			
c Net investment earnings, gains, and losses	7,145.	-306,268.			
d Grants or scholarships					
e Other expenditures for facilities and programs	0.	53,165.			
f Administrative expenses					
g End of year balance	1243623.	1019512.			

2 Provide the estimated percentage of the year end balance held as:

- a Board designated or quasi-endowment 100.00 %
- b Permanent endowment _____ %
- c Term endowment _____ %

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

	Yes	No
(i) unrelated organizations		X
(ii) related organizations		X

b If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R? Yes No

4 Describe in Part XIV the intended uses of the organization's endowment funds.

Part VI Investments - Land, Buildings, and Equipment. See Form 990, Part X, line 10.

Description of investment	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land				
b Buildings				
c Leasehold improvements		271,497.	70,892.	200,605.
d Equipment		763,530.	347,942.	415,588.
e Other		1,002,167.	458,065.	544,102.
Total. Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10(c).)				1,160,295.

Part XI Reconciliation of Change in Net Assets from Form 990 to Audited Financial Statements

1	Total revenue (Form 990, Part VIII, column (A), line 12)	1	4,335,121.
2	Total expenses (Form 990, Part IX, column (A), line 25)	2	5,459,965.
3	Excess or (deficit) for the year. Subtract line 2 from line 1	3	-1,124,844.
4	Net unrealized gains (losses) on investments	4	669,492.
5	Donated services and use of facilities	5	
6	Investment expenses	6	
7	Prior period adjustments	7	
8	Other (Describe in Part XIV.)	8	1,105,390.
9	Total adjustments (net). Add lines 4 through 8	9	1,774,882.
10	Excess or (deficit) for the year per audited financial statements. Combine lines 3 and 9	10	650,038.

Part XII Reconciliation of Revenue per Audited Financial Statements With Revenue per Return

1	Total revenue, gains, and other support per audited financial statements	1	5,108,113.
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:		
a	Net unrealized gains on investments	2a	669,492.
b	Donated services and use of facilities	2b	103,500.
c	Recoveries of prior year grants	2c	
d	Other (Describe in Part XIV.)	2d	
e	Add lines 2a through 2d	2e	772,992.
3	Subtract line 2e from line 1	3	4,335,121.
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	
b	Other (Describe in Part XIV.)	4b	
c	Add lines 4a and 4b	4c	0.
5	Total revenue. Add lines 3 and 4c. (This must equal Form 990, Part I, line 12.)	5	4,335,121.

Part XIII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return

1	Total expenses and losses per audited financial statements	1	5,563,465.
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:		
a	Donated services and use of facilities	2a	103,500.
b	Prior year adjustments	2b	
c	Other losses	2c	
d	Other (Describe in Part XIV.)	2d	
e	Add lines 2a through 2d	2e	103,500.
3	Subtract line 2e from line 1	3	5,459,965.
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	
b	Other (Describe in Part XIV.)	4b	
c	Add lines 4a and 4b	4c	0.
5	Total expenses. Add lines 3 and 4c. (This must equal Form 990, Part I, line 18.)	5	5,459,965.

Part XIV Supplemental Information

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, line 8; Part XII, lines 2d and 4b; and Part XIII, lines 2d and 4b. Also complete this part to provide any additional information.

PART V, LINE 4: THE INTENDED USE OF THESE ENDOWMENT FUNDS IS FOR
 LONG-TERM INVESTMENT PURPOSES. THE INCOME IS TO BE USED TO HELP MEET THE
 OPERATING COSTS OF GMRI AND, IF NECESSARY, THE BALANCE IS TO PROVIDE A
 LAST-RESORT SOURCE OF FUNDS IN THE CASE OF SERIOUS FINANCIAL NEED.

PART XI, LINE 8 - OTHER CHANGES IN NET ASSETS:
 MERGER -- GULF OF MAINE OCEAN OBSERVING SYSTEM (GOMOOS); (EIN#:
 01-0531856), A 501(C)(3) ORGANIZATION, TRANSFERRED TO, AND GMRI ACCEPTED,

**SCHEDULE J
(Form 990)**

Compensation Information

OMB No. 1545-0047

2009

Open to Public Inspection

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 23.

▶ Attach to Form 990. ▶ See separate instructions.

Department of the Treasury
Internal Revenue Service

Name of the organization

GULF OF MAINE RESEARCH INSTITUTE

Employer identification number

01-0504905

Part I Questions Regarding Compensation

1a Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.

- | | |
|--|--|
| <input type="checkbox"/> First-class or charter travel | <input type="checkbox"/> Housing allowance or residence for personal use |
| <input type="checkbox"/> Travel for companions | <input type="checkbox"/> Payments for business use of personal residence |
| <input type="checkbox"/> Tax indemnification and gross-up payments | <input type="checkbox"/> Health or social club dues or initiation fees |
| <input type="checkbox"/> Discretionary spending account | <input type="checkbox"/> Personal services (e.g., maid, chauffeur, chef) |

b If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain

2 Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all officers, directors, trustees, and the CEO/Executive Director, regarding the items checked in line 1a?

3 Indicate which, if any, of the following the organization uses to establish the compensation of the organization's CEO/Executive Director. Check all that apply.

- | | |
|---|---|
| <input checked="" type="checkbox"/> Compensation committee | <input checked="" type="checkbox"/> Written employment contract |
| <input type="checkbox"/> Independent compensation consultant | <input checked="" type="checkbox"/> Compensation survey or study |
| <input checked="" type="checkbox"/> Form 990 of other organizations | <input checked="" type="checkbox"/> Approval by the board or compensation committee |

4 During the year, did any person listed in Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization:

- a** Receive a severance payment or change-of-control payment?
- b** Participate in, or receive payment from, a supplemental nonqualified retirement plan?
- c** Participate in, or receive payment from, an equity-based compensation arrangement?
- If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.

Only section 501(c)(3) and 501(c)(4) organizations must complete lines 5-9.

5 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:

- a** The organization?
- b** Any related organization?
- If "Yes" to line 5a or 5b, describe in Part III.

6 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:

- a** The organization?
- b** Any related organization?
- If "Yes" to line 6a or 6b, describe in Part III.

7 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III

8 Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regs. section 53.4958-4(a)(3)? If "Yes," describe in Part III

9 If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?

	Yes	No
1a		
1b		
2		
3		
4a		X
4b		X
4c		X
5a		X
5b		X
6a		X
6b		X
7		X
8		X
9		

**SCHEDULE M
(Form 990)**

Noncash Contributions

OMB No. 1545-0047

2009

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

▶ **Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.**
▶ **Attach to Form 990.**

Name of the organization

GULF OF MAINE RESEARCH INSTITUTE

Employer identification number

01-0504905

Part I Types of Property

	(a) Check if applicable	(b) Number of contributions	(c) Revenues reported on Form 990, Part VIII, line 1g	(d) Method of determining revenues
1 Art - Works of art				
2 Art - Historical treasures				
3 Art - Fractional interests				
4 Books and publications				
5 Clothing and household goods				
6 Cars and other vehicles				
7 Boats and planes				
8 Intellectual property				
9 Securities - Publicly traded	X	5	73,488.	MARKET VALUE
10 Securities - Closely held stock				
11 Securities - Partnership, LLC, or trust interests				
12 Securities - Miscellaneous				
13 Qualified conservation contribution - Historic structures				
14 Qualified conservation contribution - Other ...				
15 Real estate - Residential				
16 Real estate - Commercial				
17 Real estate - Other				
18 Collectibles				
19 Food inventory				
20 Drugs and medical supplies				
21 Taxidermy				
22 Historical artifacts				
23 Scientific specimens				
24 Archeological artifacts				
25 Other ▶ (_____)				
26 Other ▶ (_____)				
27 Other ▶ (_____)				
28 Other ▶ (_____)				

29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgment **29** **0**

30a During the year, did the organization receive by contribution any property reported in Part I, lines 1-28 that it must hold for at least three years from the date of the initial contribution, and which is not required to be used for exempt purposes for the entire holding period?

	Yes	No
30a		X
31	X	
32a	X	

b If "Yes," describe the arrangement in Part II.

31 Does the organization have a gift acceptance policy that requires the review of any non-standard contributions?

32a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions?

b If "Yes," describe in Part II.

33 If the organization did not report revenues in column (c) for a type of property for which column (a) is checked, describe in Part II.

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule M (Form 990) 2009

SCHEDULE O
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990

Complete to provide information for responses to specific questions on
Form 990 or to provide any additional information.
▶ Attach to Form 990.

OMB No. 1545-0047

2009

Open to Public
Inspection

Name of the organization

GULF OF MAINE RESEARCH INSTITUTE

Employer identification number
01-0504905

FORM 990, PART I, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:

RESEARCH; AND UNDERTAKING THE FINANCING, SITING, DESIGN, AND
CONSTRUCTION OF FACILITIES TO SUPPORT ITS EDUCATION AND RESEARCH
INTERESTS.

FORM 990, PART VI, SECTION B, LINE 11: THE ORGANIZATION HAS THE FOLLOWING
REVIEW AND APPROVAL PROCESS:

1. 990 FILINGS ARE REVIEWED BY GMRI FINANCIAL STAFF.
2. COPIES OF 990 FILINGS ARE THEN PROVIDED TO THE PRESIDENT AND FINANCE
COMMITTEE. AMPLE TIME IS PROVIDED FOR REVIEW AND QUESTIONS TO THE
FINANCIAL STAFF AND THE ORGANIZATION'S AUDITORS. SUBSEQUENTLY THE FILINGS,
WITH ANY AMENDMENTS ARE APPROVED.
3. COPIES OF THE FINAL 990 FILING ARE PROVIDED TO THE ORGANIZATION'S
DIRECTORS FOR REVIEW.

FORM 990, PART VI, SECTION B, LINE 12C: STAFF AND BOARD ARE MINDFUL OF THE
POTENTIAL FOR CONFLICT OF INTEREST. IF ONE ARISES, WE DISCUSS AND ADDRESS
THE ISSUE. ANNUALLY BOARD MEMBERS ARE PRESENTED WITH A DISCLOSURE FORM.

FORM 990, PART VI, SECTION B, LINE 15: ONCE A YEAR THE BOARD MEMBERS,
STAFF AND EXTERNAL STAKEHOLDERS ARE ASKED TO GIVE INPUT ON THE PERFORMANCE
OF THE PRESIDENT. THE INFORMATION IS THEN COMPILED AND THE RESULTS
SUMMARIZED. THE CHAIRMAN OF THE BOARD COLLECTS ALL INPUTS AND REVIEWS THE
RESULTS WITH THE EXECUTIVE COMMITTEE. ANNUALLY THE PRESIDENT REVIEWS THE
COMPENSATION OF THE SENIOR MEMBERS WITH THE EXECUTIVE COMMITTEE, AND
PERIODICALLY EXTERNAL SURVEYS AND COMPARISONS ARE DONE. THIS PROCESS WAS

SCHEDULE O
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990

Complete to provide information for responses to specific questions on
Form 990 or to provide any additional information.
▶ Attach to Form 990.

OMB No. 1545-0047

2009

Open to Public
Inspection

Name of the organization

GULF OF MAINE RESEARCH INSTITUTE

Employer identification number

01-0504905

LAST UNDERTAKEN IN 2009.

FORM 990, PART VI, SECTION C, LINE 19: THE ORGANIZATION'S GOVERNING
DOCUMENTS AND POLICIES, ARE AVAILABLE UPON REQUEST AT THE ORGANIZATION'S
OFFICE. FINANCIAL STATEMENTS ARE AVAILABLE ON THE WEBSITE.

FORM 990, PART XI, LINE 2C - AUDIT OVERSIGHT & SELECTION PROCESS:
THERE WERE NO CHANGES FROM THE PRIOR YEAR.

SCH L, PART IV, BUSINESS TRANSACTIONS INVOLVING INTERESTED PERSONS:

(A) NAME OF PERSON: ROSALIND GOLDSBROUGH

(B) RELATIONSHIP BETWEEN INTERESTED PERSON AND ORGANIZATION:

WIFE OF JOHN ANNALA WHO IS A KEY EMPLOYEE OF THE ORGANIZATION.

(D) DESCRIPTION OF TRANSACTION: WAGES FOR 2009

FORM 990, SCHEDULE R, PART II, COLUMN B

TO ACQUIRE, HOLD, MANAGE, MAINTAIN, DEVELOP, OR DISPOSE OF REAL
PROPERTY FOR THE BENEFIT OF AND IN CONNECTION WITH GMRI.

Part V Transactions With Related Organizations (Complete if the organization answered "Yes" to Form 990, Part IV, line 34, 35, or 36.)

Note. Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.

- 1 During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts I-IV?
 - a Receipt of (i) interest (ii) annuities (iii) royalties or (iv) rent from a controlled entity
 - b Gift, grant, or capital contribution to other organization(s)
 - c Gift, grant, or capital contribution from other organization(s)
 - d Loans or loan guarantees to or for other organization(s)
 - e Loans or loan guarantees by other organization(s)
 - f Sale of assets to other organization(s)
 - g Purchase of assets from other organization(s)
 - h Exchange of assets
 - i Lease of facilities, equipment, or other assets to other organization(s)
 - j Lease of facilities, equipment, or other assets from other organization(s)
 - k Performance of services or membership or fundraising solicitations for other organization(s)
 - l Performance of services or membership or fundraising solicitations by other organization(s)
 - m Sharing of facilities, equipment, mailing lists, or other assets
 - n Sharing of paid employees
 - o Reimbursement paid to other organization for expenses
 - p Reimbursement paid by other organization for expenses
 - q Other transfer of cash or property to other organization(s)
 - r Other transfer of cash or property from other organization(s)

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds.

	(a) Name of other organization(s)	(b) Transaction type (arr)	(c) Amount involved	
			Yes	No
(1) GULF OF MAINE PROPERTIES, INC.		J		472,839.
(2) GULF OF MAINE PROPERTIES, INC.		K		80,911.
(3) GULF OF MAINE PROPERTIES, INC.		O		2,482.
(4) GULF OF MAINE PROPERTIES, INC.		P		3,123.
(5)				
(6)				

Application for Extension of Time To File an Exempt Organization Return

▶ File a separate application for each return.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box
 - If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).
- Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Electronic Filing (e-file). Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T). However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T. Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868. For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Type or print	Name of Exempt Organization GULF OF MAINE RESEARCH INSTITUTE	Employer identification number 01-0504905
File by the due date for filing your return. See instructions.	Number, street, and room or suite no. If a P.O. box, see instructions. 350 COMMERCIAL STREET	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. PORTLAND, ME 04101	

Check type of return to be filed (file a separate application for each return):

- | | | |
|--|---|------------------------------------|
| <input checked="" type="checkbox"/> Form 990 | <input type="checkbox"/> Form 990-T (corporation) | <input type="checkbox"/> Form 4720 |
| <input type="checkbox"/> Form 990-BL | <input type="checkbox"/> Form 990-T (sec. 401(a) or 408(a) trust) | <input type="checkbox"/> Form 5227 |
| <input type="checkbox"/> Form 990-EZ | <input type="checkbox"/> Form 990-T (trust other than above) | <input type="checkbox"/> Form 6069 |
| <input type="checkbox"/> Form 990-PF | <input type="checkbox"/> Form 1041-A | <input type="checkbox"/> Form 8870 |

DONALD W. PERKINS, JR.

- The books are in the care of ▶ **350 COMMERCIAL STREET - PORTLAND, ME 04101**
Telephone No. ▶ **207-772-2321** FAX No. ▶ _____
- If the organization does not have an office or place of business in the United States, check this box
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____. If this is for the whole group, check this box ▶ . If it is for part of the group, check this box ▶ and attach a list with the names and EINs of all members the extension will cover.

1 I request an automatic 3-month (6-months for a corporation required to file Form 990-T) extension of time until **AUGUST 15, 2010**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
▶ calendar year **2009** or
▶ tax year beginning _____, and ending _____.

2 If this tax year is for less than 12 months, check reason: Initial return Final return Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	
b If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	
c Balance Due. Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	N/A

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

Form **990**

Return of Organization Exempt From Income Tax
Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

OMB No. 1545-0047

2008

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

▶ The organization may have to use a copy of this return to satisfy state reporting requirements.

A For the 2008 calendar year, or tax year beginning and ending

B Check if applicable:
 Address change
 Name change
 Initial return
 Termination
 Amended return
 Application pending

Please use IRS label or print or type.
See Specific Instructions.

C Name of organization
GULF OF MAINE RESEARCH INSTITUTE
 Doing Business As
 Number and street (or P.O. box if mail is not delivered to street address) Room/suite
350 COMMERCIAL STREET
 City or town, state or country, and ZIP + 4
PORTLAND, ME 04101

D Employer identification number
01-0504905

E Telephone number
207-772-2321

F Name and address of principal officer: DONALD W. PERKINS
350 COMMERCIAL STREET, PORTLAND, ME 04101

G Gross receipts \$ **8,671,552.**

H(a) Is this a group return for affiliates? Yes No
H(b) Are all affiliates included? Yes No
 If "No," attach a list. (see instructions)

I Tax-exempt status: 501(c) (**3**) (insert no.) 4947(a)(1) or 527

J Website: **WWW.GMRI.ORG**

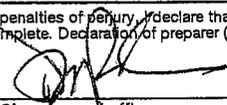
K Type of organization: Corporation Trust Association Other ▶

L Year of formation: **1994** **M State of legal domicile:** **ME**

Part I Summary		Prior Year	Current Year
Activities & Governance	1 Briefly describe the organization's mission or most significant activities: TO EDUCATE THE PUBLIC ABOUT THE GULF OF MAINE AND ITS WATERSHED, FACILITATING AND CONDUCTING MARINE		
	2 Check this box <input type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its assets.		
	3 Number of voting members of the governing body (Part VI, line 1a)	3	25
	4 Number of independent voting members of the governing body (Part VI, line 1b)	4	25
	5 Total number of employees (Part V, line 2a)	5	59
	6 Total number of volunteers (estimate if necessary)	6	3
	7a Total gross unrelated business revenue from Part VIII, line 12, column (C)	7a	0.
b Net unrelated business taxable income from Form 990-T, line 34	7b	0.	
Revenue	8 Contributions and grants (Part VIII, line 1h)	4,357,750.	4,657,690.
	9 Program service revenue (Part VIII, line 2g)	537,220.	847,722.
	10 Investment income (Part VIII, column (A), lines 3, 4, and 7d)	336,997.	166,570.
	11 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	4,915.	4,798.
	12 Total revenue. Add lines 8 through 11 (must equal Part VIII, column (A), line 12)	5,236,882.	5,676,780.
Expenses	13 Grants and similar amounts paid (Part IX, column (A), lines 1-3)		
	14 Benefits paid to or for members (Part IX, column (A), line 4)		
	15 Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	2,430,551.	2,815,037.
	16a Professional fundraising fees (Part IX, column (A), line 11e)	12,049.	29,900.
	b Total fundraising expenses (Part IX, column (D), line 25) ▶ 709,506.		
	17 Other expenses (Part IX, column (A), lines 11a-11d, 11f-24f)	2,290,246.	2,421,999.
18 Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)	4,732,846.	5,266,936.	
19 Revenue less expenses. Subtract line 18 from line 12	504,036.	409,844.	
Net Assets or Fund Balances	20 Total assets (Part X, line 16)	Beginning of Year 8,414,868.	End of Year 7,729,914.
	21 Total liabilities (Part X, line 26)	2,029,598.	1,613,986.
	22 Net assets or fund balances. Subtract line 21 from line 20	6,385,270.	6,115,928.

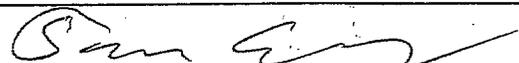
Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here:  Date: **1/8/13/09**

Signature of officer: **DONALD W. PERKINS, PRESIDENT**
Type or print name and title

Paid Preparer's Use Only

Preparer's signature:  Date: **8/11/09**

Check if self-employed:

Preparer's identifying number (see instructions):

Firm's name (or yours if self-employed), address, and ZIP + 4: **RUNYON KERSTEEN OUELLETTE**
20 LONG CREEK DRIVE
SOUTH PORTLAND, ME 04106

EIN: **207-773-2986**

Phone no. ▶ **207-773-2986**

May the IRS discuss this return with the preparer shown above? (see instructions) Yes No

Part III Statement of Program Service Accomplishments (see instructions)

1 Briefly describe the organization's mission: SEE ATTACHED STATEMENT.

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? [] Yes [X] No If "Yes", describe these new services on Schedule O.

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? [] Yes [X] No If "Yes", describe these changes on Schedule O.

4 Describe the exempt purpose achievements for each of the organization's three largest program services by expenses. Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code:) (Expenses \$ 1,480,755 . including grants of \$) (Revenue \$ 8,340 .) EDUCATED K-12 STUDENTS AND TEACHERS ABOUT THE GULF OF MAINE AND ITS WATERSHED.

4b (Code:) (Expenses \$ 1,945,767 . including grants of \$) (Revenue \$ 705,644 .) MANAGED AND CONDUCTED MARINE RESEARCH RELATED TO THE GULF OF MAINE AND ITS WATERSHED.

4c (Code:) (Expenses \$ 797,923 . including grants of \$) (Revenue \$ 8,812 .) PROVIDED PROGRAMS FOR TRAINING, TECHNICAL ASSISTANCE, COMMUNICATIONS AND CONVENING FOR STAKEHOLDERS AND GENERAL PUBLIC IN POLICY AND EMERGING CHALLENGES IN THE GULF OF MAINE AND ITS WATERSHED.

4d Other program services. (Describe in Schedule O.) (Expenses \$ including grants of \$) (Revenue \$)

4e Total program service expenses \$ 4,224,445 . (Must equal Part IX, Line 25, column (B).)

Part IV Checklist of Required Schedules

		Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? <i>If "Yes," complete Schedule A</i>	X	
2	Is the organization required to complete Schedule B, Schedule of Contributors?	X	
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? <i>If "Yes," complete Schedule C, Part I</i>		X
4	Section 501(c)(3) organizations. Did the organization engage in lobbying activities? <i>If "Yes," complete Schedule C, Part II</i> ...	X	
5	Section 501(c)(4), 501(c)(5), and 501(c)(6) organizations. Is the organization subject to the section 6033(e) notice and reporting requirement and proxy tax? <i>If "Yes," complete Schedule C, Part III</i>	N/A	
6	Did the organization maintain any donor advised funds or any accounts where donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? <i>If "Yes," complete Schedule D, Part I</i>		X
7	Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? <i>If "Yes," complete Schedule D, Part II</i>		X
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? <i>If "Yes," complete Schedule D, Part III</i>		X
9	Did the organization report an amount in Part X, line 21; serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? <i>If "Yes," complete Schedule D, Part IV</i>		X
10	Did the organization hold assets in term, permanent, or quasi-endowments? <i>If "Yes," complete Schedule D, Part V</i>	X	
11	Did the organization report an amount in Part X, lines 10, 12, 13, 15, or 25? <i>If "Yes," complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable</i>	X	
12	Did the organization receive an audited financial statement for the year for which it is completing this return that was prepared in accordance with GAAP? <i>If "Yes," complete Schedule D, Parts XI, XII, and XIII</i>	X	
13	Is the organization a school as described in section 170(b)(1)(A)(ii)? <i>If "Yes," complete Schedule E</i>		X
14a	Did the organization maintain an office, employees, or agents outside of the U.S.?		X
b	Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, and program service activities outside the U.S.? <i>If "Yes," complete Schedule F, Part I</i>		X
15	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any organization or entity located outside the United States? <i>If "Yes," complete Schedule F, Part II</i>		X
16	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or assistance to individuals located outside the United States? <i>If "Yes," complete Schedule F, Part III</i>		X
17	Did the organization report more than \$15,000 on Part IX, column (A), line 11e? <i>If "Yes," complete Schedule G, Part I</i>	X	
18	Did the organization report more than \$15,000 total on Part VIII, lines 1c and 8a? <i>If "Yes," complete Schedule G, Part II</i>		X
19	Did the organization report more than \$15,000 on Part VIII, line 9a? <i>If "Yes," complete Schedule G, Part III</i>		X
20	Did the organization operate one or more hospitals? <i>If "Yes," complete Schedule H</i>		X
21	Did the organization report more than \$5,000 on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II</i>		X
22	Did the organization report more than \$5,000 on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i>		X
23	Did the organization answer "Yes" to Part VII, Section A, questions 3, 4, or 5? <i>If "Yes," complete Schedule J</i>	X	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer questions 24b-24d and complete Schedule K. If "No," go to question 25</i>		X
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?		
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?		
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?		
25a	Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i>		X
b	Did the organization become aware that it had engaged in an excess benefit transaction with a disqualified person from a prior year? <i>If "Yes," complete Schedule L, Part I</i>		X
26	Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? <i>If "Yes," complete Schedule L, Part II</i>		X
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, or substantial contributor, or to a person related to such an individual? <i>If "Yes," complete Schedule L, Part III</i>		X

Part IV Checklist of Required Schedules (continued)

	Yes	No
28 During the tax year, did any person who is a current or former officer, director, trustee, or key employee:		
a Have a direct business relationship with the organization (other than as an officer, director, trustee, or employee), or an indirect business relationship through ownership of more than 35% in another entity (individually or collectively with other person(s) listed in Part VII, Section A)? <i>If "Yes," complete Schedule L, Part IV</i>		X
b Have a family member who had a direct or indirect business relationship with the organization? <i>If "Yes," complete Schedule L, Part IV</i>	X	
c Serve as an officer, director, trustee, key employee, partner, or member of an entity (or a shareholder of a professional corporation) doing business with the organization? <i>If "Yes," complete Schedule L, Part IV</i>		X
29 Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i>	X	
30 Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i>		X
31 Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i>		X
32 Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i>		X
33 Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I</i>		X
34 Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1</i>	X	
35 Is any related organization a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i>	X	
36 Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i>		X
37 Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i>		X

Part V Statements Regarding Other IRS Filings and Tax Compliance

		Yes	No
1a	Enter the number reported in Box 3 of Form 1096, Annual Summary and Transmittal of U.S. Information Returns. Enter -0- if not applicable 1a 51		
b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable 1b 0		
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	X	
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return 2a 59		
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns?	X	
Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file this return. (see instructions)			
3a	Did the organization have unrelated business gross income of \$1,000 or more during the year covered by this return?		X
b	If "Yes," has it filed a Form 990-T for this year? If "No," provide an explanation in Schedule O		
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?		X
b	If "Yes," enter the name of the foreign country: ▶ _____ See the instructions for exceptions and filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts.		
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?		X
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?		X
c	If "Yes," to question 5a or 5b, did the organization file Form 8886-T, Disclosure by Tax-Exempt Entity Regarding Prohibited Tax Shelter Transaction?		
6a	Did the organization solicit any contributions that were not tax deductible?		X
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?		
7	Organizations that may receive deductible contributions under section 170(c).		
a	Did the organization provide goods or services in exchange for any quid pro quo contribution of more than \$75?		X
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?		
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?		X
d	If "Yes," indicate the number of Forms 8282 filed during the year 7d		
e	Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?		X
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		X
g	For all contributions of qualified intellectual property, did the organization file Form 8899 as required? N/A		
h	For contributions of cars, boats, airplanes, and other vehicles, did the organization file a Form 1098-C as required? N/A		
8	Section 501(c)(3) and other sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations. Did the supporting organization, or a fund maintained by a sponsoring organization, have excess business holdings at any time during the year? N/A		
9	Section 501(c)(3) and other sponsoring organizations maintaining donor advised funds.		
a	Did the organization make any taxable distributions under section 4966? N/A		
b	Did the organization make a distribution to a donor, donor advisor, or related person? N/A		
10	Section 501(c)(7) organizations. Enter: N/A		
a	Initiation fees and capital contributions included on Part VIII, line 12	10a	
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10b	
11	Section 501(c)(12) organizations. Enter: N/A		
a	Gross income from members or shareholders	11a	
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.)	11b	
12a	Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?	12a	
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year N/A	12b	

Part VII Governance, Management, and Disclosure (Sections A, B, and C request information about policies not required by the Internal Revenue Code.)

Section A. Governing Body and Management

For each "Yes" response to lines 2-7b below, and for a "No" response to lines 8 or 9b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

		Yes	No
1a	Enter the number of voting members of the governing body		
1b	Enter the number of voting members that are independent		
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?		X
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?		X
4	Did the organization make any significant changes to its organizational documents since the prior Form 990 was filed?		X
5	Did the organization become aware during the year of a material diversion of the organization's assets?		X
6	Does the organization have members or stockholders?		X
7a	Does the organization have members, stockholders, or other persons who may elect one or more members of the governing body?		X
7b	Are any decisions of the governing body subject to approval by members, stockholders, or other persons?		X
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:		
8a	The governing body?	X	
8b	Each committee with authority to act on behalf of the governing body?	X	
9a	Does the organization have local chapters, branches, or affiliates?		X
9b	If "Yes," does the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with those of the organization?		
10	Was a copy of the Form 990 provided to the organization's governing body before it was filed? All organizations must describe in Schedule O the process, if any, the organization uses to review the Form 990	X	
11	Is there any officer, director or trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O		X

Section B. Policies

		Yes	No
12a	Does the organization have a written conflict of interest policy? If "No," go to line 13	X	
12b	Are officers, directors or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	X	
12c	Does the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this is done	X	
13	Does the organization have a written whistleblower policy?		X
14	Does the organization have a written document retention and destruction policy?		X
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision:		
15a	The organization's CEO, Executive Director, or top management official?	X	
15b	Other officers or key employees of the organization?	X	
Describe the process in Schedule O. (see instructions)			
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?		X
16b	If "Yes," has the organization adopted a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and taken steps to safeguard the organization's exempt status with respect to such arrangements?		

Section C. Disclosure

- 17** List the states with which a copy of this Form 990 is required to be filed ► ME
- 18** Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection. Indicate how you make these available. Check all that apply.
 Own website Another's website Upon request
- 19** Describe in Schedule O whether (and if so, how), the organization makes its governing documents, conflict of interest policy, and financial statements available to the public.
- 20** State the name, physical address, and telephone number of the person who possesses the books and records of the organization: ►
DONALD W. PERKINS, JR. - 207-772-2321
350 COMMERCIAL STREET, PORTLAND, ME 04101

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed. Use Schedule J-2 if additional space is needed.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation, and **current** key employees. Enter -0- in columns (D), (E), and (F) if no compensation was paid.

- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.

- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.

- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

Check this box if the organization did not compensate any officer, director, trustee, or key employee.

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
KATHRYN L. BARBER DIRECTOR	1.00	X					0.	0.	0.	
GEORGE N. CAMPBELL, JR. DIRECTOR	1.00	X					0.	0.	0.	
DEBRA L. COYMAN DIRECTOR	1.00	X					0.	0.	0.	
MARK DOIRON DIRECTOR	1.00	X					0.	0.	0.	
MARION FREEMAN DIRECTOR	1.00	X					0.	0.	0.	
DAVID A. GOLDBERG DIRECTOR	1.00	X					0.	0.	0.	
MARJORIE E.V. DAWSON DIRECTOR	1.00	X					0.	0.	0.	
SUZANNE E. HAMLIN DIRECTOR	1.00	X					0.	0.	0.	
WILLIAM B. KOURAKOS, JR. DIRECTOR	1.00	X					0.	0.	0.	
KEVIN P. MCCARTHY DIRECTOR	1.00	X					0.	0.	0.	
DANIEL HILDRETH DIRECTOR	1.00	X					0.	0.	0.	
JOHN N. KELLY DIRECTOR	1.00	X					0.	0.	0.	
ROBERT C.S. MONKS DIRECTOR	1.00	X					0.	0.	0.	
DONALD W. PERKINS, JR. PRESIDENT	40.00	X		X			286,234.	0.	18,147.	
KATHRYN J. RAND DIRECTOR	1.00	X					0.	0.	0.	
THOMAS HANSON DIRECTOR	1.00	X					0.	0.	0.	
STEPHEN A. HUTNAK DIRECTOR	1.00	X					0.	0.	0.	

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

(A) Name and title	(B) Average hours per week	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
DEREK PIERCE DIRECTOR	1.00	X						0.	0.	0.
HOLLY SARGENT DIRECTOR	1.00	X						0.	0.	0.
ROBERT L. STEPHENSON DIRECTOR	1.00	X						0.	0.	0.
JOHN B. SULLIVAN DIRECTOR	1.00	X						0.	0.	0.
KARL TURNER DIRECTOR	1.00	X						0.	0.	0.
WILLIAM A. BURKE VICE CHAIRMAN	1.00			X				0.	0.	0.
WILLIAM L. CARON, JR. CHAIRMAN	1.00			X				0.	0.	0.
JOAN M. SMITH TREASURER	2.00			X				0.	0.	0.
SEAN MAHONEY SECRETARY	2.00			X				0.	0.	0.
JOHN ANNALA CHIEF SCIENTIFIC OFF	40.00					X		151,241.	0.	15,141.
1b Total								437,475.	0.	33,288.

2 Total number of individuals (including those in 1a) who received more than \$100,000 in reportable compensation from the organization 2

	Yes	No
3 Did the organization list any former officer, director or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i>		X
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i>	X	
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i>		X

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization.

(A) Name and business address	(B) Description of services	(C) Compensation
CUSTOM COACH AND LIMOSINE P.O. BOX 17514, PORTLAND, ME 04112	BUS TRANSPORTATION	213,445.

2 Total number of independent contractors (including those in 1) who received more than \$100,000 in compensation from the organization 1

Part VIII Statement of Revenue				(A)	(B)	(C)	(D)	
				Total revenue	Related or exempt function revenue	Unrelated business revenue	Revenue excluded from tax under sections 512, 513, or 514	
Contributions, gifts, grants and other similar amounts	1 a	Federated campaigns	1a					
	b	Membership dues	1b					
	c	Fundraising events	1c					
	d	Related organizations	1d					
	e	Government grants (contributions)	1e	1,440,018.				
	f	All other contributions, gifts, grants, and similar amounts not included above	1f	3,217,672.				
	g	Noncash contributions included in lines 1a-1f: \$		238,345.				
	h	Total. Add lines 1a-1f		4657690.				
	Program Service Revenue	2 a	CONTRACT INCOME	Business Code 900099	743,542.	743,542.		
b		PROPERTY MGMT FEE	900099	81,680.	81,680.			
c		CONSULTING INCOME	900099	22,500.	22,500.			
d								
e								
f		All other program service revenue						
g		Total. Add lines 2a-2f		847,722.				
Other Revenue	3	Investment income (including dividends, interest, and other similar amounts)		164,805.			164,805.	
	4	Income from investment of tax-exempt bond proceeds						
	5	Royalties						
	6 a	Gross Rents	(i) Real	(ii) Personal				
	b	Less: rental expenses						
	c	Rental income or (loss)						
	d	Net rental income or (loss)						
	7 a	Gross amount from sales of assets other than inventory	(i) Securities	(ii) Other				
	b	Less: cost or other basis and sales expenses						
	c	Gain or (loss)						
d	Net gain or (loss)			1,765.		1,765.		
8 a	Gross income from fundraising events (not including \$ _____ of contributions reported on line 1c). See Part IV, line 18							
b	Less: direct expenses							
c	Net income or (loss) from fundraising events							
9 a	Gross income from gaming activities. See Part IV, line 19							
b	Less: direct expenses							
c	Net income or (loss) from gaming activities							
10 a	Gross sales of inventory, less returns and allowances							
b	Less: cost of goods sold							
c	Net income or (loss) from sales of inventory							
Miscellaneous Revenue				Business Code				
11 a	MISCELLANEOUS INCOME	900099		4,798.	4,798.			
b								
c								
d	All other revenue							
e	Total. Add lines 11a-11d			4,798.				
12	Total Revenue. Add lines 1h, 2g, 3, 4, 5, 6d, 7d, 8c, 9c, 10c, and 11e			5676780.	852,520.	0.	166,570.	

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns.

All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D).

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to governments and organizations in the U.S. See Part IV, line 21				
2 Grants and other assistance to individuals in the U.S. See Part IV, line 22				
3 Grants and other assistance to governments, organizations, and individuals outside the U.S. See Part IV, lines 15 and 16				
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees	257,221.		257,221.	
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7 Other salaries and wages	1,835,946.	1,294,860.	307,673.	233,413.
8 Pension plan contributions (include section 401(k) and section 403(b) employer contributions)	61,536.	48,407.	7,738.	5,391.
9 Other employee benefits	488,993.	328,911.	101,527.	58,555.
10 Payroll taxes	171,341.	115,343.	35,730.	20,268.
11 Fees for services (non-employees):				
a Management				
b Legal	10,454.	7,927.	2,527.	
c Accounting	15,500.		15,500.	
d Lobbying	9,373.			9,373.
e Professional fundraising services. See Part IV, line 17	29,900.			29,900.
f Investment management fees				
g Other				
12 Advertising and promotion				
13 Office expenses				
14 Information technology				
15 Royalties				
16 Occupancy	459,738.	10,922.	448,816.	
17 Travel	240,161.	170,423.	49,121.	20,617.
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings	9,070.	4,485.	2,020.	2,565.
20 Interest	36,852.		36,852.	
21 Payments to affiliates				
22 Depreciation, depletion, and amortization	226,912.		226,912.	
23 Insurance				
24 Other expenses. Itemize expenses not covered above. (Expenses grouped together and labeled miscellaneous may not exceed 5% of total expenses shown on line 25 below.)				
a OUTSIDE SERVICES	563,514.	543,353.		20,161.
b OTHER SPECIAL COSTS	237,951.	237,951.		
c SUBCONTRACTED VESSELS	194,782.	194,782.		
d SUPPLIES & MATERIALS	87,042.	52,550.	33,862.	630.
e SUB-CONTRACTED SERVICES	83,685.	70,038.	11,217.	2,430.
f All other expenses	246,965.	1,144,493.	-1,203,731.	306,203.
25 Total functional expenses. Add lines 1 through 24f	5,266,936.	4,224,445.	332,985.	709,506.
26 Joint Costs. Check here <input type="checkbox"/> if following SOP 98-2. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation ...				

Part X Balance Sheet

		(A) Beginning of year		(B) End of year	
Assets	1	Cash - non-interest-bearing	109,657.	1	107,284.
	2	Savings and temporary cash investments	147,574.	2	695,063.
	3	Pledges and grants receivable, net	1,995,386.	3	1,306,432.
	4	Accounts receivable, net	364,256.	4	277,779.
	5	Receivables from current and former officers, directors, trustees, key employees, or other related parties. Complete Part II of Schedule L		5	
	6	Receivables from other disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B). Complete Part II of Schedule L		6	
	7	Notes and loans receivable, net		7	
	8	Inventories for sale or use		8	
	9	Prepaid expenses and deferred charges	7,177.	9	32,382.
	10a	Land, buildings, and equipment: cost basis ...	10a 1,902,319.		
	b	Less: accumulated depreciation. Complete Part VI of Schedule D	10b 614,401.		
	11	Investments - publicly traded securities	1,162,838.	10c	1,287,918.
	12	Investments - other securities. See Part IV, line 11	3,570,691.	11	3,164,136.
	13	Investments - program-related. See Part IV, line 11	872,351.	12	430,562.
	14	Intangible assets		13	
	15	Other assets. See Part IV, line 11	184,938.	14	
15	Other assets. See Part IV, line 11	184,938.	15	428,358.	
16	Total assets. Add lines 1 through 15 (must equal line 34)	8,414,868.	16	7,729,914.	
Liabilities	17	Accounts payable and accrued expenses	356,096.	17	382,372.
	18	Grants payable		18	
	19	Deferred revenue	545,743.	19	4,637.
	20	Tax-exempt bond liabilities		20	
	21	Escrow account liability. Complete Part IV of Schedule D		21	
	22	Payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L		22	
	23	Secured mortgages and notes payable to unrelated third parties	1,050,491.	23	1,226,977.
	24	Unsecured notes and loans payable		24	
	25	Other liabilities. Complete Part X of Schedule D	77,268.	25	0.
	26	Total liabilities. Add lines 17 through 25	2,029,598.	26	1,613,986.
Net Assets or Fund Balances	Organizations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.				
	27	Unrestricted net assets	4,690,565.	27	4,497,621.
	28	Temporarily restricted net assets	1,694,705.	28	1,618,307.
	29	Permanently restricted net assets		29	
	Organizations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 30 through 34.				
	30	Capital stock or trust principal, or current funds		30	
	31	Paid-in or capital surplus, or land, building, or equipment fund		31	
	32	Retained earnings, endowment, accumulated income, or other funds		32	
33	Total net assets or fund balances	6,385,270.	33	6,115,928.	
34	Total liabilities and net assets/fund balances	8,414,868.	34	7,729,914.	

Part XI Financial Statements and Reporting

- 1 Accounting method used to prepare the Form 990: Cash Accrual Other
- 2a Were the organization's financial statements compiled or reviewed by an independent accountant?
- b Were the organization's financial statements audited by an independent accountant?
- c If "Yes" to lines 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?
- 3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?
- b If "Yes," did the organization undergo the required audit or audits?

	Yes	No
2a		X
2b	X	
2c	X	
3a	X	
3b	X	

SCHEDULE A
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

To be completed by all section 501(c)(3) organizations and section 4947(a)(1) nonexempt charitable trusts.

▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No. 1545-0047

2008

Open to Public Inspection

Name of the organization GULF OF MAINE RESEARCH INSTITUTE	Employer identification number 01-0504905
---	---

Part I Reason for Public Charity Status (All organizations must complete this part.) (see instructions)

The organization is not a private foundation because it is: (Please check only **one** organization.)

- 1 A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i)**.
- 2 A school described in **section 170(b)(1)(A)(ii)**. (Attach Schedule E.)
- 3 A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii)**. (Attach Schedule H.)
- 4 A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii)**. Enter the hospital's name, city, and state: _____
- 5 An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv)**. (Complete Part II.)
- 6 A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v)**.
- 7 An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi)**. (Complete Part II.)
- 8 A community trust described in **section 170(b)(1)(A)(vii)**. (Complete Part II.)
- 9 An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2)**. (Complete the Part III.)
- 10 An organization organized and operated exclusively to test for public safety. See **section 509(a)(4)**. (see instructions)
- 11 An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See **section 509(a)(3)**. Check the box that describes the type of supporting organization and complete lines 11e through 11h.
 - a Type I b Type II c Type III - Functionally integrated d Type III - Other
- e By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2).
- f If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box
- g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

	Yes	No
(i) A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization?	11g(i)	
(ii) A family member of a person described in (i) above?	11g(ii)	
(iii) A 35% controlled entity of a person described in (i) or (ii) above?	11g(iii)	
- h Provide the following information about the organizations the organization supports.

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1-9 above or IRC section (see instructions))	(iv) Is the organization in col. (i) listed in your governing document?		(v) Did you notify the organization in col. (i) of your support?		(vi) Is the organization in col. (i) organized in the U.S.?		(vii) Amount of support
			Yes	No	Yes	No	Yes	No	
Total									

Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)

(Complete only if you checked the box on line 5, 7, or 8 of Part I.)

Section A. Public Support

Calendar year (or fiscal year beginning in)	(a) 2004	(b) 2005	(c) 2006	(d) 2007	(e) 2008	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")	4,031,274.	4,607,796.	4,608,343.	4,357,750.	4,657,690.	22,262,853.
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 Total. Add lines 1 - 3	4,031,274.	4,607,796.	4,608,343.	4,357,750.	4,657,690.	22,262,853.
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						182,366.
6 Public Support. Subtract line 5 from line 4.						22,080,487.

Section B. Total Support

Calendar year (or fiscal year beginning in)	(a) 2004	(b) 2005	(c) 2006	(d) 2007	(e) 2008	(f) Total
7 Amounts from line 4	4,031,274.	4,607,796.	4,608,343.	4,357,750.	4,657,690.	22,262,853.
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	122,585.	74,150.	122,436.	125,840.	164,804.	609,815.
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)			6,271.	4,915.	4,801.	15,987.
11 Total support. Add lines 7 through 10						22,888,655.
12 Gross receipts from related activities, etc. (see instructions)					12	5,045,205.

13 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here

Section C. Computation of Public Support Percentage

14 Public support percentage for 2008 (line 6, column (f) divided by line 11, column (f))	14	96.47 %
15 Public support percentage from 2007 Schedule A, Part IV-A, line 26f	15	97.30 %
16a 33 1/3% support test - 2008. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization <input checked="" type="checkbox"/>		
b 33 1/3% support test - 2007. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization <input type="checkbox"/>		
17a 10% -facts-and-circumstances test - 2008. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization <input type="checkbox"/>		
b 10% -facts-and-circumstances test - 2007. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization <input type="checkbox"/>		
18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions <input type="checkbox"/>		

Part III Support Schedule for Organizations Described in Section 509(a)(2) (Complete only if you checked the box on line 9 of Part I.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2004	(b) 2005	(c) 2006	(d) 2007	(e) 2008	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge ...						
6 Total. Add lines 1 - 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of 1% of the total of lines 9, 10c, 11, and 12 for the year or \$5,000						
c Add lines 7a and 7b						
8 Public support (Subtract line 7c from line 6.)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2004	(b) 2005	(c) 2006	(d) 2007	(e) 2008	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources ...						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
13 Total support (Add lines 9, 10c, 11, and 12.)						

14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here

Section C. Computation of Public Support Percentage

15 Public support percentage for 2008 (line 8, column (f) divided by line 13, column (f))	15	%
16 Public support percentage from 2007 Schedule A, Part IV-A, line 27g	16	%

Section D. Computation of Investment Income Percentage

17 Investment income percentage for 2008 (line 10c, column (f) divided by line 13, column (f))	17	%
18 Investment income percentage from 2007 Schedule A, Part IV-A, line 27h	18	%

19a 33 1/3% support tests - 2008. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization

b 33 1/3% support tests - 2007. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization

20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions

Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, and 990-PF.

OMB No. 1545-0047

2008

Name of the organization

Employer identification number

GULF OF MAINE RESEARCH INSTITUTE

01-0504905

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

501(c)(3) (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**. (Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.)

General Rule

For organizations filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

For a section 501(c)(3) organization filing Form 990, or Form 990-EZ, that met the 33 1/3% support test of the regulations under sections 509(a)(1)/170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on Form 990, Part VIII, line 1h or 2% of the amount on Form 990-EZ, line 1. Complete Parts I and II.

For a section 501(c)(7), (8), or (10) organization filing Form 990, or Form 990-EZ, that received from any one contributor, during the year, aggregate contributions or bequests of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

For a section 501(c)(7), (8), or (10) organization filing Form 990, or Form 990-EZ, that received from any one contributor, during the year, some contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. (If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year.) ▶ \$ _____

Caution. Organizations that are not covered by the General Rule and/or the Special Rules do not file Schedule B (Form 990, 990-EZ, or 990-PF), but they **must** answer "No" on Part IV, line 2 of their Form 990, or check the box in the heading of their Form 990-EZ, or on line 2 of their Form 990-PF, to certify that they do not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990. These instructions will be issued separately.

Schedule B (Form 990, 990-EZ, or 990-PF) (2008)

Name of organization

Employer identification number

GULF OF MAINE RESEARCH INSTITUTE

01-0504905

Part I Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1		\$ 150,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
2		\$ 215,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)

SCHEDULE C
(Form 990 or 990-EZ)

Political Campaign and Lobbying Activities
For Organizations Exempt From Income Tax Under section 501(c) and section 527

OMB No. 1545-0047

2008
Open to Public Inspection

Department of the Treasury
Internal Revenue Service

▶ To be completed by organizations described below.
▶ Attach to Form 990 or Form 990-EZ.

If the organization answered "Yes," to Form 990, Part IV, line 3, or Form 990-EZ, Part VI, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.
- Section 527 organizations: Complete Part I-A only.

If the organization answered "Yes," to Form 990, Part IV, line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.

If the organization answered "Yes," to Form 990, Part IV, line 5 (Proxy Tax), then

- Section 501(c)(4), (5), or (6) organizations: Complete Part III.

Name of organization <p align="center">GULF OF MAINE RESEARCH INSTITUTE</p>	Employer identification number <p align="center">01-0504905</p>
--	--

Part I-A To be completed by all organizations exempt under section 501(c) and section 527 organizations.
See the instructions for Schedule C for details.

- 1 Provide a description of the organization's direct and indirect political campaign activities in Part IV.
- 2 Political expenditures ▶ \$ _____
- 3 Volunteer hours

Part I-B To be completed by all organizations exempt under section 501(c)(3).
See the instructions for Schedule C for details.

- 1 Enter the amount of any excise tax incurred by the organization under section 4955 ▶ \$ _____
- 2 Enter the amount of any excise tax incurred by organization managers under section 4955 ▶ \$ _____
- 3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year? Yes No
- 4a Was a correction made? Yes No
- b If "Yes," describe in Part IV.

Part I-C To be completed by all organizations exempt under section 501(c), except section 501(c)(3).
See the instructions for Schedule C for details.

- 1 Enter the amount directly expended by the filing organization for section 527 exempt function activities ▶ \$ _____
- 2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities ▶ \$ _____
- 3 Total of direct and indirect exempt function expenditures. Add lines 1 and 2 and enter here and on Form 1120-POL, line 17b ▶ \$ _____
- 4 Did the filing organization file Form 1120-POL for this year? Yes No
- 5 State the names, addresses and employer identification number (EIN) of all section 527 political organizations to which payments were made. Enter the amount paid and indicate if the amount was paid from the filing organization's funds or were political contributions received and promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC). If additional space is needed, provide information in Part IV.

(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds. If none, enter -0-.	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization. If none, enter -0-.

Part II-A To be completed by organizations exempt under section 501(c)(3) that filed Form 5768 (election under section 501(h)). See the instructions for Schedule C for details.

- A** Check if the filing organization belongs to an affiliated group.
B Check if the filing organization checked box A and "limited control" provisions apply.

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)	(a) Filing organization's totals	(b) Affiliated group totals												
1 a Total lobbying expenditures to influence public opinion (grassroots lobbying)														
b Total lobbying expenditures to influence a legislative body (direct lobbying)														
c Total lobbying expenditures (add lines 1a and 1b)														
d Other exempt purpose expenditures														
e Total exempt purpose expenditures (add lines 1c and 1d)														
f Lobbying nontaxable amount. Enter the amount from the following table in both columns.														
<table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th style="width:35%;">If the amount on line 1e, column (a) or (b) is:</th> <th style="width:65%;">The lobbying nontaxable amount is:</th> </tr> </thead> <tbody> <tr> <td>Not over \$500,000</td> <td>20% of the amount on line 1e.</td> </tr> <tr> <td>Over \$500,000 but not over \$1,000,000</td> <td>\$100,000 plus 15% of the excess over \$500,000.</td> </tr> <tr> <td>Over \$1,000,000 but not over \$1,500,000</td> <td>\$175,000 plus 10% of the excess over \$1,000,000.</td> </tr> <tr> <td>Over \$1,500,000 but not over \$17,000,000</td> <td>\$225,000 plus 5% of the excess over \$1,500,000.</td> </tr> <tr> <td>Over \$17,000,000</td> <td>\$1,000,000.</td> </tr> </tbody> </table>	If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:	Not over \$500,000	20% of the amount on line 1e.	Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000.	Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000.	Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000.	Over \$17,000,000	\$1,000,000.		
If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:													
Not over \$500,000	20% of the amount on line 1e.													
Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000.													
Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000.													
Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000.													
Over \$17,000,000	\$1,000,000.													
g Grassroots nontaxable amount (enter 25% of line 1f)														
h Subtract line 1g from line 1a. Enter -0- if line g is more than line a														
i Subtract line 1f from line 1c. Enter -0- if line f is more than line c														
j If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year?	<input type="checkbox"/> Yes	<input type="checkbox"/> No												

4-Year Averaging Period Under Section 501(h)
 (Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 2a through 2f of the instructions.)

Lobbying Expenditures During 4-Year Averaging Period					
Calendar year (or fiscal year beginning in)	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) Total
2a Lobbying non-taxable amount					
b Lobbying ceiling amount (150% of line 2a, column(e))					
c Total lobbying expenditures					
d Grassroots non-taxable amount					
e Grassroots ceiling amount (150% of line 2d, column (e))					
f Grassroots lobbying expenditures					

Part II-B To be completed by organizations exempt under section 501(c)(3) that have NOT filed Form 5768 (election under section 501(h)). See the instructions for Schedule C for details.

	(a)		(b)
	Yes	No	Amount
1 During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of:			
a Volunteers?		X	
b Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?		X	
c Media advertisements?		X	
d Mailings to members, legislators, or the public?		X	
e Publications, or published or broadcast statements?		X	
f Grants to other organizations for lobbying purposes?	X		7,400.
g Direct contact with legislators, their staffs, government officials, or a legislative body?	X		1,973.
h Rallies, demonstrations, seminars, conventions, speeches, lectures, or any other means?		X	
i Other activities? If "Yes," describe in Part IV		X	
j Total lines 1c through 1i			9,373.
2a Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?		X	
b If "Yes," enter the amount of any tax incurred under section 4912			
c If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
d If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

Part III-A To be completed by all organizations exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6). See the instructions for Schedule C for details.

	Yes	No
1 Were substantially all (90% or more) dues received nondeductible by members?		
2 Did the organization make only in-house lobbying expenditures of \$2,000 or less?		
3 Did the organization agree to carryover lobbying and political expenditures from the prior year?		

Part III-B To be completed by all organizations exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) if BOTH Part III-A, questions 1 and 2 are answered "No" OR if Part III-A, question 3 is answered "Yes." See Schedule C instructions for details.

1 Dues, assessments and similar amounts from members	1	
2 Section 162(e) non-deductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).		
a Current year	2a	
b Carryover from last year	2b	
c Total	2c	
3 Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	3	
4 If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4	
5 Taxable amount of lobbying and political expenditures (line 2c total minus 3 and 4)	5	

Part IV Supplemental Information

Complete this part to provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; and Part II-B, line 1i. Also, complete this part for any additional information.

Schedule D
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

▶ Attach to Form 990. To be completed by organizations that answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11, or 12.

OMB No. 1545-0047

2008

Open to Public Inspection

Name of the organization

GULF OF MAINE RESEARCH INSTITUTE

Employer identification number

01-0504905

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1 Total number at end of year		
2 Aggregate contributions to (during year)		
3 Aggregate grants from (during year)		
4 Aggregate value at end of year		
5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds may be used only for charitable purposes and not for the benefit of the donor or donor advisor or other impermissible private benefit?	<input type="checkbox"/> Yes	<input type="checkbox"/> No

Part II Conservation Easements. Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

- Purpose(s) of conservation easements held by the organization (check all that apply).

<input type="checkbox"/> Preservation of land for public use (e.g., recreation or pleasure)	<input type="checkbox"/> Preservation of an historically important land area
<input type="checkbox"/> Protection of natural habitat	<input type="checkbox"/> Preservation of certified historic structure
<input type="checkbox"/> Preservation of open space	
- Complete lines 2a-2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.

	Held at the End of the Year
a Total number of conservation easements	2a
b Total acreage restricted by conservation easements	2b
c Number of conservation easements on a certified historic structure included in (a)	2c
d Number of conservation easements included in (c) acquired after 8/17/06	2d
- Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the taxable year ▶ _____
- Number of states where property subject to conservation easement is located ▶ _____
- Does the organization have a written policy regarding the periodic monitoring, inspection, violations, and enforcement of the conservation easements it holds?
- Staff or volunteer hours devoted to monitoring, inspecting, and enforcing easements during the year ▶ _____
- Amount of expenses incurred in monitoring, inspecting, and enforcing easements during the year ▶ \$ _____
- Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)?
- In Part XIV, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.

Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

- If the organization elected, as permitted under SFAS 116, not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIV, the text of the footnote to its financial statements that describes these items.
 - If the organization elected, as permitted under SFAS 116, to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:

(i) Revenues included in Form 990, Part VIII, line 1	▶ \$ _____
(ii) Assets included in Form 990, Part X	▶ \$ _____
- If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 relating to these items:

a Revenues included in Form 990, Part VIII, line 1	▶ \$ _____
b Assets included in Form 990, Part X	▶ \$ _____

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

3 Using the organization's accession and other records, check any of the following that are a significant use of its collection items (check all that apply):

- a Public exhibition
- b Scholarly research
- c Preservation for future generations
- d Loan or exchange programs
- e Other

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIV.

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? Yes No

Part IV Trust, Escrow and Custodial Arrangements. Complete if organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? Yes No

b If "Yes," explain the arrangement in Part XIV and complete the following table:

	Amount
1c Beginning balance	
1d Additions during the year	
1e Distributions during the year	
1f Ending balance	

2a Did the organization include an amount on Form 990, Part X, line 21? Yes No

b If "Yes," explain the arrangement in Part XIV.

Part V Endowment Funds. Complete if organization answered "Yes" to Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance	633,388.				
b Contributions	745,557.				
c Investment earnings or losses	-306,268.				
d Grants or scholarships					
e Other expenditures for facilities and programs	53,165.				
f Administrative expenses					
g End of year balance	1019512.				

2 Provide the estimated percentage of the year end balance held as:

- a Board designated or quasi-endowment ▶ 100.00 %
- b Permanent endowment ▶ .00 %
- c Term endowment ▶ .00 %

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

- (i) unrelated organizations
- (ii) related organizations

	Yes	No
3a(i)		X
3a(ii)		X
3b		

b If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?

4 Describe in Part XIV the intended uses of the organization's endowment funds.

Part VI Investments - Land, Buildings, and Equipment. See Form 990, Part X, line 10.

Description of investment	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Depreciation	(d) Book value
1a Land				
b Buildings				
c Leasehold improvements		266,282.	52,967.	213,315.
d Equipment		648,283.	209,797.	438,486.
e Other		987,754.	351,637.	636,117.
Total. Add lines 1a-1e. (Column (d) should equal Form 990, Part X, column (B), line 10(c).)				1,287,918.

Part XI Reconciliation of Change in Net Assets from Form 990 to Financial Statements

1	Total revenue (Form 990, Part VIII, column (A), line 12)	1	5,676,780.
2	Total expenses (Form 990, Part IX, column (A), line 25)	2	5,266,936.
3	Excess or (deficit) for the year. Subtract line 2 from line 1	3	409,844.
4	Net unrealized gains (losses) on investments	4	-779,422.
5	Donated services and use of facilities	5	
6	Investment expenses	6	
7	Prior period adjustments	7	
8	Other (Describe in Part XIV)	8	100,236.
9	Total adjustments (net). Add lines 4-8	9	-679,186.
10	Excess or (deficit) for the year per financial statements. Combine lines 3 and 9	10	-269,342.

Part XII Reconciliation of Revenue per Audited Financial Statements With Revenue per Return

1	Total revenue, gains, and other support per audited financial statements	1	5,084,793.
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:		
a	Net unrealized gains on investments	2a	-779,422.
b	Donated services and use of facilities	2b	187,435.
c	Recoveries of prior year grants	2c	
d	Other (Describe in Part XIV)	2d	
e	Add lines 2a through 2d	2e	-591,987.
3	Subtract line 2e from line 1	3	5,676,780.
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	
b	Other (Describe in Part XIV)	4b	
c	Add lines 4a and 4b	4c	0.
5	Total revenue. Add lines 3 and 4c. (This should equal Form 990, Part I, line 12.)	5	5,676,780.

Part XIII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return

1	Total expenses and losses per audited financial statements	1	5,454,371.
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:		
a	Donated services and use of facilities	2a	187,435.
b	Prior year adjustments	2b	
c	Losses reported on Form 990, Part IX, line 25	2c	
d	Other (Describe in Part XIV)	2d	
e	Add lines 2a through 2d	2e	187,435.
3	Subtract line 2e from line 1	3	5,266,936.
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	
b	Other (Describe in Part XIV)	4b	
c	Add lines 4a and 4b	4c	0.
5	Total expenses. Add lines 3 and 4c. (This should equal Form 990, Part I, line 18.)	5	5,266,936.

Part XIV Supplemental Information

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X; Part XI, line 8; Part XII, lines 2d and 4b; and Part XIII, lines 2d and 4b.

PART V, LINE 4: THE INTENDED USE OF THESE ENDOWMENT FUNDS IS FOR

LONG-TERM INVESTMENT PURPOSES. THE INCOME IS TO BE USED TO HELP MEET THE OPERATING COSTS OF GMRI AND, IF NECESSARY, THE BALANCE IS TO PROVIDE A LAST-RESORT SOURCE OF FUNDS IN THE CASE OF SERIOUS FINANCIAL NEED.

PART XI, LINE 8 - OTHER CHANGES IN NET ASSETS:

TRANSFER FROM GULF OF MAINE PROPERTIES, INC. (SUPPORTING ORGANIZATION) - RESERVED FOR CAPITAL PROJECTS.

Part I Fundraising Events. Complete if the organization answered "Yes" to Form 990, Part IV, line 18, or reported more than \$15,000 on Form 990-EZ, line 6a. List events with gross receipts greater than \$5,000.

		(a) Event #1	(b) Event #2	(c) Other Events	(d) Total Events (Add col. (a) through col. (c))
		(event type)	(event type)	(total number)	
Revenue	1	Gross receipts			
	2	Less: Charitable contributions			
	3	Gross revenue (line 1 minus line 2)			
Direct Expenses	4	Cash prizes			
	5	Non-cash prizes			
	6	Rent/facility costs			
	7	Other direct expenses			
	8	Direct expense summary. Add lines 4 through 7 in column (d)			()
	9	Net income summary. Combine lines 3 and 8 in column (d)			()

Part III Gaming. Complete if the organization answered "Yes" to Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

		(a) Bingo	(b) Pull tabs/Instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (Add col. (a) through col. (c))
Revenue	1	Gross revenue			
Direct Expenses	2	Cash prizes			
	3	Non-cash prizes			
	4	Rent/facility costs			
	5	Other direct expenses			
	6	Volunteer labor	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No
	7	Direct expense summary. Add lines 2 through 5 in column (d)			()
	8	Net gaming income summary. Combine lines 1 and 7 in column (d)			()

9 Enter the state(s) in which the organization operates gaming activities: _____

a Is the organization licensed to operate gaming activities in each of these states?

b If "No," Explain:

10a Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year?

b If "Yes," Explain:

11 Does the organization operate gaming activities with nonmembers?

12 Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming?

	Yes	No
9a		
10a		
11		
12		

13 Indicate the percentage of gaming activity operated in:

a The organization's facility	13a	%
b An outside facility	13b	%

14 Provide the name and address of the person who prepares the organization's gaming/special events books and records:

Name ▶ _____

Address ▶ _____

15a Does the organization have a contract with a third party from whom the organization receives gaming revenue?

15a	Yes	No
------------	-----	----

b If "Yes," enter the amount of gaming revenue received by the organization ▶ \$ _____ and the amount of gaming revenue retained by the third party ▶ \$ _____ .

c If "Yes," enter name and address:

Name ▶ _____

Address ▶ _____

16 Gaming manager information:

Name ▶ _____

Gaming manager compensation ▶ \$ _____

Description of services provided ▶ _____

- Director/officer Employee Independent contractor

17 Mandatory distributions:

a Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license?

17a	Yes	No
------------	-----	----

b Enter the amount of distributions required under state law distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ▶ \$ _____

**SCHEDULE J
(Form 990)**

Compensation Information

OMB No. 1545-0047

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

2008

▶ Attach to Form 990. To be completed by organizations that answered "Yes" to Form 990, Part IV, line 23.

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

Name of the organization

GULF OF MAINE RESEARCH INSTITUTE

Employer identification number

01-0504905

Part I Questions Regarding Compensation

- 1a** Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.
- | | |
|--|--|
| <input type="checkbox"/> First-class or charter travel | <input type="checkbox"/> Housing allowance or residence for personal use |
| <input type="checkbox"/> Travel for companions | <input type="checkbox"/> Payments for business use of personal residence |
| <input type="checkbox"/> Tax indemnification and gross-up payments | <input type="checkbox"/> Health or social club dues or initiation fees |
| <input type="checkbox"/> Discretionary spending account | <input type="checkbox"/> Personal services (e.g., maid, chauffeur, chef) |

b If line 1a is checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain

2 Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all officers, directors, trustees, and the CEO/Executive Director, regarding the items checked in line 1a?

- 3** Indicate which, if any, of the following the organization uses to establish the compensation of the organization's CEO/Executive Director. Check all that apply.
- | | |
|---|---|
| <input checked="" type="checkbox"/> Compensation committee | <input checked="" type="checkbox"/> Written employment contract |
| <input type="checkbox"/> Independent compensation consultant | <input checked="" type="checkbox"/> Compensation survey or study |
| <input checked="" type="checkbox"/> Form 990 of other organizations | <input checked="" type="checkbox"/> Approval by the board or compensation committee |

- 4** During the year, did any person listed in Form 990, Part VII, Section A, line 1a:
- a** Receive a severance payment or change of control payment?
- b** Participate in, or receive payment from, a supplemental nonqualified retirement plan?
- c** Participate in, or receive payment from, an equity-based compensation arrangement?
- If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.

Only 501(c)(3) and 501(c)(4) organizations must complete lines 5-8.

- 5** For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:
- a** The organization?
- b** Any related organization?
- If "Yes," to line 5a or 5b, describe in Part III.

- 6** For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:
- a** The organization?
- b** Any related organization?
- If "Yes" to line 6a or 6b, describe in Part III.

7 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III

8 Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regs. section 53.4958-4(a)(3)? If "Yes," describe in Part III

	Yes	No
1b		
2		
4a		X
4b		X
4c		X
5a		X
5b		X
6a		X
6b		X
7		X
8		X

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule J (Form 990) 2008

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use Schedule J-1 if additional space is needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

Note. The sum of columns (B)(i)-(iii) must equal the applicable column (D) or column (E) amounts on Form 990, Part VII, line 1a.

(A) Name		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported in prior Form 990 or Form 990-EZ
		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other compensation				
DONALD W. PERKINS, JR.	(i)	209,189.	75,000.	2,045.	0.	18,147.	304,381.	50,000.
	(ii)	0.	0.	0.	0.	0.	0.	0.
JOHN ANNALA	(i)	141,241.	10,000.	0.	0.	15,141.	166,382.	10,000.
	(ii)	0.	0.	0.	0.	0.	0.	0.
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							

**SCHEDULE M
(Form 990)**

NonCash Contributions

OMB No. 1545-0047

2008

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

▶ To be completed by organizations that answered
"Yes" on Form 990, Part IV, lines 29 or 30.

▶ Attach to Form 990.

Name of the organization **GULF OF MAINE RESEARCH INSTITUTE** Employer identification number **01-0504905**

Part I Types of Property

	(a) Check if applicable	(b) Number of contributions	(c) Revenues reported on Form 990, Part VIII, line 1g	(d) Method of determining revenues
1 Art - Works of art				
2 Art - Historical treasures				
3 Art - Fractional interests				
4 Books and publications				
5 Clothing and household goods				
6 Cars and other vehicles				
7 Boats and planes				
8 Intellectual property				
9 Securities - Publicly traded	X	13	238,345	MARKET VALUE
10 Securities - Closely held stock				
11 Securities - Partnership, LLC, or trust interests				
12 Securities - Miscellaneous				
13 Qualified conservation contribution (historic structures)				
14 Qualified conservation contribution (other) ...				
15 Real estate - Residential				
16 Real estate - Commercial				
17 Real estate - Other				
18 Collectibles				
19 Food inventory				
20 Drugs and medical supplies				
21 Taxidermy				
22 Historical artifacts				
23 Scientific specimens				
24 Archeological artifacts				
25 Other ▶ (_____)				
26 Other ▶ (_____)				
27 Other ▶ (_____)				
28 Other ▶ (_____)				

29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgment **29** **0**

	Yes	No
30a During the year, did the organization receive by contribution any property reported in Part I, lines 1-28 that it must hold for at least three years from the date of the initial contribution, and which is not required to be used for exempt purposes for the entire holding period?		X
b If "Yes," describe the arrangement in Part II.		
31 Does the organization have a gift acceptance policy that requires the review of any non-standard contributions?	X	
32a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions?	X	
b If "Yes," describe in Part II.		
33 If the organization did not report revenues in column (c) for a type of property for which column (a) is checked, describe in Part II.		

SCHEDULE O
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990

▶ Attach to Form 990. To be completed by organizations to provide additional information for responses to specific questions for the Form 990 or to provide any additional information.

OMB No. 1545-0047

2008

Open to Public Inspection

Name of the organization

GULF OF MAINE RESEARCH INSTITUTE

Employer identification number

01-0504905

FORM 990, PART I, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:

RESEARCH; AND UNDERTAKING THE FINANCING, SITING, DESIGN, AND CONSTRUCTION OF FACILITIES TO SUPPORT ITS EDUCATION AND RESEARCH INTERESTS.

FORM 990, PART VI, SECTION A, LINE 10: THE ORGANIZATION HAS THE FOLLOWING REVIEW AND APPROVAL PROCESS:

1. 990 FILINGS ARE REVIEWED BY GMRI FINANCIAL STAFF.
2. COPIES OF 990 FILINGS ARE THEN PROVIDED TO THE PRESIDENT AND FINANCE COMMITTEE. AMPLE TIME IS PROVIDED FOR REVIEW AND QUESTIONS TO THE FINANCIAL STAFF AND THE ORGANIZATION'S AUDITORS. SUBSEQUENTLY THE FILINGS, WITH ANY AMENDMENTS ARE APPROVED.
3. COPIES OF THE FINAL 990 FILING ARE PROVIDED TO THE ORGANIZATION'S DIRECTORS FOR REVIEW AND ACCEPTANCE.

FORM 990, PART VI, SECTION B, LINE 12C: STAFF AND BOARD ARE MINDFUL OF THE POTENTIAL FOR CONFLICT OF INTEREST. IF ONE ARISES, WE DISCUSS AND ADDRESS THE ISSUE. IN 2009 WE ARE IMPLEMENTING AN ANNUAL DISCLOSURE FORM.

FORM 990, PART VI, SECTION B, LINE 15: ONCE A YEAR THE BOARD MEMBERS, STAFF AND EXTERNAL STAKEHOLDERS ARE ASKED TO GIVE INPUT ON THE PERFORMANCE OF THE PRESIDENT. THE INFORMATION IS THEN COMPILED AND THE RESULTS SUMMARIZED. THE CHAIRMAN OF THE BOARD COLLECTS ALL INPUTS AND REVIEWS THE RESULTS WITH THE EXECUTIVE COMMITTEE. ANNUALLY THE PRESIDENT REVIEWS THE COMPENSATION OF THE SENIOR MEMBERS WITH THE EXECUTIVE COMMITTEE, AND PERIODICALLY EXTERNAL SURVEYS AND COMPARISONS ARE DONE.

SCHEDULE O
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990

▶ Attach to Form 990. To be completed by organizations to provide additional information for responses to specific questions for the Form 990 or to provide any additional information.

OMB No. 1545-0047

2008

Open to Public
Inspection

Name of the organization

GULF OF MAINE RESEARCH INSTITUTE

Employer identification number

01-0504905

FORM 990, PART VI, SECTION C, LINE 19: THE ORGANIZATION'S GOVERNING DOCUMENTS AND POLICIES, ARE AVAILABLE UPON REQUEST AT THE ORGANIZATION'S OFFICE. FINANCIAL STATEMENTS ARE AVAILABLE ON THE WEBSITE.

FORM 990, PART XI, LINE 2C

THERE HAS BEEN NO CHANGES FROM THE PRIOR YEAR WITH THE INDEPENDENT AUDITORS. THE FINANCE COMMITTEE AND BOARD OF DIRECTORS ASSUME RESPONSIBILITY FOR OVERSIGHT OF THE AUDIT OF ITS FINANCIAL STATEMENTS.

SCH L, PART IV, BUSINESS TRANSACTIONS INVOLVING INTERESTED PERSONS:

(A) NAME OF PERSON: ROSALIND GOLDSBROUGH

(B) RELATIONSHIP BETWEEN INTERESTED PERSON AND ORGANIZATION:

WIFE OF JOHN ANNALA WHO IS A KEY EMPLOYEE OF THE ORGANIZATION.

(D) DESCRIPTION OF TRANSACTION: WAGES FOR 2008

FORM 990, SCHEDULE R, PART II, COLUMN B

TO ACQUIRE, HOLD, MANAGE, MAINTAIN, DEVELOP, OR DISPOSE OF REAL PROPERTY FOR THE BENEFIT OF AND IN CONNECTION WITH GMRI.

**SCHEDULE R
(Form 990)**

Department of the Treasury
Internal Revenue Service

Related Organizations and Unrelated Partnerships

▶ **Attach to Form 990. To be completed by organizations that answered "Yes" to Form 990, Part IV, lines 33, 34, 35, 36, or 37.**

▶ **See separate instructions.**

OMB No. 1545-0047

2008

Open to Public
Inspection

Name of the organization

GULF OF MAINE RESEARCH INSTITUTE

Employer identification number

01-0504905

Part I Identification of Disregarded Entities

(A) Name, address, and EIN of disregarded entity	(B) Primary activity	(C) Legal domicile (state or foreign country)	(D) Total income	(E) End-of-year assets	(F) Direct controlling entity

Part II Identification of Related Tax-Exempt Organizations

(A) Name, address, and EIN of related organization	(B) Primary activity	(C) Legal domicile (state or foreign country)	(D) Exempt Code section	(E) Public charity status (if section 501(c)(3))	(F) Direct controlling entity
GULF OF MAINE PROPERTIES, INC. - 20-1480528 350 COMMERCIAL STREET PORTLAND, ME 04101	SEE ATTACHED SCHEDULE O.	MAINE	501(C)(3)	11 TYPE 1	

Part V Transactions With Related Organizations

Note. Complete line 1 if any entity is listed in Parts II, III, or IV.

1 During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?

	Yes	No
a Receipt of (i) interest (ii) annuities (iii) royalties (iv) rent from a controlled entity		X
b Gift, grant, or capital contribution to other organization(s)		X
c Gift, grant, or capital contribution from other organization(s)		X
d Loans or loan guarantees to or for other organization(s)		X
e Loans or loan guarantees by other organization(s)		X
f Sale of assets to other organization(s)		X
g Purchase of assets from other organization(s)		X
h Exchange of assets		X
i Lease of facilities, equipment, or other assets to other organization(s)		X
j Lease of facilities, equipment, or other assets from other organization(s)	X	
k Performance of services or membership or fundraising solicitations for other organization(s)	X	
l Performance of services or membership or fundraising solicitations by other organization(s)		X
m Sharing of facilities, equipment, mailing lists, or other assets		X
n Sharing of paid employees		X
o Reimbursement paid to other organization for expenses		X
p Reimbursement paid by other organization for expenses	X	
q Other transfer of cash or property to other organization(s)		X
r Other transfer of cash or property from other organization(s)	X	

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds.

(A) Name of other organization(s)	(B) Transaction type (a-r)	(C) Amount involved
(1) GULF OF MAINE PROPERTIES, INC.	J	455,448.
(2) GULF OF MAINE PROPERTIES, INC.	K	81,470.
(3) GULF OF MAINE PROPERTIES, INC.	P	1,738.
(4) GULF OF MAINE PROPERTIES, INC.	R	100,236.
(5)		
(6)		

Asset No.	Description	Date Acquired	Method	Life	Line No.	Unadjusted Cost Or Basis	Bus % Excl	* Reduction In Basis	Basis For Depreciation	Accumulated Depreciation	Current Sec 179	Current Year Deduction
	MANAGEMENT AND GENERAL											
1	LEASEHOLD IMPROVEMENTS	01/15/05	SL	15.00	16	266,282.			266,282.	36,741.		16,225.
2	FURNITURE AND FIXTURES	VARIABLE	SSL	7.00	16	161,734.			161,734.	44,768.		21,445.
3	COMPUTER HARDWARE & SOFTWARE	VARIABLE	SSL	3.00	16	82,134.			82,134.	46,829.		24,497.
4	EQUIPMENT & VEHICLES	VARIABLE	SSL	7.00	16	566,149.			566,149.	56,330.		82,143.
5	EXHIBITS	VARIABLE	SSL	10.00	16	826,020.			826,020.	202,821.		82,602.
	* 990 PAGE 10 TOTAL MANAGEMENT AND GENERAL					1,902,319.		0.	1,902,319.	387,489.	0.	226,912.
	* GRAND TOTAL 990 PAGE 10 DEPR					1,902,319.		0.	1,902,319.	387,489.	0.	226,912.

GULF OF MAINE RESEARCH INSTITUTE
EIN#01-0504905

2008 FORM 990 – PAGE 2, PART III – STATEMENT OF PROGRAM SERVICE
ACCOMPLISHMENTS

The Gulf of Maine Research Institute is a neutral and strategically-focused marine science center. Our goal is to support the Gulf of Maine's emergence as one of the world's most vibrant marine communities. We are working to balance the stewardship and use of the region's ocean resources, promote stakeholder involvement in sound decision-making, increase the science aspirations of successive generations of young people, and catalyze innovative and sustainable opportunities for a 21st century marine economy.

Our scientists partner with fishermen to build knowledge of commercial fish species, critical habitats, fishing gear technology, and human behaviors to enable more effective fishery management in the Gulf of Maine. Our education programs engage students with the scientific method and encourage them to learn about Maine's fresh and saltwater ecosystems. Our community programs bring together scientists, fishermen, environmentalists, and policymakers to identify and address emerging challenges in the Gulf of Maine and its watershed.

We have built a world-class marine research laboratory, which includes a digital interactive education environment. The Gulf of Maine Ocean Observing System, the University of Southern Maine, and the University of Maine have joined us in this facility, creating a node of collaborative marine research activity in the heart of Portland, Maine's working waterfront. Over the next decade, we will build a marine research and education campus, which will serve as a magnet to attract a mix of for-profit, public, and non-profit marine research and development organizations to the region.

Application for Extension of Time To File an Exempt Organization Return

▶ **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box
 - If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).
- Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Electronic Filing (e-file). Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T). However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T. Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868. For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Type or print	Name of Exempt Organization GULF OF MAINE RESEARCH INSTITUTE	Employer identification number 01-0504905
File by the due date for filing your return. See instructions.	Number, street, and room or suite no. If a P.O. box, see instructions. 350 COMMERCIAL STREET	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. PORTLAND, ME 04101	

Check type of return to be filed (file a separate application for each return):

- | | | |
|--|---|------------------------------------|
| <input checked="" type="checkbox"/> Form 990 | <input type="checkbox"/> Form 990-T (corporation) | <input type="checkbox"/> Form 4720 |
| <input type="checkbox"/> Form 990-BL | <input type="checkbox"/> Form 990-T (sec. 401(a) or 408(a) trust) | <input type="checkbox"/> Form 5227 |
| <input type="checkbox"/> Form 990-EZ | <input type="checkbox"/> Form 990-T (trust other than above) | <input type="checkbox"/> Form 6069 |
| <input type="checkbox"/> Form 990-PF | <input type="checkbox"/> Form 1041-A | <input type="checkbox"/> Form 8870 |

DONALD W. PERKINS, JR.

- The books are in the care of ▶ **350 COMMERCIAL STREET - PORTLAND, ME 04101**
Telephone No. ▶ **207-772-2321** FAX No. ▶ _____
- If the organization does not have an office or place of business in the United States, check this box
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____. If this is for the whole group, check this box ▶ . If it is for part of the group, check this box ▶ and attach a list with the names and EINs of all members the extension will cover.

1 I request an automatic 3-month (6-months for a corporation required to file Form 990-T) extension of time until **AUGUST 15, 2009**, to file the exempt organization return for the organization named above. The extension

is for the organization's return for:

- ▶ calendar year **2008** or
- ▶ tax year beginning _____, and ending _____.

2 If this tax year is for less than 12 months, check reason: Initial return Final return Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	
b If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	
c Balance Due. Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	N/A

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

**RESEARCH AND SPONSORED PROGRAMS
REPORT OF EXTRAMURAL ACTIVITY
AWARDS RECEIVED FOR JULY 2011 through JUNE 2012**

Name	Title	Sponsor	Sponsor Total	UM Total	Total Amount	Sponsor Indirect
VICE PRESIDENT FOR ACADEMIC AFFAIRS						
Hunter, S.	College Success Grant 2012 + \$	MELMAC Education Foundation	\$6,250	\$0	\$6,250	\$0
Hunter, S.	A Rising Tide: Advancing Women and Leadership at the University of Maine Yr 2	National Science Foundation	\$101,585	\$0	\$101,585	\$33,173
Hunter, S.	College Success Grant 2012 + \$	MELMAC Education Foundation	\$6,250	\$0	\$6,250	\$0
SUBTOTALS: # OF AWARDS: 2.15			\$114,085	\$0	\$114,085	\$33,173
<u>College of Business, Public Policy and Health</u>						
Maine Business School						
Daniel, H.	Voluntourism Feasibility: Phase II	US Dept of Agriculture	\$0	\$5,089	\$5,089	\$0
SUBTOTALS: # OF AWARDS: 1.00			\$0	\$5,089	\$5,089	\$0
<u>College of Education and Human Development</u>						
Colannino, R.	Classic Upward Bound 2010- 2011 + \$	ME Dept of Education	\$6,228	\$1,669	\$7,897	\$0
Colannino, R.	Upward Bound Math-Science Program 2011-2012 + \$	US Dept of Education	\$6,228	\$1,669	\$7,897	\$0
Colannino, R.	Upward Bound Math-Science Program 2011-2012	US Dept of Education	\$287,826	\$90,079	\$377,905	\$17,323

Name	Title	Sponsor	Sponsor Total	UM Total	Total Amount	Sponsor Indirect
Doore, B.	CRE-State Personnel Development Grant (CRE-SPDG)	US Dept of Education	\$110,550	\$57,526	\$168,076	\$9,128
Doore, B.	REU Supplement-NUE: Nano Science And Laboratory Experience (ScALE) at Umaine +\$	National Science Foundation	\$625	\$89	\$714	\$125
Doore, B.	RET Supplement to: NUE: Nano Science Laboratory Experiene Umaine +\$	National Science Foundation	\$1,000	\$0	\$1,000	\$0
Doore, B.	NUE: Nano Science And Laboratory Experience (ScALE) at Umaine	National Science Foundation	\$20,000	\$0	\$20,000	\$5,650
Doore, B.	ARRA: Maine State Longitudinal Data System Educational Research	US Dept of Education	\$92,863	\$12,277	\$105,140	\$12,541
Doore, B.	An Efficacy Study of Online Mathematics Homework Support	US Dept of Education	\$45,820	\$0	\$45,820	\$12,472
Fairman, J.	An Efficacy Study of Online Mathematics Homework Support	US Dept of Education	\$45,820	\$0	\$45,820	\$12,472
Gardner, S.	A Rising Tide: Advancing Women and Leadership at the University of Maine Yr 2	National Science Foundation	\$148,991	\$0	\$148,991	\$48,654
Mason, C.	Facilitating Autism Screening & Treatment (FAST) Data System 2012	US Dept of Health & Human Services	\$2,200	\$310	\$2,510	\$310
Mason, C.	CRE-State Personnel Development Grant (CRE-SPDG)	US Dept of Education	\$224,450	\$116,796	\$341,246	\$18,532
Mason, C.	An Efficacy Study of Online Mathematics Homework Support	US Dept of Education	\$47,209	\$0	\$47,209	\$12,850

Name	Title	Sponsor	Sponsor Total	UM Total	Total Amount	Sponsor Indirect
Mason, C.	Biobehavioral Informatics in Newborn Screening - CDC 2011-2012	US Dept of Health & Human Services	\$20,021	\$2,715	\$22,736	\$2,715
Mason, C.	ARRA: Maine State Longitudinal Data System Educational Research	US Dept of Education	\$92,863	\$12,277	\$105,140	\$12,541
Mason, C.	CDC i-EHDI (IPA Assignment for Quansheng Song) Yr 2 +\$	US Dept of Health & Human Services	\$23,209	\$6,220	\$29,429	\$0
Mason, C.	Maine Educational Policy Research Institute	Maine State Legislature	\$22,666	\$11,334	\$34,000	\$3,194
Mason, C.	HRSA Biobehavioral Informatics for Newborn Screening	US Dept of Health & Human Services	\$14,200	\$3,010	\$17,210	\$1,930
Mason, C.	Child Care Research Partnership 2011-2012	US Dept of Health & Human Services	\$24,747	\$3,948	\$28,695	\$3,948
Megquier, D.	Maine Educational Opportunity Center 2010-2011 Yr 5	US Dept of Education	\$680,609	\$118,477	\$799,086	\$50,415
Megquier, D.	UMPI Talent Search Database Support Project 2011-16	US Dept of Education	\$23,000	\$7,544	\$30,544	\$0
Megquier, D.	Montana Talent Search Database Project 2011-16	US Dept of Education	\$35,000	\$11,480	\$46,480	\$0
Megquier, D.	Montana Talent Search Database Project 2006-2011 Incr. #5	US Dept of Education	\$7,000	\$1,876	\$8,876	\$0
Megquier, D.	Maine Educational Talent Search Project - Target Area 1 2011-2016	US Dept of Education	\$510,255	\$117,171	\$627,426	\$37,796
Tu, S.	Facilitating Autism Screening & Treatment (FAST) Data System 2012	US Dept of Health & Human Services	\$8,800	\$1,240	\$10,040	\$1,239
Tu, S.	Biobehavioral Informatics in Newborn Screening - CDC 2011-2012	US Dept of Health & Human Services	\$80,084	\$10,859	\$90,943	\$10,859

Name	Title	Sponsor	Sponsor Total	UM Total	Total Amount	Sponsor Indirect
Tu, S.	ARRA: Maine State Longitudinal Data System Educational Research	US Dept of Education	\$46,432	\$6,138	\$52,570	\$6,271
Tu, S.	HRSA Biobehavioral Informatics for Newborn Screening	US Dept of Health & Human Services	\$56,800	\$12,041	\$68,841	\$7,720
Wingo, L.	Classic Upward Bound 2010-2011 +\$	ME Dept of Education	\$6,228	\$1,669	\$7,897	\$0
Zeph, L.	Maine Collaboration for New Hampshire Leadership Education	US Dept of Health & Human Services	\$119,000	\$10,104	\$129,104	\$3,259
Zeph, L.	UCEDD Core Grant 2011-2012	US Dept of Health & Human Services	\$535,000	\$356,057	\$891,057	\$0
Zeph, L.	Family-Centered Transition Planning for Young Adults with Autism Disorders	US Dept of Education	\$234,000	\$0	\$234,000	\$48,285
Zeph, L.	Temple Replication Grant: Ensuring Higher Education Opportunities for ALL	US Dept of Education	\$5,000	\$1,148	\$6,148	\$371
Zeph, L.	Early Childhood Opportunities Scholars (EChOS) Yr 2	US Dept of Education	\$269,996	\$39,199	\$309,195	\$6,999
SUBTOTALS: # OF AWARDS: 21.12			\$3,854,719	\$1,014,922	\$4,869,641	\$347,598
<u>College of Engineering</u>						
Belding, J.	AMC - MAC MTI Cluster Initiative: Cluster Development Through Building	Maine Technology Institute	\$243,576	\$236,942	\$480,518	\$24,056
Pendse, S.	Maine Summer Transportation Institute 2012	US Dept of Transportation	\$34,245	\$5,301	\$39,546	\$4,467
SUBTOTALS: # OF AWARDS: 2.00			\$277,821	\$242,243	\$520,064	\$28,523

Name	Title	Sponsor	Sponsor Total	UM Total	Total Amount	Sponsor Indirect
Chemical and Biological Engineering						
Bennett, P.	KVCC 2011 Summer Worksshop	National Science Foundation	\$11,000	\$0	\$11,000	\$2,452
Bilodeau, M.	Nanocellulose Research	US Dept of Agriculture	\$599,767	\$153,499	\$753,266	\$0
Bilodeau, M.	Forest and Ag Bioproducts Research, Development and Commercialization Facil +\$	Maine Technology Institute	\$37,500	\$52,500	\$90,000	\$0
Bousfield, D.	Nanocellulose Research	US Dept of Agriculture	\$149,942	\$38,375	\$188,317	\$0
DeSisto, W.	Thermochemical Conversion of Woody Biomass to Fuels and Chemicals	US Dept of Energy	\$155,000	\$35,504	\$190,504	\$43,894
Donahue, D.	REU Site: Explore It! Building the Next Generation of Sustainable Forest	National Science Foundation	\$150,000	\$13,106	\$163,106	\$12,831
Genco, J.	Biomass Engineered Fuels	Maine Technology Institute	\$78,128	\$15,626	\$93,753	\$0
Mason, M.	NUE: Nano Science And Laboratory Experience (ScALE) at Umaine	National Science Foundation	\$60,000	\$0	\$60,000	\$16,951
Mason, M.	MCF-Accurate tumor staging via viscoelastic and spectroscopic analysis	Maine Cancer Foundation	\$75,422	\$18,232	\$93,654	\$9,838
Mason, M.	Pathogen detection using noble metal nanoconjugates	US Dept of Defense	\$38,745	\$0	\$38,745	\$10,861
Mason, M.	REU Supplement-NUE: Nano Science And Laboratory Experience (ScALE) at Umaine +\$	National Science Foundation	\$1,875	\$267	\$2,142	\$375
Mason, M.	RET Supplement to: NUE: Nano Science Laboratory Experiene Umaine +\$	National Science Foundation	\$3,000	\$0	\$3,000	\$0
Millard, P.	Explosive Tracking: A Microsystem for Detection of Bacterial Endospores +\$	National Science Foundation	\$2,400	\$0	\$2,400	\$0

Name	Title	Sponsor	Sponsor Total	UM Total	Total Amount	Sponsor Indirect
Neivandt, D.	Nanocellulose Research	US Dept of Agriculture	\$149,942	\$38,375	\$188,317	\$0
Neivandt, D.	REU Site: Explore It! Building the Next Generation of Sustainable Forest	National Science Foundation	\$200,000	\$17,474	\$217,474	\$17,108
Pendse, H.	Forest and Ag Bioproducts Research, Development and Commercialization Facil +\$	Maine Technology Institute	\$18,750	\$26,250	\$45,000	\$0
Pendse, H.	KVCC 2011 Summer Worksshop	National Science Foundation	\$11,000	\$0	\$11,000	\$2,452
Pendse, H.	Woody Biomass Conversion to JP 8 Fuels	US Dept of Defense	\$547,500	\$0	\$547,500	\$165,552
Pendse, H.	Thermochemical Conversion of Woody Biomass to Fuels and Chemicals	US Dept of Energy	\$62,000	\$14,202	\$76,202	\$17,558
van Walsum, P.	Woody Biomass Conversion to JP 8 Fuels	US Dept of Defense	\$273,750	\$0	\$273,750	\$82,776
Wheeler, C.	Thermochemical Conversion of Woody Biomass to Fuels and Chemicals	US Dept of Energy	\$186,000	\$42,605	\$228,605	\$52,673
Wheeler, C.	Woody Biomass Conversion to JP 8 Fuels	US Dept of Defense	\$273,750	\$0	\$273,750	\$82,776
SUBTOTALS: # OF AWARDS: 8.50			\$3,085,470	\$466,014	\$3,551,484	\$518,094
Civil and Environmental Engineering						
Amirbahman, A.	Photocatalytic Degradation of Pharmaceuticals and Personal Care Products (PPCPs)	US Dept of the Interior	\$3,330	\$35,583	\$38,913	\$0
Amirbahman, A.	Assessment of the use of buffered zero-valent iron amendments for reduction of m	US Dept of the Interior	\$28,129	\$3,416	\$31,545	\$5,287

Name	Title	Sponsor	Sponsor Total	UM Total	Total Amount	Sponsor Indirect
Amirbahman, A.	Identification of the Determinants of Fish Tissue Mercury Concentrations	US Dept of the Interior	\$5,265	\$2,606	\$7,871	\$0
Amirbahman, A.	Do Coastal Maine Lakes Have Fish Higher in Mercury?	US Dept of the Interior	\$8,273	\$17,918	\$26,191	\$0
Dagher, H.	Laboratory Equipment for Offshore Renewable Energy Research	US Dept of Commerce	\$1,500,000	\$1,500,000	\$3,000,000	\$0
Dagher, H.	Advanced Materials and Structures for Force Protection Yr 5	US Dept of Defense	\$36,641	\$0	\$36,641	\$11,880
Dagher, H.	Advanced Materials and Structures for Force Protection Mod 7	US Dept of Defense	\$90,000	\$0	\$90,000	\$29,903
Dagher, H.	Advanced Materials and Structures for Force Protection Yr 6	US Dept of Defense	\$8,400	\$0	\$8,400	\$2,673
Dagher, H.	Research and Development to Advance Buried Composite Bridge Technologies	US Dept of Transportation	\$441,460	\$74,363	\$515,822	\$74,363
Dagher, H.	Advanced Materials and Structures for Force Protection Yr 4	US Dept of Defense	\$14,351	\$0	\$14,351	\$4,752
Dagher, H.	Innovative Offshore Vertical-Axis Wind Turbine Rotors	US Dept of Energy	\$7,070	\$0	\$7,070	\$2,119
Dagher, H.	Advanced Materials and Structures for Force Protection Mod 8	US Dept of Defense	\$77,100	\$0	\$77,100	\$24,600
Davids, W.	Advanced Materials and Structures for Force Protection Yr 6	US Dept of Defense	\$2,800	\$0	\$2,800	\$891
Davids, W.	Advanced Materials and Structures for Force Protection Mod 7	US Dept of Defense	\$30,000	\$0	\$30,000	\$9,968

Name	Title	Sponsor	Sponsor Total	UM Total	Total Amount	Sponsor Indirect
Davids, W.	Advanced Materials and Structures for Force Protection Yr 5	US Dept of Defense	\$12,214	\$0	\$12,214	\$3,960
Davids, W.	Advanced Materials and Structures for Force Protection Yr 4	US Dept of Defense	\$4,784	\$0	\$4,784	\$1,584
Davids, W.	Advanced Materials and Structures for Force Protection Mod 8	US Dept of Defense	\$25,700	\$0	\$25,700	\$8,200
Davids, W.	Research and Development to Advance Buried Composite Bridge Technologies	US Dept of Transportation	\$367,883	\$61,969	\$429,852	\$61,969
Davids, W.	Crack Monitoring on the Interstate 95 Bridge over the Kenduskeag Stream	ME Dept of Transportation	\$26,454	\$3,679	\$30,132	\$3,679
Humphrey, D.	Scholarships 2012	National Aeronautics & Space Administration	\$5,000	\$5,000	\$10,000	\$0
Jain, S.	Sea Grant Climate Adaptation 2011: City of Ellsworth, ME	US Dept of Commerce	\$40,000	\$17,446	\$57,446	\$11,989
Jain, S.	Prototype Development of SimStream	US Dept of the Interior	\$900	\$446	\$1,346	\$0
Lopez-Anido, R.	Research and Development to Advance Buried Composite Bridge Technologies	US Dept of Transportation	\$220,730	\$37,181	\$257,911	\$37,181
Lopez-Anido, R.	Laboratory Equipment for Offshore Renewable Energy Research	US Dept of Commerce	\$300,000	\$300,000	\$600,000	\$0
Lopez-Anido, R.	Advanced Design and Optimization of High Performance Combatant Craft Full S +\$	US Dept of Defense	\$4,010	\$0	\$4,010	\$1,328
MacRae, J.	Biogeochemical Controls on Altered Nitrogen Cycling Yr 2	National Science Foundation	\$44,840	\$0	\$44,840	\$14,281

Name	Title	Sponsor	Sponsor Total	UM Total	Total Amount	Sponsor Indirect
MacRae, J.	Factors and Microbial Community Members	US Dept of the Interior	\$326	\$43	\$369	\$0
MacRae, J.	Biogeochemical Controls on Altered Nitrogen Cycling +\$	National Science Foundation	\$1,500	\$0	\$1,500	\$0
MacRae, J.	Proposal for Microbial Community Fingerprinting	ME Dept of Environmental Protection	\$5,251	\$926	\$6,176	\$926
Maynard, M.	Enhanced Geotechnical Site Investigation of Presumpcot Clay by Cone Penetration	US Dept of Transportation	\$76,644	\$11,720	\$88,364	\$11,720
Maynard, M.	Research and Development to Advance Buried Composite Bridge Technologies	US Dept of Transportation	\$147,153	\$24,788	\$171,941	\$24,788
Mouser, P.	Proposal for Microbial Community Fingerprinting	ME Dept of Environmental Protection	\$5,251	\$926	\$6,176	\$926
Mouser, P.	Factors and Microbial Community Members	US Dept of the Interior	\$2,610	\$342	\$2,951	\$0
Sandford, T.	Development and Evaluation of Pile Load Test Database to Improve Driven	US Dept of Transportation	\$40,122	\$5,502	\$45,624	\$5,502
SUBTOTALS: # OF AWARDS: 13.20			\$3,584,188	\$2,103,851	\$5,688,039	\$354,465
Electrical and Computer Engineering						
Abedi, A.	Research and Education Infrastructure in Wireless Engineering	National Aeronautics & Space Administration	\$20,000	\$29,220	\$49,220	\$0
Eason, R.	Student Course and Projects in High Altitude Ballooning at the University of Mai	National Aeronautics & Space Administration	\$10,000	\$11,373	\$21,373	\$909
Eason, R.	High School High Altitude Balloon Launches Spring 2012	National Aeronautics & Space Administration	\$17,000	\$2,591	\$19,591	\$1,136
Emanetoglu, N.	REU Site: Sensor Science and Engineering	National Science Foundation	\$67,306	\$5,358	\$72,664	\$10,063

Name	Title	Sponsor	Sponsor Total	UM Total	Total Amount	Sponsor Indirect
Musavi, M.	Scholarships 2012	National Aeronautics & Space Administration	\$5,000	\$5,000	\$10,000	\$0
Musavi, M.	Real-Time Dynamic Ratings Work Bench Yr 2	US Dept of Energy	\$217,201	\$0	\$217,201	\$65,688
Pereira da Cunha, M.	Explosive Tracking: A Microsystem for Detection of Bacterial Endospores +\$	National Science Foundation	\$1,800	\$0	\$1,800	\$0
Pereira da Cunha, M.	Wireless Microwave Acoustic Sensor System for Condition Monitoring	US Dept of Energy	\$181,867	\$78,204	\$260,071	\$38,884
Segee, B.	Maine EPSCoR: End-to-End Connectivity for Sustainability Science Initiative	National Science Foundation	\$300,000	\$0	\$300,000	\$602
Segee, B.	Percutaneous Mitral Valve Repair Yr 3	US Dept of Health & Human Services	\$29,662	\$0	\$29,662	\$3,664
Smith, R.	REU Supplement-NUE: Nano Science And Laboratory Experience (ScALE) at Umaine +\$	National Science Foundation	\$1,875	\$267	\$2,142	\$375
Smith, R.	US-Ireland Partnership Program: Biosafety for Environmental Contaminants +\$	National Science Foundation	\$654	\$0	\$654	\$196
Smith, R.	NUE: Nano Science And Laboratory Experience (ScALE) at Umaine	National Science Foundation	\$60,000	\$0	\$60,000	\$16,951
Smith, R.	RET Supplement to: NUE: Nano Science Laboratory Experience Umaine +\$	National Science Foundation	\$3,000	\$0	\$3,000	\$0
Smith, R.	High Surface-Area, Mesoporous Oxide Adsorbent Sampling System	US Dept of Defense	\$112,507	\$0	\$112,507	\$31,845
Vetelino, J.	REU Site: Sensor Science and Engineering	National Science Foundation	\$67,306	\$5,358	\$72,664	\$10,063

Name	Title	Sponsor	Sponsor Total	UM Total	Total Amount	Sponsor Indirect
Zhu, Y.	CSR: Small: Collaborative Research: SANE: Semantic- Aware Namespace in Exascale	National Science Foundation	\$190,947	\$0	\$190,947	\$53,166
SUBTOTALS: # OF AWARDS: 9.15			<u>\$1,286,124</u>	<u>\$137,370</u>	<u>\$1,423,494</u>	<u>\$233,541</u>
Mechanical Engineering						
Boyle, M.	Senior Mechanical Engineering Students Design, Build, Test and Launch Large	National Aeronautics & Space Administration	\$10,000	\$10,000	\$20,000	\$909
Caccese, V.	Development of Head Protective Gear to Mitigate Head Injuries Due to Falls Yr 2	US Dept of Health & Human Services	\$20,100	\$0	\$20,100	\$5,432
Caccese, V.	Astronaut protection from excessive acceleration or impact	National Aeronautics & Space Administration	\$20,000	\$22,097	\$42,097	\$3,248
Caccese, V.	Space Grant Year 21 Fellowship at the University of Maine	National Aeronautics & Space Administration	\$30,800	\$31,175	\$61,975	\$0
Deshpande, A.	CAREER: Development of a Robotic Prosthetic Hand	National Science Foundation	\$93,066	\$0	\$93,066	\$26,871
Kunzelman, K.	Percutaneous Mitral Valve Repair Yr 3	US Dept of Health & Human Services	\$563,571	\$0	\$563,571	\$69,617
Sarvestani, A.	Space Grant Year 21 Fellowship at the University of Maine	National Aeronautics & Space Administration	\$13,200	\$13,361	\$26,561	\$0
Sarvestani, A.	Collaborative Research: Mechanics of Growing Bodies:A Riemannian Geometric	National Science Foundation	\$12,446	\$0	\$12,446	\$3,730
Vel, S.	Integrated Analytical- Computational Analysis of Microstructural Influences	National Science Foundation	\$104,360	\$0	\$104,360	\$29,612
SUBTOTALS: # OF AWARDS: 7.30			<u>\$867,543</u>	<u>\$76,633</u>	<u>\$944,176</u>	<u>\$139,419</u>

Name	Title	Sponsor	Sponsor Total	UM Total	Total Amount	Sponsor Indirect
School of Engineering Technology						
Allen, J.	ARRA: Consortium of Universities to Revitalize Electric Power Engineering Yr 2	US Dept of Energy	\$8,250	\$0	\$8,250	\$1,743
Dunning, S.	ARRA: Consortium of Universities to Revitalize Electric Power Engineering Yr 2	US Dept of Energy	\$83	\$0	\$83	\$18
Horton, K.	Virtual Preservation of Cultural Resources in the Virgin Islands National Park	US Dept of the Interior	\$29,738	\$0	\$29,738	\$8,913
Horton, K.	A Rising Tide: Advancing Women and Leadership at the University of Maine Yr 2	National Science Foundation	\$142,219	\$0	\$142,219	\$46,442
SUBTOTALS: # OF AWARDS: 2.21			\$180,290	\$0	\$180,290	\$57,116
<u>College of Liberal Arts and Sciences</u>						
Anthropology						
Hanes, S.	Pollination Security for Fruit and Vegetable Crops in the Northeast	US Dept of Agriculture	\$137,702	\$137,703	\$275,405	\$30,290
Rademaker, K.	Archaeological Excavation of Cuncaicha, a High-Altitude Ice-Age Rockshelter	National Geographic Society	\$15,000	\$6,420	\$21,420	\$0
Sandweiss, D.	Graduate Research Fellowship - Tobias Koffman	National Science Foundation	\$43,700	\$0	\$43,700	\$0
Sandweiss, D.	NSF Graduate Research Fellowship - Skylar Bayer	National Science Foundation	\$37,300	\$0	\$37,300	\$0
Sandweiss, D.	NSF Dissertation Improvement Grant - Kurt Rademaker	National Science Foundation	\$18,774	\$0	\$18,774	\$3,874
SUBTOTALS: # OF AWARDS: 4.10			\$252,476	\$144,123	\$396,599	\$34,164

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Canadian American Center						
Hornsby, S.	Northeast National Resource Center on Canada 2010 - 2014 Yr 3	US Dept of Education	\$154,500	\$0	\$154,500	\$0
Hornsby, S.	Northeast National Resource Center on Canada 2010 - 2014 Yr 2	US Dept of Education	\$141,674	\$17,396	\$159,070	\$5,611
SUBTOTALS: # OF AWARDS: 2.00			<u>\$296,174</u>	<u>\$17,396</u>	<u>\$313,570</u>	<u>\$5,611</u>
Chemistry						
Amar, F.	Thermochemical Conversion of Woody Biomass to Fuels and Chemicals	US Dept of Energy	\$31,000	\$7,101	\$38,101	\$8,779
Collins, S.	RET Supplement to: NUE: Nano Science Laboratory Experiene Umaine +\$	National Science Foundation	\$3,000	\$0	\$3,000	\$0
Collins, S.	NUE: Nano Science And Laboratory Experience (ScALE) at Umaine	National Science Foundation	\$60,000	\$0	\$60,000	\$16,951
Collins, S.	REU Supplement-NUE: Nano Science And Laboratory Experience (ScALE) at Umaine +\$	National Science Foundation	\$1,875	\$267	\$2,142	\$375
Collins, S.	High Surface-Area, Mesoporous Oxide Adsorbent Sampling System	US Dept of Defense	\$112,507	\$0	\$112,507	\$31,845
Frederick, B.	Thermochemical Conversion of Woody Biomass to Fuels and Chemicals	US Dept of Energy	\$155,000	\$35,504	\$190,504	\$43,894
Patterson, H.	Photocatalytic Degradation of Pharmaceuticals and Personal Care Products (PPCPs)	US Dept of the Interior	\$3,330	\$35,583	\$38,913	\$0
Patterson, H.	Killarney EPA STAR Fellowship, Year 2	US Environmental Protection Agency	\$5,000	\$0	\$5,000	\$0

Name	Title	Sponsor	Sponsor Total	UM Total	Total Amount	Sponsor Indirect
Tripp, C.	2011 Nanoelectric Devices for Defense & Security (NANO-DDS) Conference : A Reque	National Science Foundation	\$10,000	\$0	\$10,000	\$0
SUBTOTALS: # OF AWARDS: 4.20			\$381,712	\$78,455	\$460,167	\$101,843
Communication and Journalism						
Lindenfeld, L.	Project Reach	US Dept of Education	\$266,335	\$60,885	\$327,220	\$13,032
Lindenfeld, L.	Project Opportunity Yr 5	US Dept of Education	\$74,995	\$15,576	\$90,571	\$4,037
Lindenfeld, L.	Orono Village Association Project 2011-2012	Town of Orono	\$27,500	\$16,520	\$44,020	\$0
Lindenfeld, L.	Maine Salt Management Scoping Project	US Dept of the Interior	\$6,000	\$7,044	\$13,044	\$0
SUBTOTALS: # OF AWARDS: 3.05			\$374,830	\$100,025	\$474,855	\$17,069
Maine Folklife Center						
MacDougall, P.	Eastern Corporation of Brewer, Maine (1890-2004) On-line Exhibit	Maine Historical Society	\$1,250	\$410	\$1,660	\$0
SUBTOTALS: # OF AWARDS: 1.00			\$1,250	\$410	\$1,660	\$0
Mathematics						
Hiebeler, D.	CAREER: Dynamics of Hierarchical Household-Structured Epidemiological Yr 4	National Science Foundation	\$98,302	\$0	\$98,302	\$29,648
Khalil, A.	3D MammoComp: Next-Generation Computational Analysis of Breast Tomosynthesis	Maine Cancer Foundation	\$82,843	\$20,026	\$102,869	\$10,806
Speer, N.	Mathematicians' Knowledge for Teaching	Spencer Foundation	\$39,975	\$13,781	\$53,756	\$0
SUBTOTALS: # OF AWARDS: 3.00			\$221,120	\$33,807	\$254,927	\$40,454

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Modern Languages and Classics						
Hoecherl-Alden, G.	Project Opportunity Yr 5	US Dept of Education	\$224,984	\$46,729	\$271,713	\$12,110
Passman, K.	Temple Replication Grant: Ensuring Higher Education Opportunities for ALL	US Dept of Education	\$5,000	\$1,148	\$6,148	\$371
SUBTOTALS: # OF AWARDS: 1.25			\$229,984	\$47,877	\$277,861	\$12,480
Physics and Astronomy						
Hess, C.	Factors and Microbial Community Members	US Dept of the Interior	\$326	\$43	\$369	\$0
Lad, R.	Wireless Microwave Acoustic Sensor System for Condition Monitoring	US Dept of Energy	\$181,867	\$78,204	\$260,071	\$38,884
McKay, S.	Biomedical Research Experiences for Students and Teachers 11-12	Howard Hughes Medical Institute	\$87,793	\$24,720	\$112,513	\$0
McKay, S.	Biomedical Research Experiences for Students and Teachers Yr 5	Howard Hughes Medical Institute	\$65,500	\$15,179	\$80,679	\$0
Meulenberg, R.	Surface Termination and Shape Effects on Surface Induced Magnetism in Quantum	National Science Foundation	\$252,000	\$0	\$252,000	\$66,284
Meulenberg, R.	Thermochemical Conversion of Woody Biomass to Fuels and Chemicals	US Dept of Energy	\$31,000	\$7,101	\$38,101	\$8,779
Wittmann, M.	Processes of Learning About Energy Dynamics	National Science Foundation	\$14,950	\$0	\$14,950	\$4,950
SUBTOTALS: # OF AWARDS: 4.65			\$633,436	\$125,246	\$758,682	\$118,897
Political Science						
Fried, A.	A Rising Tide: Advancing Women and Leadership at the University of Maine Yr 2	National Science Foundation	\$142,219	\$0	\$142,219	\$46,442

Name	Title	Sponsor	Sponsor Total	UM Total	Total Amount	Sponsor Indirect
SUBTOTALS: # OF AWARDS: 0.21			\$142,219	\$0	\$142,219	\$46,442
Psychology						
Cobo-Lewis, A.	Analysis of Pathways to Career Success Data	US Dept of Agriculture	\$12,895	\$3,845	\$16,740	\$1,172
Cobo-Lewis, A.	Child Care Research Partnership 2011-2012	US Dept of Health & Human Services	\$98,987	\$15,792	\$114,779	\$15,792
Ell, S.	Integrated Cognitive- Neuroscience Architectures for Understanding Sensemake +\$	US Office of the Director of National Intelligence	\$2,121	\$0	\$2,121	\$702
Ell, S.	Integrated Cognitive- Neuroscience Architectures for Understanding Sense	US Office of the Director of National Intelligence	\$19,961	\$0	\$19,961	\$6,609
Ell, S.	Integrated Cognitive- Neuroscience Architectures for Understanding Sense Yr 2	US Office of the Director of National Intelligence	\$66,652	\$0	\$66,652	\$20,962
Sigmon, S.	Videoconference CBT for Rural Breast Cancer Survivors with Cognitive Complaints	US Dept of Health & Human Services	\$30,163	\$0	\$30,163	\$9,040
SUBTOTALS: # OF AWARDS: 5.80			\$230,779	\$19,637	\$250,416	\$54,277
School of Computing and Information Science						
Beard-Tisdale, M.	Informatics Approaches for Reuse and Modeling of Heterogeneous Mercury Data	US Dept of the Interior	\$3,380	\$54,736	\$58,116	\$0
Chawathe, S.	BreatheProject & 10 Green	The Heinz Endowments	\$12,500	\$4,601	\$17,101	\$0
Giudice, N.	Indoor Route Following Tool for the Blind Inc. #2	US Dept of Education	\$2,462	\$0	\$2,462	\$738
Giudice, N.	Indoor Route Following Tool for the Blind	US Dept of Education	\$44,178	\$0	\$44,178	\$12,990

Name	Title	Sponsor	Sponsor Total	UM Total	Total Amount	Sponsor Indirect
Giudice, N.	Information Integration and Human Interaction for Indoor and Outdoor Spaces Yr 3	National Science Foundation	\$78,331	\$0	\$78,331	\$22,865
Worboys, M.	Information Integration and Human Interaction for Indoor and Outdoor Spaces Yr 3	National Science Foundation	\$78,331	\$0	\$78,331	\$22,865
SUBTOTALS: # OF AWARDS: 4.25			\$219,182	\$59,337	\$278,519	\$59,458
<u>College of Natural Sciences, Forestry, and Agriculture</u>						
Ashworth, E.	National Cold Water Marine Aquaculture Center 58-1915-2-207 +\$	US Dept of Agriculture	\$8,679	\$2,588	\$11,267	\$789
Ashworth, E.	New England Plant, Soil, & Water Laboratory 2011 + \$	US Dept of Agriculture	\$4,070	\$1,462	\$5,532	\$370
Ashworth, E.	New England Plant, Soil & Water Laboratory 2011 +\$	US Dept of Agriculture	\$50,686	\$18,201	\$68,887	\$4,608
Ashworth, E.	New England Plant, Soil, and Water Lab 58-1915-2-206 +\$	US Dept of Agriculture	\$43,890	\$13,087	\$56,977	\$3,990
Ashworth, E.	Potato Breeding Lab 58-1275-2-347	US Dept of Agriculture	\$15,400	\$4,592	\$19,992	\$1,400
Ashworth, E.	New England Plant, Soil, and Water Lab 58-1915-2-206	US Dept of Agriculture	\$10,725	\$3,198	\$13,923	\$975
SUBTOTALS: # OF AWARDS: 6.00			\$133,450	\$43,128	\$176,578	\$12,132
Animal and Veterinary Sciences						
Bowden, T.	Detection of the Haplosporidian Parasite MSX (Haplosporidium nelsoni) of the Ea	Maine Aquaculture Innovation Center	\$17,500	\$30,707	\$48,207	\$3,085
SUBTOTALS: # OF AWARDS: 1.00			\$17,500	\$30,707	\$48,207	\$3,085
Biochemistry, Microbiology, & Molecular Biology						
Hoelz, D.	EMHS/MIHGH Visiting Scientist Contract	Maine Institute of Human Genetics and Health	\$10,000	\$4,280	\$14,280	\$0

Name	Title	Sponsor	Sponsor Total	UM Total	Total Amount	Sponsor Indirect
Kim, C.	Characterization of Radiation-Induced Inflammatory Responses Yr 2	National Aeronautics & Space Administration	\$22,500	\$31,271	\$53,771	\$7,168
Kim, C.	Gene-Environment Interactions: Arsenic Modulation of CFTR and Innate Immunity	US Dept of Health & Human Services	\$323,965	\$0	\$323,965	\$97,099
Kim, C.	PhRMA Foundation	PhRMA Foundation	\$40,000	\$0	\$40,000	\$0
Li, X.	EMHS/MIHGH Visiting Scientist Contract	Maine Institute of Human Genetics and Health	\$10,000	\$4,280	\$14,280	\$0
Singer, J.	Explosive Tracking: A Microsystem for Detection of Bacterial Endospores +\$	National Science Foundation	\$1,800	\$0	\$1,800	\$0
Wheeler, R.	Characterization of Radiation-Induced Inflammatory Responses Yr 2	National Aeronautics & Space Administration	\$22,500	\$31,271	\$53,771	\$7,168
Wheeler, R.	Genetics & Visualization of Innate Host Response to C. Albicans Infection In Viv	US Dept of Health & Human Services	\$421,632	\$0	\$421,632	\$121,632
Wheeler, R.	Genomic Interrogation to Perturbation of Natural Fungal-host Cell Surfaces Yr 3	US Dept of Health & Human Services	\$125,542	\$0	\$125,542	\$41,567
Wheeler, R.	Genomic Interrogation to Perturbation of Natural Fungal-host Cell Yr 4	US Dept of Health & Human Services	\$139,430	\$0	\$139,430	\$46,166
Wheeler, R.	Genomic Interrogation to Perturbation of Natural Fungal-host Cell Yr 3 Inc #2	US Dept of Health & Human Services	\$7,447	\$0	\$7,447	\$2,466
SUBTOTALS: # OF AWARDS: 9.30			\$1,124,816	\$71,102	\$1,195,918	\$323,265
Earth Sciences						
Belknap, D.	ARRA: Long-Term Monitoring Penobscot River Channel Geometry + \$	US Dept of Commerce	\$553	\$134	\$686	\$92

Name	Title	Sponsor	Sponsor Total	UM Total	Total Amount	Sponsor Indirect
Belknap, D.	The Critical Leading Edge of Gulf of Maine Salt Marshes- Yr2- Inc#2	US Dept of Commerce	\$37,848	\$26,309	\$64,157	\$11,280
Denton, G.	The Last Glacial Termination in Southern Middle Latitudes	National Science Foundation	\$393,844	\$0	\$393,844	\$110,537
Denton, G.	Putting the West Antarctic Ice Sheet into Context	National Science Foundation	\$189,565	\$0	\$189,565	\$38,114
Gerbi, C.	Integrated Analytical- Computational Analysis of Microstructural Influences	National Science Foundation	\$74,543	\$0	\$74,543	\$21,152
Gerbi, C.	CAREER: Identifying Dominant Controls on Strain Localization in the Lower Crust	National Science Foundation	\$125,000	\$0	\$125,000	\$32,014
Hall, B.	Putting the West Antarctic Ice Sheet into Context	National Science Foundation	\$189,565	\$0	\$189,565	\$38,114
Johnson, S.	Integrated Analytical- Computational Analysis of Microstructural Influences	National Science Foundation	\$104,360	\$0	\$104,360	\$29,612
Kelley, A.	ARRA: Long-Term Monitoring Penobscot River Channel Geometry + \$	US Dept of Commerce	\$553	\$134	\$686	\$92
Kelley, A.	Shetland Islands Climate and Settlement Project: Multidisciplinary Analysis Yr 2	National Science Foundation	\$12,211	\$0	\$12,211	\$4,043
Kelley, J.	Shetland Islands Climate and Settlement Project: Multidisciplinary Analysis Yr 2	National Science Foundation	\$12,211	\$0	\$12,211	\$4,043
Koons, P.	Glacier-Climat Reconstruction of the LGM and Termination in the Southern Alps	The Comer Science and Education Foundation	\$0	\$0	\$0	\$0

Name	Title	Sponsor	Sponsor Total	UM Total	Total Amount	Sponsor Indirect
Kreutz, K.	P2C2: COLLABORATIVE RESEARCH - Reconstructing Central Alaskan Precipitation	National Science Foundation	\$364,771	\$0	\$364,771	\$99,775
Kreutz, K.	Roosevelt Island Climate Evolution Project: US Deep Ice Core Glaciochemistr Yr 2	National Science Foundation	\$44,076	\$0	\$44,076	\$13,537
Maasch, K.	Modeling Changes in the Frequency and Duration of Heat Events in Maine +\$	US Dept of Health & Human Services	\$2,941	\$441	\$3,382	\$441
Maasch, K.	Modeling Changes in the Frequency and Duration of Heat Events in Maine	US Dept of Health & Human Services	\$8,250	\$1,455	\$9,705	\$1,454
Maasch, K.	Glacier-Climat Reconstruction of the LGM and Termination in the Southern Alps	The Comer Science and Education Foundation	\$0	\$0	\$0	\$0
Mayewski, P.	Roosevelt Island Climate Evolution Project: US Deep Ice Core Glaciochemistr Yr 2	National Science Foundation	\$110,190	\$0	\$110,190	\$33,842
Mayewski, P.	BreatheProject & 10 Green	The Heinz Endowments	\$25,000	\$9,202	\$34,202	\$0
Norton, S.	Biogeochemical Controls on Altered Nitrogen Cycling Yr 2	National Science Foundation	\$56,050	\$0	\$56,050	\$17,852
Norton, S.	LTREB: Renewal: Biogeochemical Mechanisms of Response	National Science Foundation	\$22,335	\$0	\$22,335	\$6,485
Norton, S.	Identification of the Determinants of Fish Tissue Mercury Concentrations	US Dept of the Interior	\$5,265	\$2,606	\$7,871	\$0
Norton, S.	Biogeochemical Controls on Altered Nitrogen Cycling +\$	National Science Foundation	\$1,875	\$0	\$1,875	\$0
Olsen, A.	EarthKin: A Reaction Kinetics Database for Modeling Biogeochemical Systems	National Science Foundation	\$66,145	\$0	\$66,145	\$17,811

Name	Title	Sponsor	Sponsor Total	UM Total	Total Amount	Sponsor Indirect
Olsen, A.	Release of Metals to Groundwater from Ultramafic Rocks on Deer Isle, Maine	US Dept of the Interior	\$4,087	\$1,429	\$5,516	\$0
Reeve, A.	Collaborative Research: Investigating Hydrology-Driven Models Yr 2	National Science Foundation	\$36,535	\$0	\$36,535	\$12,097
Schauffler, M.	Extended Data Literacy	US Dept of Education	\$15,073	\$4,747	\$19,820	\$1,194
Schauffler, M.	Energy for ME	National Science Foundation	\$21,281	\$0	\$21,281	\$4,392
Schauffler, M.	Acadia Learning Phase II Yr 2	US Dept of Education	\$11,710	\$2,225	\$13,935	\$1,952
SUBTOTALS: # OF AWARDS: 15.80			\$1,935,834	\$48,681	\$1,984,514	\$499,922
Food Science and Human Nutrition						
Camire, M.	Potato Breeding and Variety Development for Improved Quality and Pest Resistance	US Dept of Agriculture	\$12,730	\$5,618	\$18,349	\$0
Camire, M.	Survey of Whole Grain Usage in Long-term Care Facilities	Grains for Health Foundation	\$3,000	\$1,350	\$4,350	\$0
Camire, M.	Improved Breeding and Variety Evaluation Methods to Reduce Acrylamide Content	US Dept of Agriculture	\$12,941	\$13,276	\$26,217	\$2,847
Perkins, B.	Potato Breeding and Variety Development for Improved Quality and Pest Resistance	US Dept of Agriculture	\$12,730	\$5,618	\$18,349	\$0
Perkins, B.	Improved Breeding and Variety Evaluation Methods to Reduce Acrylamide Content	US Dept of Agriculture	\$25,882	\$26,553	\$52,435	\$5,693
Wu, V.	Simultaneous Detection of Shiga Toxin-Producing Escherichia Coli (STEC)	US Dept of Agriculture	\$135,000	\$22,424	\$157,424	\$29,700
SUBTOTALS: # OF AWARDS: 2.30			\$202,284	\$74,839	\$277,123	\$38,240

Name	Title	Sponsor	Sponsor Total	UM Total	Total Amount	Sponsor Indirect
Plant, Soil and Environmental Sciences						
Burnett, S.	Irrigation Automation in Greenhouses using Substrate Moisture Sensors Yr 2	American Floral Endowment	\$3,000	\$1,485	\$4,485	\$0
Burnett, S.	Irrigation Auto in Greenhouses using Substrate Moisture Sensors Yr2 - 2nd Inc	American Floral Endowment	\$3,000	\$0	\$3,000	\$0
Dail, D.	Permanent Plot Remeasurement to Obtain Ground Truth Data	National Aeronautics & Space Administration	\$25,216	\$0	\$25,216	\$7,558
Dail, D.	Supporting Carbon Cycle and Earth Systems Modeling With Measurements +\$	US Dept of Agriculture	\$8,835	\$3,781	\$12,616	\$0
Dail, D.	Supporting Carbon Cycle and Earth Systems Modeling With Measurements	US Dept of Agriculture	\$105,000	\$44,940	\$149,940	\$0
Dail, D.	Forest-atmosphere interaction study at Howland, Maine II	US Dept of Agriculture	\$22,500	\$6,709	\$29,209	\$2,046
Erich, M.	GRACENet	US Dept of Agriculture	\$10,548	\$13,083	\$23,631	\$0
Fernandez, I.	Biogeochemical Controls on Altered Nitrogen Cycling Yr 2	National Science Foundation	\$179,360	\$0	\$179,360	\$57,125
Fernandez, I.	Does Changing Atmospheric Deposition of N and S Alter Stream DOM Quality?	US Dept of Agriculture	\$47,720	\$16,734	\$64,454	\$0
Fernandez, I.	Biogeochemical Controls on Altered Nitrogen Cycling +\$	National Science Foundation	\$6,000	\$0	\$6,000	\$0
Fernandez, I.	LTREB: Renewal: Biogeochemical Mechanisms of Response	National Science Foundation	\$44,670	\$0	\$44,670	\$12,969
Fernandez, I.	How Silvicultural Treatments Affect Carbon Storage in a Northern Conifer Forest	US Dept of Agriculture	\$5,504	\$2,356	\$7,860	\$0

Name	Title	Sponsor	Sponsor Total	UM Total	Total Amount	Sponsor Indirect
Gallandt, E.	Mental Models and Participatory Research to Redesign Extension Programming Yr 3	US Dept of Agriculture	\$55,523	\$9,008	\$64,531	\$12,214
Gallandt, E.	Farm-Grown Microbial Soil Inoculants: Effects on Bread Wheat Yield & Quality	US Dept of Agriculture	\$9,767	\$2,912	\$12,679	\$888
Hutton, M.	Developing an Eastern Broccoli Industry Yr 2	US Dept of Agriculture	\$16,667	\$19,793	\$36,460	\$3,667
Lambert, D.	Potato Breeding and Variety Development for Improved Quality and Pest Resistance	US Dept of Agriculture	\$5,092	\$2,247	\$7,339	\$0
Lee, J.	Supporting Carbon Cycle and Earth Systems Modeling With Measurements +\$	US Dept of Agriculture	\$465	\$199	\$664	\$0
Lee, J.	2011 NASA Howland Forest Overflight Support	National Aeronautics & Space Administration	\$2,510	\$0	\$2,510	\$518
Mallory, E.	Fostering New Ways of Communicating, Engaging New Types of Farmers	US Dept of Agriculture	\$34,253	\$11,235	\$45,488	\$0
Ohno, T.	Biogeochemical Controls on Altered Nitrogen Cycling +\$	National Science Foundation	\$1,500	\$0	\$1,500	\$0
Ohno, T.	Biogeochemical Controls on Altered Nitrogen Cycling Yr 2	National Science Foundation	\$44,840	\$0	\$44,840	\$14,281
Porter, G.	Improved Breeding and Variety Evaluation Methods to Reduce Acrylamide Content	US Dept of Agriculture	\$90,588	\$92,934	\$183,522	\$19,926
Porter, G.	Potato Breeding and Variety Development for Improved Quality and Pest Resistance	US Dept of Agriculture	\$213,872	\$94,387	\$308,258	\$0
Yarborough, D.	Pollination Security for Fruit and Vegetable Crops in the Northeast	US Dept of Agriculture	\$68,851	\$68,851	\$137,702	\$15,145
SUBTOTALS: # OF AWARDS: 15.96			\$1,005,281	\$390,654	\$1,395,935	\$146,337

Name	Title	Sponsor	Sponsor Total	UM Total	Total Amount	Sponsor Indirect
School of Biological & Ecological Sciences						
Alyokhin, A.	Potato Breeding and Variety Development for Improved Quality and Pest Resistance	US Dept of Agriculture	\$5,092	\$2,247	\$7,339	\$0
De Los Reyes, B.	Simultaneous Detection of Shiga Toxin-Producing Escherichia Coli (STEC)	US Dept of Agriculture	\$15,000	\$2,492	\$17,492	\$3,300
De Los Reyes, B.	Production of Minitubers from Potato Seedlings and Advanced Selections	US Dept of Agriculture	\$45,172	\$49,322	\$94,494	\$0
Dibble, A.	Pollination Security for Fruit and Vegetable Crops in the Northeast	US Dept of Agriculture	\$137,702	\$137,703	\$275,405	\$30,290
Drummond, F.	Assessing Flower Preference of Three Groups of Pollinator Bees	New England Floriculture	\$215	\$92	\$307	\$0
Drummond, F.	An Economic Analysis of Wild Bee Pollination in Lowbush Blueberry Production.	US Dept of Agriculture	\$75,000	\$119,111	\$194,111	\$0
Drummond, F.	Pollination Security for Fruit and Vegetable Crops in the Northeast	US Dept of Agriculture	\$757,363	\$757,364	\$1,514,726	\$166,596
Drummond, F.	Honey Bee Health II Yr 2	US Dept of Agriculture	\$18,011	\$2,992	\$21,003	\$3,962
Drummond, F.	Northeast Region Center for the IR-4 Minor Crop Pest Management Program	US Dept of Agriculture	\$5,000	\$2,140	\$7,140	\$0
Drummond, F.	Honey Bee Health II Yr 3	US Dept of Agriculture	\$18,011	\$2,050	\$20,061	\$3,963
Elskus, A.	Mercury body burdens in non-migratory, resident fish along the Penobscot River	ME Dept of Environmental Protection	\$2,121	\$374	\$2,495	\$374
Elskus, A.	UM-USGS One Person Field Station - Yr 3	US Dept of the Interior	\$10,000	\$4,950	\$14,950	\$0

Name	Title	Sponsor	Sponsor Total	UM Total	Total Amount	Sponsor Indirect
Elskus, A.	Can fungicide mode of action be used to predict effects in fish?	ME Dept of Environmental Protection	\$3,210	\$566	\$3,776	\$566
Elskus, A.	RCN: Diadromous Species Research Restoration Network (DSRRN) Yr 5	National Science Foundation	\$8,019	\$0	\$8,019	\$2,070
Groden, E.	A Rising Tide: Advancing Women and Leadership at the University of Maine Yr 2	National Science Foundation	\$142,219	\$0	\$142,219	\$46,442
Groden, E.	Biocontrol of the European Fire Ant, <i>Myrmica rubra</i> Year 2	US Dept of Agriculture	\$7,495	\$9,025	\$16,520	\$681
Groden, E.	Develop and Test an Integrated Pest Management Plan Yr 2	US Dept of the Interior	\$52,000	\$14,161	\$66,161	\$7,746
Groden, E.	Maximizing the Efficiency of Detection Methods for Emerald Ash Borer in the Nort	US Dept of Agriculture	\$31,000	\$31,028	\$62,028	\$0
Hart, D.	Planning for Development and Natural Resources Conservation	Elmina B. Sewall Foundation	\$3,500	\$535	\$4,035	\$318
Hart, D.	RCN: Diadromous Species Research Restoration Network (DSRRN) Yr 5	National Science Foundation	\$64,149	\$0	\$64,149	\$16,559
Holberton, R.	Stable Isotope and Acoustic Recording Analysis for Tracking Bird Migration Yr 2	US Dept of the Interior	\$12,572	\$3,424	\$15,996	\$1,872
Holberton, R.	ARRA: Visual Observations for Birds, Turtles, and Marine Mammals off Monhegan I	US Dept of Energy	\$18,434	\$1,134	\$19,568	\$1,134
Kinnison, M.	Lake Foodweb Responses to the Biomanipulation of East Pond +\$	US Environmental Protection Agency	\$4,006	\$754	\$4,760	\$753

Name	Title	Sponsor	Sponsor Total	UM Total	Total Amount	Sponsor Indirect
Kinnison, M.	Demographic Correspondence and Intersystem Movement of Sturgeon in Maine +\$	US Dept of Commerce	\$49,119	\$21,044	\$70,163	\$8,140
McGill, B.	Integrating Global Species Distribution Data Yr 1 Inc.2	National Aeronautics & Space Administration	\$31,069	\$0	\$31,069	\$8,560
McGill, B.	Integrating Global Species Distribution Data	National Aeronautics & Space Administration	\$31,069	\$0	\$31,069	\$8,560
Olsen, B.	GOM2011: Migratory Animals in an Era of Climate Change Yr2	US Dept of the Interior	\$29,998	\$5,919	\$35,917	\$4,094
Olsen, B.	Audubon NY: Surveying for saltmarsh sparrows +\$	National Audubon Society	\$150	\$64	\$214	\$0
Olsen, B.	Investigating the Impacts of Waterfowl Impoundments +\$	US Dept of the Interior	\$17,690	\$4,818	\$22,508	\$2,634
Olsen, B.	Monitoring Animal Migration in Acadia National Park Yr3	US Dept of the Interior	\$43,500	\$8,196	\$51,696	\$5,670
Olsen, B.	Audubon NY: Surveying for Saltmarsh Sparrows	National Audubon Society	\$2,000	\$856	\$2,856	\$0
Olsen, B.	Audubon NY: Surveying for saltmarsh sparrows	National Audubon Society	\$5,000	\$2,140	\$7,140	\$0
Saros, J.	Lake Foodweb Responses to the Biomanipulation of East Pond +\$	US Environmental Protection Agency	\$2,003	\$377	\$2,380	\$377
Saros, J.	Analyzing Legacy Data in a Climate Context to Decipher Modern Changes	US Dept of the Interior	\$3,685	\$39,211	\$42,895	\$0
Simon, K.	Does Changing Atmospheric Deposition of N and S Alter Stream DOM Quality?	US Dept of Agriculture	\$15,907	\$5,578	\$21,485	\$0
Simon, K.	Biogeochemical Controls on Altered Nitrogen Cycling +\$	National Science Foundation	\$3,375	\$0	\$3,375	\$0

Name	Title	Sponsor	Sponsor Total	UM Total	Total Amount	Sponsor Indirect
Simon, K.	Lake Foodweb Responses to the Biomanipulation of East Pond +\$	US Environmental Protection Agency	\$2,003	\$377	\$2,380	\$377
Simon, K.	Biogeochemical Controls on Altered Nitrogen Cycling Yr 2	National Science Foundation	\$100,890	\$0	\$100,890	\$32,133
Simon, K.	LTREB: Renewal: Biogeochemical Mechanisms of Response	National Science Foundation	\$22,335	\$0	\$22,335	\$6,485
Vaux, P.	RCN: Diadromous Species Research Restoration Network (DSRRN) Yr 5	National Science Foundation	\$8,019	\$0	\$8,019	\$2,070
SUBTOTALS: # OF AWARDS: 26.08			\$1,803,101	\$1,230,041	\$3,033,142	\$365,724
School of Economics						
Criner, G.	2011 Residential Waste Composition Study +\$	ME Executive Department	\$4,900	\$972	\$5,872	\$972
Gabe, T.	Conducting a Transportation Needs Assessment	US Dept of Transportation	\$6,100	\$1,210	\$7,310	\$1,210
Gabe, T.	Economic Contribution and Resident Valuation of the Boothbay Region Land Trust	BRLT	\$25,862	\$4,559	\$30,421	\$4,559
Gabe, T.	Economic Contribution of Maine's Golf Industry	Maine State Golf Association	\$6,121	\$0	\$6,121	\$1,512
Hoshide, A.	Pollination Security for Fruit and Vegetable Crops in the Northeast	US Dept of Agriculture	\$137,702	\$137,703	\$275,405	\$30,290
Montgomery, M.	External Speaker Grant	Charles Koch Foundation	\$3,200	\$0	\$3,200	\$0
Rubin, J.	Conducting a Transportation Needs Assessment	US Dept of Transportation	\$6,100	\$1,210	\$7,310	\$1,210
Teisl, M.	Evaluate Fish Consumption Surveys	US Dept of Health & Human Services	\$5,000	\$532	\$5,532	\$532
Teisl, M.	Fish Consumption Survey Development	US Dept of Health & Human Services	\$5,000	\$591	\$5,591	\$0
SUBTOTALS: # OF AWARDS: 5.53			\$199,985	\$146,777	\$346,762	\$40,286

Name	Title	Sponsor	Sponsor Total	UM Total	Total Amount	Sponsor Indirect
School of Forest Resources						
Daigle, J.	National Technical Assistance Center for Alternative Transportation in Publ +\$	US Dept of Transportation	\$46,572	\$0	\$46,572	\$13,209
Daigle, J.	Mobilizing Planning Around Climate Change: Developing a Framework	US Dept of Agriculture	\$45,000	\$27,350	\$72,350	\$0
Daigle, J.	National Technical Assistance Center for Alternative Transportation in Public +\$	US Dept of Transportation	\$60,017	\$0	\$60,017	\$17,988
Daigle, J.	Improving Emerald Ash Borer Monitoring and Management Prioritization	US Dept of Agriculture	\$54,571	\$54,615	\$109,186	\$0
Day, M.	Ecophysiology and Conservation Basis for Maritime Pine-Dominated Vegetation	Davis Conservation Foundation	\$9,076	\$0	\$9,076	\$2,720
Gardner, D.	REU Site: Explore It! Building the Next Generation of Sustainable Forest	National Science Foundation	\$150,000	\$13,106	\$163,106	\$12,831
Gardner, D.	Laboratory Equipment for Offshore Renewable Energy Research	US Dept of Commerce	\$300,000	\$300,000	\$600,000	\$0
Gardner, D.	In Situ Surface Modification of Cellulose Nanofibrils During Drying	US Dept of Agriculture	\$75,000	\$32,100	\$107,100	\$0
Gardner, D.	Nanocellulose Research	US Dept of Agriculture	\$599,767	\$153,499	\$753,266	\$0
Kenefic, L.	Support for Long-Term Research on the Penobscot Experiment Forest Yr 4 +\$	US Dept of Agriculture	\$400	\$198	\$598	\$0

Name	Title	Sponsor	Sponsor Total	UM Total	Total Amount	Sponsor Indirect
Kenefic, L.	Support for Long-Term Research on the Penobscot Experiment Forest Yr 4 +\$	US Dept of Agriculture	\$1,800	\$891	\$2,691	\$0
Kenefic, L.	How Silvicultural Treatments Affect Carbon Storage in a Northern Conifer Forest	US Dept of Agriculture	\$13,760	\$5,890	\$19,650	\$0
Kenefic, L.	Support for Long-Term Research on the Penobscot Experiment Forest Yr 4 +\$	US Dept of Agriculture	\$1,210	\$435	\$1,645	\$110
Leahy, J.	Small Woodland Owner Research Project	Small Woodland Owners Association of Maine	\$28,000	\$11,113	\$39,113	\$0
Leahy, J.	Project Reach	US Dept of Education	\$66,584	\$15,221	\$81,805	\$3,258
Legaard, K.	Evaluating the Interacting Effects of Forest Management Practices Yr2	US Dept of Agriculture	\$35,000	\$14,980	\$49,980	\$0
Lilieholm, R.	Improving Emerald Ash Borer Monitoring and Management Prioritization	US Dept of Agriculture	\$36,380	\$36,410	\$72,791	\$0
Livingston, W.	Improving Emerald Ash Borer Monitoring and Management Prioritization	US Dept of Agriculture	\$90,951	\$91,026	\$181,977	\$0
Seymour, R.	Managing an Aging Resource: Influence of Age on Eastern White Pine	US Dept of Agriculture	\$27,357	\$11,708	\$39,065	\$0
Shaler, S.	Forest and Ag Bioproducts Research, Development and Commercialization Facil +\$	Maine Technology Institute	\$18,750	\$26,250	\$45,000	\$0
Wagner, R.	Forest Regeneration Differences Between Whole-Tree and Conventional Yr2	US Dept of Agriculture	\$25,000	\$10,700	\$35,700	\$0
Wagner, R.	Predicting the Effects of Traditional and Emerging Forestry Practices Yr 4 + \$	US Dept of Agriculture	\$29,000	\$14,355	\$43,355	\$0

Name	Title	Sponsor	Sponsor Total	UM Total	Total Amount	Sponsor Indirect
Wagner, R.	Predicting the Effects of Traditional and Emerging Forestry Practices Yr 4 + \$	US Dept of Agriculture	\$34,150	\$16,904	\$51,054	\$0
Wagner, R.	Administration: NSRC Yr 6	US Dept of Agriculture	\$52,186	\$22,336	\$74,522	\$0
Wagner, R.	Sivicultural Factors Affecting Environmental Conditions Yr2	US Dept of Agriculture	\$14,350	\$6,142	\$20,492	\$0
Wagner, R.	Nonselective Partial Harvesting in Maine's Working Forests Yr2	US Dept of Agriculture	\$22,000	\$9,416	\$31,416	\$0
Wagner, R.	Effects of Climate Change on Growth, Productivity, and Wood Properties Yr2	US Dept of Agriculture	\$30,000	\$10,700	\$40,700	\$0
Wagner, R.	Northeastern States Research Cooperative - Theme 3 - FY2011	US Dept of Agriculture	\$260,934	\$0	\$260,934	\$0
Wagner, R.	UMaine Proposal for Joining the NSF Center for Advanced Forestry Systems Yr 4	National Science Foundation	\$35,000	\$51,306	\$86,306	\$2,359
Weiskittel, A.	How Silvicultural Treatments Affect Carbon Storage in a Northern Conifer Forest	US Dept of Agriculture	\$35,777	\$15,313	\$51,089	\$0
Weiskittel, A.	UMaine Proposal for Joining the NSF Center for Advanced Forestry Systems Yr 4	National Science Foundation	\$35,000	\$51,306	\$86,306	\$2,359
Weiskittel, A.	An Improved Biomass and Carbon Database for U.S. Tree Specie	US Dept of Agriculture	\$60,000	\$30,707	\$90,707	\$0
Weiskittel, A.	An Improved Biomass and Carbon Database for U.S. Tree Specie +\$	US Dept of Agriculture	\$18,000	\$9,563	\$27,563	\$0
Wilson, J.	Support for Long-Term Research on the Penobscot Experiment Forest Yr 4 +\$	US Dept of Agriculture	\$16,200	\$8,019	\$24,219	\$0

Name	Title	Sponsor	Sponsor Total	UM Total	Total Amount	Sponsor Indirect
Wilson, J.	Support for Long-Term Research on the Penobscot Experiment Forest Yr 4 +\$	US Dept of Agriculture	\$10,890	\$3,911	\$14,801	\$990
Wilson, J.	Assistance with Experimental Forest Research in the Acadian Region	US Dept of Agriculture	\$10,000	\$2,982	\$12,982	\$909
Wilson, J.	Support for Long-Term Research on the Penobscot Experiment Forest Yr 4 +\$	US Dept of Agriculture	\$3,600	\$1,782	\$5,382	\$0
SUBTOTALS: # OF AWARDS: 25.65			\$2,352,282	\$1,060,231	\$3,412,513	\$56,733
School of Marine Sciences						
Boss, E.	Acquisition, QA/QC, and Dissemination of Data Collected On-Board R/V TARA	National Aeronautics & Space Administration	\$77,519	\$0	\$77,519	\$23,234
Boss, E.	Investigating the Size-Dependence of Remotely-Sensed In-situ Optical Proxie Yr 3	National Aeronautics & Space Administration	\$45,724	\$0	\$45,724	\$15,139
Boss, E.	Summer course at the Darling Marine Center: Ocean Optics	National Aeronautics & Space Administration	\$81,266	\$7,326	\$88,592	\$8,649
Boss, E.	Proposal Title: Ocean Observing Initiative and NASA OBB Data QC Workshop+\$	National Aeronautics & Space Administration	\$17,557	\$0	\$17,557	\$2,342
Boss, E.	Using Technology to Assess the Invasive Sea Squirt- Yr2- Inc.#2	US Dept of Commerce	\$10,614	\$5,306	\$15,920	\$2,973
Boss, E.	Development, Assessment & Commercialization of a Profiling Float Yr 3	National Aeronautics & Space Administration	\$381,885	\$0	\$381,885	\$40,080

Name	Title	Sponsor	Sponsor Total	UM Total	Total Amount	Sponsor Indirect
Boss, E.	Shelf-Slope Physical/Biological Response to Monsoonal Wind Forcing	US Dept of Defense	\$86,725	\$0	\$86,725	\$24,195
Boss, E.	Optics, Acoustics, and Stress in a Nearshore Bottom Layer Yr 3	US Dept of Defense	\$134,922	\$0	\$134,922	\$43,431
Boss, E.	Optical Constituents Along A River Mouth and Inlet: Variability and Signature	US Dept of Defense	\$32,901	\$0	\$32,901	\$8,512
Boss, E.	Summer course at the Darling Marine Center: Ocean Optics Yr 2	National Aeronautics & Space Administration	\$1,585	\$284	\$1,869	\$335
Boss, E.	Contribution of Rrs and its Effects on Semi-Analytical Inversion Algorithms	National Aeronautics & Space Administration	\$68,691	\$0	\$68,691	\$17,541
Brady, D.	Validating and improving a mechanistic sediment flux modeling framework	US Dept of Commerce	\$9,953	\$0	\$9,953	\$2,983
Brady, D.	Modeling Hypoxia and Ecological Responses to Climate and Nutrients Yr 2	US Dept of Commerce	\$50,936	\$0	\$50,936	\$16,866
Brawley, S.	RCN: The Porphyra2Genome: Year 5	National Science Foundation	\$99,918	\$0	\$99,918	\$11,287
Brawley, S.	Pilot Production of Native Porphyra for Land and Sea-based IMTA	Maine Aquaculture Innovation Center	\$9,625	\$9,626	\$19,251	\$1,697
Bricknell, I.	The Aquatic Animal Health Ecology of an Industry Deployed Aquaculture System Yr 2	US Dept of Commerce	\$8,062	\$619	\$8,681	\$2,669
Bricknell, I.	Preliminary Sampling of Sea Lice on Wild Fish from Cobscook Bay	US Dept of Commerce	\$6,064	\$0	\$6,064	\$2,008

Name	Title	Sponsor	Sponsor Total	UM Total	Total Amount	Sponsor Indirect
Bricknell, I.	Breeding Resistance to Sea Lice and ISAV in Atlantic Salmon Yr 2	US Dept of Agriculture	\$65,056	\$32,203	\$97,259	\$0
Chai, F.	Impacts of Climate Change and Melting Glaciers on Coastal Ecosystems Yr 2	US Dept of the Interior	\$35,690	\$11,115	\$46,805	\$11,817
Chai, F.	Using NASA Data and Models to Predict Variations in Salmon	National Aeronautics & Space Administration	\$67,500	\$0	\$67,500	\$20,231
Chai, F.	Improving the Decision Support System for Central California Salmon Yr 3	National Aeronautics & Space Administration	\$50,000	\$0	\$50,000	\$16,555
Chen, Y.	Update and Maintenance of American Lobster Stock Assessment Model	ME DEPT of Marine Resources	\$24,958	\$4,158	\$29,116	\$4,158
Chen, Y.	Developing a Bayesian size-structured stock assessment model for the northern	ME DEPT of Marine Resources	\$100,000	\$14,757	\$114,757	\$14,757
Chen, Y.	Assessment of Sea Scallop Distribution and Abundance in Federal Waters +\$	US Dept of Commerce	\$71,218	\$10,531	\$81,749	\$10,532
Chen, Y.	Evaluating growth of scallop in Cobscook Bay	ME DEPT of Marine Resources	\$8,862	\$1,562	\$10,424	\$1,562
Chen, Y.	Developing a sentinel fishery in eastern Gulf of Maine	Penobscot East Resource Center	\$51,562	\$7,689	\$59,251	\$7,689
Chen, Y.	A Comparative Study of Different Monitoring Programs- Yr2- Inc.#2	US Dept of Commerce	\$32,360	\$17,650	\$50,010	\$9,463
Connell, L.	Collaborative Research: Microbially Mediated Alteration of Volcanic Glass Yr 4	National Science Foundation	\$69,984	\$0	\$69,984	\$22,857

Name	Title	Sponsor	Sponsor Total	UM Total	Total Amount	Sponsor Indirect
Connell, L.	Collaborative Research: Microbially Mediated Alteration of Volcanic Glass Yr 5	National Science Foundation	\$9,979	\$0	\$9,979	\$3,259
Connell, L.	US-Ireland Partnership Program: Biosafety for EnvironmentALCOntaminants +\$	National Science Foundation	\$5,882	\$0	\$5,882	\$1,763
Connell, L.	HAB Detection Instrument Validation and Transition to State Monitoring Program	US Dept of Commerce	\$206,187	\$0	\$206,187	\$56,553
Connell, L.	Effects of Toxicity, High Concentration Alexandrium Fundyense Blooms on Growth	US Dept of Commerce	\$4,284	\$9,505	\$13,789	\$1,284
de Charon, A.	Education and Outreach for the NASA Aquarius Satellite Mission I#12	National Aeronautics & Space Administration	\$62,609	\$0	\$62,609	\$15,178
de Charon, A.	Education and Public Engagement Implementing Organization Yr 2	National Science Foundation	\$173,969	\$0	\$173,969	\$36,769
de Charon, A.	Centers for Ocean Sciences Education Excellence Yr 4	National Science Foundation	\$73,328	\$0	\$73,328	\$11,716
de Charon, A.	Collaborative Research: COSEE: Ocean Systems - Building Capacity Yr 2	National Science Foundation	\$331,885	\$0	\$331,885	\$51,337
de Charon, A.	Education and Outreach for the NASA Aquarius Satellite Mission I#11	National Aeronautics & Space Administration	\$136,422	\$0	\$136,422	\$33,072
de Charon, A.	Education and Outreach for the NASA Aquarius Satellite Mission I#9	National Aeronautics & Space Administration	\$28,500	\$0	\$28,500	\$6,545
de Charon, A.	ARRA: Enhanced Engagement by Scientists for Broader Societal Impacts +\$	National Science Foundation	\$39,332	\$0	\$39,332	\$12,935

Name	Title	Sponsor	Sponsor Total	UM Total	Total Amount	Sponsor Indirect
de Charon, A.	Education and Outreach for the NASA Aquarius Satellite Mission I#10	National Aeronautics & Space Administration	\$28,900	\$0	\$28,900	\$7,006
Golet, W.	Body Size and Resiliency: Are Bigger Fish Better?	Pew Charitable Trusts	\$21,500	\$7,433	\$28,933	\$1,308
Golet, W.	Sampling Determining Age and Growth of Atlantic Bluefin Tuna in NW Atlantic	US Dept of Commerce	\$127,574	\$18,167	\$145,741	\$25,514
Hamlin, H.	Using Maternal Hormones & Biomarkers of Contaminant Exposure to Improve Salmon	Maine Aquaculture Innovation Center	\$21,327	\$28,899	\$50,226	\$3,760
Incze, L.	Intergovernmental Personnel Act (IPA) Assignment +\$	National Science Foundation	\$223,549	\$59,911	\$283,460	\$0
Johnson, T.	Assessing the Vulnerability of Fishing Communities- Yr.2- Inc.#2	US Dept of Commerce	\$32,977	\$17,104	\$50,081	\$9,667
Jumars, P.	Developing Tools to Evaluate Spawning and Fertilization Dynamics	US Dept of Commerce	\$14,990	\$0	\$14,990	\$4,174
Jumars, P.	Developing Tools to Evaluate Spawning and Fertilization Dynamics	US Dept of Commerce	\$17,247	\$0	\$17,247	\$5,164
Jumars, P.	Developing Tools to Evaluate Spawning and Fertilization Dynamics	Robert and Debra Maxwell	\$22,500	\$0	\$22,500	\$7,450
Jumars, P.	ARRA: Visual Observations for Birds, Turtles, and Marine Mammals off Monhegan I	US Dept of Energy	\$0	\$0	\$0	\$0
Langston, A.	Application to Northeast SARE speaker fund for Shawn Robinson	US Dept of Agriculture	\$583	\$0	\$583	\$0
Lindsay, S.	Collaborative Research: COSEE: Ocean Systems - Building Capacity Yr 2	National Science Foundation	\$58,568	\$0	\$58,568	\$9,059

Name	Title	Sponsor	Sponsor Total	UM Total	Total Amount	Sponsor Indirect
Perry, M.	Summer course at the Darling Marine Center: Ocean Optics Yr 2	National Aeronautics & Space Administration	\$1,585	\$284	\$1,869	\$335
Perry, M.	Estimating Particle Size in the Ocean from High-Frequency Variability Yr 2	National Aeronautics & Space Administration	\$30,000	\$0	\$30,000	\$0
Perry, M.	Summer course at the Darling Marine Center: Ocean Optics	National Aeronautics & Space Administration	\$81,266	\$7,326	\$88,592	\$8,649
Pershing, A.	Impact of Oceanographic Changes on Atlantic Salmon in the Northwest Atlanti Yr 2	US Dept of Commerce	\$104,033	\$0	\$104,033	\$33,782
Pershing, A.	Body Size and Resiliency: Are Bigger Fish Better?	Pew Charitable Trusts	\$193,500	\$66,899	\$260,399	\$11,776
Pershing, A.	GMRI Inshore Gulf of Maine Herring Acoustic Survey	US Dept of Commerce	\$82,520	\$0	\$82,520	\$20,229
Pettigrew, N.	Casco Bay Circulation	US Dept of Commerce	\$55,762	\$31,435	\$87,197	\$14,315
Pettigrew, N.	Dynamics of Alexandrium fundyense Blooms in the Gulf of Maine Yr 5 +\$	US Dept of Commerce	\$2,938	\$0	\$2,938	\$880
Pettigrew, N.	CaRICOOS 2011-2016 Moored Buoy Array	US Dept of Commerce	\$206,055	\$0	\$206,055	\$61,759
Pettigrew, N.	NERACOOS Gulf of Maine Monitoring 2011-2016	US Dept of Commerce	\$591,016	\$0	\$591,016	\$154,960
Rawson, P.	Optimization of Hatchery and Culture Technology for Razor Clams	US Dept of Agriculture	\$93,616	\$38,998	\$132,614	\$0
Rawson, P.	Improving shellfish survival through genetic improvement in disease resistance	US Dept of Agriculture	\$44,840	\$19,192	\$64,032	\$0
Runge, J.	Effects of increased CO2/lower pH on vital rates of the planktonic copepod, Cala	Institute of Marine Research	\$32,000	\$0	\$32,000	\$6,603

Name	Title	Sponsor	Sponsor Total	UM Total	Total Amount	Sponsor Indirect
Runge, J.	Effect of a very low NAO event on the abundance of the lipid-rich planktonic cop	National Science Foundation	\$122,566	\$0	\$122,566	\$34,038
Thomas, A.	Dynamics of Alexandrium fundyense Blooms in the Gulf of Maine Yr 5 +\$	US Dept of Commerce	\$1,246	\$0	\$1,246	\$374
Thomas, A.	Melting Ice, Habitat Change and Nutrient Flux: Hydrological, Biogeochemical Yr 2	National Aeronautics & Space Administration	\$73,021	\$0	\$73,021	\$24,177
Townsend, D.	Nutrient Dynamics on the NE Continental Shelf: Sample Analysis	US Dept of Commerce	\$10,000	\$0	\$10,000	\$3,311
Townsend, D.	MERHAB Nutrients and Red Tides	US Dept of Commerce	\$49,827	\$0	\$49,827	\$14,934
Townsend, D.	Dynamics of Alexandrium fundyense Blooms in the Gulf of Maine Yr 5 +\$	US Dept of Commerce	\$4,719	\$0	\$4,719	\$1,414
Van Beneden, R.	Trans-Placental Exposures and Trans-Generational Effects of Llow Dose Exposures	Eastern Maine Health Care	\$12,000	\$5,136	\$17,136	\$0
Wahle, R.	Developing Tools to Evaluate Spawning and Fertilization Dynamics	US Dept of Commerce	\$40,242	\$0	\$40,242	\$12,050
Wahle, R.	Developing Tools to Evaluate Spawning and Fertilization Dynamics	Robert and Debra Maxwell	\$10,000	\$0	\$10,000	\$3,311
Wahle, R.	Ongoing Oversight and Analysis of the American Lobster Settlement Survey	ME DEPT of Marine Resources	\$7,000	\$1,388	\$8,388	\$1,389
Wahle, R.	US-Canada Science Symposium 2012: The American Lobster in a Changing Ecosystem	US Dept of Commerce	\$7,500	\$3,751	\$11,251	\$0

Name	Title	Sponsor	Sponsor Total	UM Total	Total Amount	Sponsor Indirect
Wahle, R.	Developing Tools to Evaluate Spawning and Fertilization Dynamics	Robert and Debra Maxwell	\$52,500	\$0	\$52,500	\$17,382
Wahle, R.	Developing Tools to Evaluate Spawning and Fertilization Dynamics	US Dept of Commerce	\$34,976	\$0	\$34,976	\$9,740
Wahle, R.	Maximizing the utility and impact of the American Lobster Settlement Index	US Dept of Commerce	\$69,219	\$31,680	\$100,899	\$20,746
Waller, R.	NSF RAPID: Natural Laboratories in the Chilean Fjords: Studying Reproduction	National Science Foundation	\$78,457	\$0	\$78,457	\$23,515
Waller, R.	Sea Education Semester Collaboration	Sea Education Association	\$14,790	\$3,845	\$18,635	\$0
Waller, R.	Natural Laboratories in the Chilean Fjords: Studying Reproduction and Developmen	National Geographic Society	\$30,064	\$12,868	\$42,932	\$0
Waller, R.	Life History Characteristics of West Coast Deep-Sea Coral Species	US Dept of Commerce	\$77,543	\$0	\$77,543	\$14,763
Waller, R.	Biogeography of Marine Organisms	US Dept of the Interior	\$20,000	\$4,307	\$24,307	\$2,978
Waller, R.	Research Cruise Travel: Tracy Arm, Alaska	US Dept of Commerce	\$1,400	\$364	\$1,764	\$0
Wells, M.	Collaborative Research: Iron Regulation of the Food Quality of Phytoplankton	National Science Foundation	\$178,062	\$0	\$178,062	\$53,369
Wells, M.	The Ecophysiology and Toxicity of Heterosigma akashiwo in Puget Sound Yr 3	US Dept of Commerce	\$54,808	\$0	\$54,808	\$18,147
Wilson, J.	An Agent-Based Investigation of Coupled Human-Natural System Dynamics Yr 2	US Dept of Commerce	\$32,083	\$23,150	\$55,233	\$0

Name	Title	Sponsor	Sponsor Total	UM Total	Total Amount	Sponsor Indirect
Xue, H.	Using NASA Data and Models to Predict Variations in Salmon	National Aeronautics & Space Administration	\$22,500	\$0	\$22,500	\$6,744
Xue, H.	Impacts of Climate Change and Melting Glaciers on Coastal Ecosystems Yr 2	US Dept of the Interior	\$35,690	\$11,115	\$46,805	\$11,817
Xue, H.	Impact of Oceanographic Changes on Atlantic Salmon in the Northwest Atlanti Yr 2	US Dept of Commerce	\$26,008	\$0	\$26,008	\$8,445
Zydlowski, G.	Fish distribution in relation to tidal hydropower in Downeast Maine- ORPC	Ocean Renewable Power Company	\$53,675	\$12,840	\$66,515	\$0
Zydlowski, G.	Demographic Correspondence and Intersystem Movement of Sturgeon in Maine +\$	US Dept of Commerce	\$49,119	\$21,044	\$70,163	\$8,140
Zydlowski, G.	Rebuilding Sea-Run Fisheries of the Penobscot River by Removing Veazie Dam +\$	US Dept of Commerce	\$6,250	\$1,519	\$7,769	\$1,250
Zydlowski, G.	Fish distribution in relation to tidal hydropower in Downeast Maine	US Dept of Commerce	\$46,583	\$0	\$46,583	\$4,736
SUBTOTALS: # OF AWARDS: 78.45			\$6,084,207	\$560,984	\$6,645,190	\$1,257,133
School of Nursing						
Poirier, P.	Impact of Cancer Treatment on Desired Functional Status	American Nurses Foundation	\$3,500	\$1,128	\$4,628	\$260
Pritham, U.	Advanced Education Nursing Traineeship 2011	US Dept of Health & Human Services	\$17,381	\$0	\$17,381	\$0
Pritham, U.	ARRA: Maine Health Care Sector Grant Clinical Training Expansion Application	US Dept of Labor	\$79,055	\$6,632	\$85,687	\$6,633
SUBTOTALS: # OF AWARDS: 3.00			\$99,936	\$7,760	\$107,696	\$6,893

Name	Title	Sponsor	Sponsor Total	UM Total	Total Amount	Sponsor Indirect
School of Social Work						
Kaye, L.	Maine Kinship Connections Project Yr 3	US Dept of Health & Human Services	\$441,089	\$81,112	\$522,201	\$13,393
Kaye, L.	Capacity Building Program Evaluation	Maine Community Foundation	\$18,000	\$2,536	\$20,536	\$2,536
Kaye, L.	Contaminants of Emerging Concern: Pharmaceutical Waste	US Environmental Protection Agency	\$210,000	\$0	\$210,000	\$44,385
Kaye, L.	Washington County Older Adult Impaired Driving Project	US Dept of Health & Human Services	\$3,100	\$341	\$3,441	\$342
Werrbach, G.	Global Work with Immigrant Kids Yr 4	US Dept of Education	\$37,411	\$3,564	\$40,975	\$636
Werrbach, G.	Global Work with Immigrant Kids Yr 3	US Dept of Education	\$47,496	\$4,146	\$51,642	\$741
SUBTOTALS: # OF AWARDS: 6.00			\$757,096	\$91,699	\$848,795	\$62,033
Wildlife Ecology						
Calhoun, A.	Planning for Development and Natural Resources Conservation	Elmina B. Sewall Foundation	\$31,500	\$4,811	\$36,311	\$2,864
Coghlan, S.	ARRA: Quantifying Effects of Dam Removal on Penobscot River Fish Communities +\$	US Dept of Commerce	\$12,000	\$2,900	\$14,900	\$2,000
Coghlan, S.	Restoration of Anadromous Fishes- Yr2- Inc.#2	US Dept of Commerce	\$33,581	\$11,451	\$45,032	\$10,328
Coghlan, S.	ARRA: Atlantic Salmon Production in Headwater Streams +\$	US Dept of Commerce	\$2,900	\$1,436	\$4,336	\$0
Hunter, M.	Holt Woodlands 2011 Part 2	Holt Woodlands Research Foundation	\$40,106	\$17,165	\$57,271	\$0
Hunter, M.	Holt Woodlands 2012 Part 1	Holt Woodlands Research Foundation	\$446	\$191	\$637	\$0
Hunter, M.	Maine River Bird Project	Maine Outdoor Heritage Fund	\$11,059	\$31,864	\$42,923	\$0

Name	Title	Sponsor	Sponsor Total	UM Total	Total Amount	Sponsor Indirect
Loftin, C.	Role of Marine Derived Nutrients Delivered by Anadromous Fish in Restor - MOD 4	US Dept of Commerce	\$18,868	\$5,314	\$24,182	\$2,380
Loftin, C.	Assessing Priority Amphibian & Reptile Conservation Areas (PARCAs)	US Dept of the Interior	\$193,008	\$46,658	\$239,666	\$25,175
Loftin, C.	Pollination Security for Fruit and Vegetable Crops in the Northeast	US Dept of Agriculture	\$137,702	\$137,703	\$275,405	\$30,290
McKinney, S.	Evaluate and enhance the accuracy and cost-effectiveness of black bear mgmt.	ME Dept of Inland Fisheries & Wildlife	\$175,265	\$42,369	\$217,634	\$22,860
Rhymer, J.	Genetic Analysis of Blanding's Turtle Populations	US Dept of the Interior	\$103,630	\$85,209	\$188,839	\$20,561
Sigourney, D.	Modeling migration of silver eels to forecast critical intervals of risk	Nature Conservancy	\$10,000	\$1,900	\$11,900	\$1,667
Witham, J.	Holt Woodlands 2012 Part 1	Holt Woodlands Research Foundation	\$44,185	\$18,911	\$63,096	\$0
Zydlowski, J.	Modeling migration of silver eels to forecast critical intervals of risk	Nature Conservancy	\$10,000	\$1,900	\$11,900	\$1,667
Zydlowski, J.	Fish Passage Assessment of the Penobscot River Restoration Project 2011-2012	US Dept of Commerce	\$142,500	\$27,075	\$169,575	\$23,749
Zydlowski, J.	Passage of Anadromous Fish at Main-Stem Dams on the Penobscot River, ME (MOD3)	US Dept of Commerce	\$62,264	\$13,839	\$76,103	\$7,467
Zydlowski, J.	Role of Marine Derived Nutrients Delivered by Anadromous Fish in Restor - MOD 4	US Dept of Commerce	\$18,868	\$5,314	\$24,182	\$2,380

Name	Title	Sponsor	Sponsor Total	UM Total	Total Amount	Sponsor Indirect
Zydlowski, J.	Establishing Baselines for American Shad in the Penobscot River- FY2012	Nature Conservancy	\$24,000	\$5,900	\$29,900	\$3,999
Zydlowski, J.	Understanding Growth & Spawning of Lake Whitefish Year 2	US Dept of the Interior	\$35,000	\$5,286	\$40,286	\$5,285
SUBTOTALS: # OF AWARDS: 16.00			\$1,106,882	\$467,194	\$1,574,076	\$162,670
<u>Division of Lifelong Learning</u>						
McCullough, C.	Gateway to Science: A Pilot Early College Program for Mid-Coast High School Stud	Maine Community Foundation	\$8,108	\$1,963	\$10,071	\$1,326
SUBTOTALS: # OF AWARDS: 1.00			\$8,108	\$1,963	\$10,071	\$1,326
Women's Resource Center						
Barker, S.	Maine Girls Collaborative Project	National Science Foundation	\$0	\$0	\$0	\$0
SUBTOTALS: # OF AWARDS: 1.00			\$0	\$0	\$0	\$0
<u>Honors College</u>						
Slavin, C.	Comparative Functional Genomics INBRE in Maine (Honors College) Yr 3 Inc 2	US Dept of Health & Human Services	\$8,144	\$0	\$8,144	\$2,696
Slavin, C.	Comparative Functional Genomics INBRE in Maine (Honors College) Yr 3	US Dept of Health & Human Services	\$122,063	\$0	\$122,063	\$27,524
SUBTOTALS: # OF AWARDS: 2.00			\$130,207	\$0	\$130,207	\$30,220
CHIEF FINANCIAL OFFICER						
Information Technology Services						
Letourneau, J.	Maine EPSCoR: End-to-End Connectivity for Sustainability Science Initiative	National Science Foundation	\$300,000	\$0	\$300,000	\$602

Name	Title	Sponsor	Sponsor Total	UM Total	Total Amount	Sponsor Indirect
SUBTOTALS: # OF AWARDS: 0.30			\$300,000	\$0	\$300,000	\$602

PRESIDENT'S OFFICE**Provost****College Success Programs**

Parks, A.	Student Support Services Yr 2	US Dept of Education	\$561,225	\$237,063	\$798,288	\$37,869
SUBTOTALS: # OF AWARDS: 1.00			\$561,225	\$237,063	\$798,288	\$37,869

Cooperative Extension

Anderson, G.	Improving Sanitation and Milk Quality on Maine Dairy Farms: Monitoring	George Walker Foundation	\$10,500	\$4,494	\$14,994	\$0
Baker, B.	Adobe Youth Voices	National 4-H Council	\$18,000	\$4,514	\$22,514	\$0
Baker, B.	4-H Maine Youth Community Action (EYSC10-RENEW)	US Dept of Agriculture	\$25,000	\$1,266	\$26,266	\$0
Baker, B.	Communities for ME (EYSC9)	US Dept of Agriculture	\$25,000	\$1,892	\$26,892	\$0
Baker, B.	4-H Tribal Youth National Mentoring Program	US Dept of Justice	\$28,888	\$7,511	\$36,399	\$0
Bassano, L.	Hancock County Business Conference	Maine Community Foundation	\$6,000	\$1,002	\$7,002	\$0
Bassano, L.	Tri County Workforce Investment 2012	Eastern Maine Development Corp	\$4,750	\$0	\$4,750	\$0
Bouchard, D.	Aquaculture Health Hazards - Developing Outreach Services Yr 2	US Dept of Agriculture	\$7,170	\$3,549	\$10,719	\$0
Bouchard, D.	The Aquatic Animal Health Ecology of an Industry Deployed Aquaculture System Yr 2	US Dept of Commerce	\$153,180	\$11,764	\$164,944	\$50,719
Bouchard, D.	Aquatic Animal Health Consultation for the State of ME, Dept of Marine Resources	ME DEPT of Marine Resources	\$5,000	\$882	\$5,882	\$881

Name	Title	Sponsor	Sponsor Total	UM Total	Total Amount	Sponsor Indirect
Bouchard, D.	Breeding Resistance to Sea Lice and ISAV in Atlantic Salmon Yr 2	US Dept of Agriculture	\$3,424	\$1,695	\$5,119	\$0
Bouchard, D.	Maine Sea Grant Communications	US Dept of Commerce	\$4,193	\$0	\$4,193	\$1,388
Brzozowski, R.	Maine AgrAbility Project Yr 2	US Dept of Agriculture	\$161,919	\$59,740	\$221,659	\$0
Brzozowski, R.	SARE Fellowship	US Dept of Agriculture	\$1,500	\$0	\$1,500	\$0
Brzozowski, R.	Maine AgrAbility Project Yr 3	US Dept of Agriculture	\$149,144	\$57,343	\$206,487	\$0
Dill, J.	2012 Pesticide Safety Education Manual Development	ME Dept of Agriculture	\$50,000	\$16,400	\$66,400	\$0
Dill, J.	Spotted Wing Drosophila in New England - Rapid Response Training & Coordination	US Dept of Agriculture	\$500	\$57	\$557	\$110
Elliott, C.	ELLMS - Sam L. Cohen Foundation 2011	Sam L. Cohen Foundation	\$9,420	\$0	\$9,420	\$0
Elliott, C.	Maine CYFAR Sustainable Communities Project YR4	US Dept of Agriculture	\$128,000	\$34,304	\$162,304	\$0
Elliott, C.	Parking Lot Giving Fund of RSF Social Finance	RSF Social Finance	\$50,000	\$0	\$50,000	\$2,579
Elliott, C.	ELLMS Financial Aid Fund	Elmina B. Sewall Foundation	\$58,878	\$0	\$58,878	\$0
Elliott, C.	CYFAR - Maine Sustainable Communities Project Yr 3	US Dept of Agriculture	\$47,600	\$12,757	\$60,357	\$0
Elliott, C.	Environmental Living and Learning for Maine Students (ELLMS) Project	Jane's Trust Foundation	\$47,102	\$0	\$47,102	\$0
Fuller, D.	Increased Profits from Disease-Free Garlic Planting Stock	US Dept of Agriculture	\$48,536	\$7,060	\$55,596	\$4,413
Gagne, K.	ME Operation Military Kids 2012 RFA	US Dept of Agriculture	\$87,046	\$0	\$87,046	\$14,372

Name	Title	Sponsor	Sponsor Total	UM Total	Total Amount	Sponsor Indirect
Gagne, K.	ME Military Adventure Camp (MAC) 2012	US Dept of Defense	\$64,671	\$3,884	\$68,555	\$2,427
Gagne, K.	Military 4-H Club Grant	US Dept of Agriculture	\$25,000	\$0	\$25,000	\$3,227
Gagne, K.	OSD/OMK Supporting Local Regional Networks-York County	US Dept of Agriculture	\$20,000	\$0	\$20,000	\$1,125
Handley, D.	Crop Insurance 2012	US Dept of Agriculture	\$129,500	\$18,837	\$148,337	\$11,773
Jackson, T.	Crop Insurance 2012	US Dept of Agriculture	\$129,500	\$18,837	\$148,337	\$11,773
Jemison, J.	Potato Breeding and Variety Development for Improved Quality and Pest Resistance	US Dept of Agriculture	\$5,092	\$2,247	\$7,339	\$0
Jennings, S.	Mentor ME Tech Wizards 2012 Yr 2	US Dept of Justice	\$123,000	\$25,416	\$148,416	\$0
Johnson, S.	Reducing Losses to Potato and Tomato Late Blight by Enhanced Monitoring Yr 2	US Dept of Agriculture	\$13,214	\$0	\$13,214	\$2,726
Johnson, S.	Reducing Losses to Potato and Tomato Late Blight by Enhanced Monitoring	US Dept of Agriculture	\$12,200	\$0	\$12,200	\$2,517
Johnson, S.	Increased Profits from Disease-Free Garlic Planting Stock	US Dept of Agriculture	\$72,804	\$10,589	\$83,393	\$6,619
Kersbergen, R.	Assisting Organic Dairy Producers to Meet the Demands of New Milk Markets	US Dept of Agriculture	\$271,601	\$45,126	\$316,727	\$59,743
Koehler, G.	Spotted Wing Drosophila in New England - Rapid Response Training & Coordination	US Dept of Agriculture	\$9,493	\$1,080	\$10,574	\$2,089
Libby, E.	Jane B. Cook 1992 Charitable Trust Proposal for FoodCorps	Jane B. Cook 1992 Charitable Trust	\$8,000	\$0	\$8,000	\$154

Name	Title	Sponsor	Sponsor Total	UM Total	Total Amount	Sponsor Indirect
Lichtenwalner, A.	Farm-based Control Measures for Caseous Lymphadenitis (CL) in Small Ruminants: O	US Dept of Agriculture	\$14,969	\$4,464	\$19,433	\$1,360
Lincoln, W.	UMaine Cooperative Extension Maine Family Nutrition Program FY12	US Dept of Agriculture	\$1,131,794	\$130,207	\$1,262,001	\$130,206
Lindberg, K.	Maine Healthy Beaches Program 2012	US Environmental Protection Agency	\$181,259	\$20,853	\$202,112	\$20,853
McConnon, J.	Economic Contribution of Maine's Golf Industry	Maine State Golf Association	\$2,040	\$0	\$2,040	\$504
McConnon, J.	Hancock County Business Conference	Maine Community Foundation	\$4,000	\$668	\$4,668	\$0
Murphy, B.	Rumford Garden Irrigation - Maine Community Foundation	Maine Community Foundation	\$2,684	\$448	\$3,132	\$0
Neff, W.	DHHS Waldo Maine Families FY12 +\$	ME Dept of Health and Human Services	\$71,250	\$8,197	\$79,447	\$8,197
Neff, W.	DHHS Waldo Maine Families FY12	US Dept of Health & Human Services	\$225,000	\$25,885	\$250,885	\$25,885
Olsen, S.	IF&W 2011 Youth Scholarship Fund	ME Dept of Inland Fisheries & Wildlife	\$25,000	\$0	\$25,000	\$0
Olsen, S.	A Partnership to Deliver Youth Shooting Sports Education Statewide	Maine Outdoor Heritage Fund	\$7,812	\$151	\$7,963	\$151
Olsen, S.	ME Military Adventure Camp (MAC) 2012	US Dept of Defense	\$64,671	\$3,884	\$68,555	\$2,427
Olsen, S.	Oxford County 4-H Camp Scholarships	The Betterment Fund	\$10,000	\$0	\$10,000	\$0
Olsen, S.	4-H Shooting Sports Education Support	ME Dept of Inland Fisheries & Wildlife	\$23,081	\$897	\$23,978	\$897
Olsen, S.	IF&W 2012 Youth Scholarship Fund	Maine Dept of Inland Fisheries & Wildlife	\$50,000	\$0	\$50,000	\$0
Ouellette, K.	CYFAR - Maine Sustainable Communities Project Yr 3	US Dept of Agriculture	\$46,200	\$12,382	\$58,582	\$0

Name	Title	Sponsor	Sponsor Total	UM Total	Total Amount	Sponsor Indirect
Ouellette, K.	MetLife Minigrant 2012	Met Life Foundation	\$25,542	\$6,500	\$32,042	\$0
Ouellette, K.	JCPenney Minigrant 2012	JC Penney	\$24,384	\$6,340	\$30,724	\$0
Plant, A.	Biomass Engineered Fuels	Maine Technology Institute	\$78,128	\$15,626	\$93,753	\$0
Prichard, J.	CYFAR - Maine Sustainable Communities Project Yr 3	US Dept of Agriculture	\$46,200	\$12,382	\$58,582	\$0
Scott, R.	IF&W 2011 Youth Scholarship Fund	ME Dept of Inland Fisheries & Wildlife	\$25,000	\$0	\$25,000	\$0
Scott, R.	Environmental Living and Learning for Maine Students Quimby Foundation FY 11	Quimby Foundation	\$18,841	\$0	\$18,841	\$0
Sparks, S.	Cumberland County 4-H Robotics	Maine Community Foundation	\$1,770	\$460	\$2,230	\$0
Sparks, S.	And/Sag 4-H Robotics-Lewiston	Maine Community Foundation	\$1,510	\$393	\$1,903	\$0
Stack, L.	Assessing Flower Preference of Three Groups of Pollinator Bees	New England Floriculture	\$4,085	\$1,748	\$5,833	\$0
Stancioff, E.	Sea Grant Climate Adaptation 2011: City of Ellsworth, ME	US Dept of Commerce	\$35,000	\$15,266	\$50,266	\$10,490
Swain, A.	UWEM Senior Companion Program Participant Support Yr 2	United Way of Eastern Maine	\$6,602	\$162	\$6,764	\$162
Swain, A.	Senior Companion Program CNCS Renewal FY13	Corporation for National & Community Service	\$351,212	\$124,050	\$475,262	\$10,779
Swain, A.	Senior Companion Program/OES FY12 Agreement	ME Dept of Health and Human Services	\$14,340	\$0	\$14,340	\$0
Watt, B.	NPDN 2011-2012 Diagnostic Lab +\$	US Dept of Agriculture	\$3,000	\$804	\$3,804	\$0
Watt, B.	NPDN 2011-2012 Diagnostic Lab	US Dept of Agriculture	\$21,500	\$5,762	\$27,262	\$0

Name	Title	Sponsor	Sponsor Total	UM Total	Total Amount	Sponsor Indirect
Watt, B.	Development of a Northeast Region Bugwood Node to Collect and Catalog Images	US Dept of Agriculture	\$2,500	\$1,070	\$3,570	\$0
Watt, B.	Scouting to Reduce Chemical Pesticide Use in Greenhouse Ornamentals	US Environmental Protection Agency	\$5,500	\$0	\$5,500	\$1,649
Yerxa, K.	UMaine Cooperative Extension Maine Family Nutrition Program FY12	US Dept of Agriculture	\$377,265	\$43,402	\$420,667	\$43,402
SUBTOTALS: # OF AWARDS: 58.07			\$4,916,963	\$794,244	\$5,711,207	\$435,596

VICE PRESIDENT FOR RESEARCH

Eckardt, M.	Maine EPSCoR: End-to-End Connectivity for Sustainability Science Initiative	National Science Foundation	\$100,000	\$0	\$100,000	\$201
SUBTOTALS: # OF AWARDS: 0.10			\$100,000	\$0	\$100,000	\$201

Advanced Engineered Wood Composites Center

Clapp, J.	Composite Marine and Air Cargo Container Design, Fabrication and Testing	US Dept of Homeland Security	\$42,668	\$0	\$42,668	\$12,609
Clapp, J.	Composite Marine & Air Cargo Container Design, Fabrication & Testing-Amend 3	US Dept of Homeland Security	\$75,000	\$0	\$75,000	\$22,479
Clapp, J.	Composite Marine and Air Cargo Container Design, Fabrication and Testing (#2)	US Dept of Homeland Security	\$25,000	\$0	\$25,000	\$7,493
Clapp, J.	Composite Marine and Air Cargo Container Design, Fabrication and Testing- Task 5	US Dept of Homeland Security	\$26,993	\$0	\$26,993	\$8,091

Name	Title	Sponsor	Sponsor Total	UM Total	Total Amount	Sponsor Indirect
Clapp, J.	Composite Marine and Air Cargo Container Design, Fabrication and Testing +\$	US Dept of Homeland Security	\$6,250	\$0	\$6,250	\$1,873
Goslin, K.	Crack Monitoring on the Interstate 95 Bridge over the Kenduskeag Stream	ME Dept of Transportation	\$11,337	\$1,577	\$12,914	\$1,577
Goslin, K.	Research and Development to Advance Buried Composite Bridge Technologies	US Dept of Transportation	\$147,153	\$24,788	\$171,941	\$24,788
Goupee, A.	Innovative Offshore Vertical-Axis Wind Turbine Rotors	US Dept of Energy	\$7,070	\$0	\$7,070	\$2,119
Goupee, A.	Integrated Analytical-Computational Analysis of Microstructural Influences	National Science Foundation	\$14,909	\$0	\$14,909	\$4,230
Han, Y.	In Situ Surface Modification of Cellulose Nanofibrils During Drying	US Dept of Agriculture	\$75,000	\$32,100	\$107,100	\$0
Kittridge, M.	Advanced Design and Optimization of High Performance Combatant Craft Full S +\$	US Dept of Defense	\$12,029	\$0	\$12,029	\$3,983
Kittridge, M.	Submersible Mussel Raft Design	US Dept of Agriculture	\$33,384	\$0	\$33,384	\$10,006
Kittridge, M.	Laboratory Equipment for Offshore Renewable Energy Research	US Dept of Commerce	\$300,000	\$300,000	\$600,000	\$0
Melrose, P.	Advanced Materials and Structures for Force Protection Mod 8	US Dept of Defense	\$51,400	\$0	\$51,400	\$16,400
Melrose, P.	Laboratory Equipment for Offshore Renewable Energy Research	US Dept of Commerce	\$300,000	\$300,000	\$600,000	\$0
Melrose, P.	Advanced Materials and Structures for Force Protection Yr 6	US Dept of Defense	\$5,600	\$0	\$5,600	\$1,782

Name	Title	Sponsor	Sponsor Total	UM Total	Total Amount	Sponsor Indirect
Melrose, P.	Advanced Materials and Structures for Force Protection Yr 5	US Dept of Defense	\$24,427	\$0	\$24,427	\$7,920
Melrose, P.	Advanced Materials and Structures for Force Protection Yr 4	US Dept of Defense	\$9,567	\$0	\$9,567	\$3,168
Melrose, P.	Advanced Materials and Structures for Force Protection Mod 7	US Dept of Defense	\$60,000	\$0	\$60,000	\$19,935
Nader, J.	Advanced Materials and Structures for Force Protection Yr 4	US Dept of Defense	\$2,392	\$0	\$2,392	\$792
Nader, J.	Laboratory Equipment for Offshore Renewable Energy Research	US Dept of Commerce	\$300,000	\$300,000	\$600,000	\$0
Nader, J.	Advanced Materials and Structures for Force Protection Yr 6	US Dept of Defense	\$1,400	\$0	\$1,400	\$446
Nader, J.	Advanced Materials and Structures for Force Protection Mod 7	US Dept of Defense	\$15,000	\$0	\$15,000	\$4,984
Nader, J.	Advanced Materials and Structures for Force Protection Yr 5	US Dept of Defense	\$6,107	\$0	\$6,107	\$1,980
Nader, J.	Advanced Materials and Structures for Force Protection Mod 8	US Dept of Defense	\$12,850	\$0	\$12,850	\$4,100
Nader, J.	Innovative Offshore Vertical-Axis Wind Turbine Rotors	US Dept of Energy	\$6,060	\$0	\$6,060	\$1,816
Nagy, E.	Advanced Materials and Structures for Force Protection Mod 8	US Dept of Defense	\$12,850	\$0	\$12,850	\$4,100
Nagy, E.	Advanced Materials and Structures for Force Protection Yr 5	US Dept of Defense	\$6,107	\$0	\$6,107	\$1,980

Name	Title	Sponsor	Sponsor Total	UM Total	Total Amount	Sponsor Indirect
Nagy, E.	Advanced Materials and Structures for Force Protection Yr 6	US Dept of Defense	\$1,400	\$0	\$1,400	\$446
Nagy, E.	Advanced Materials and Structures for Force Protection Yr 4	US Dept of Defense	\$2,392	\$0	\$2,392	\$792
Nagy, E.	Advanced Materials and Structures for Force Protection Mod 7	US Dept of Defense	\$15,000	\$0	\$15,000	\$4,984
Parent, L.	Advanced Materials and Structures for Force Protection Mod 7	US Dept of Defense	\$60,000	\$0	\$60,000	\$19,935
Parent, L.	Advanced Materials and Structures for Force Protection Yr 4	US Dept of Defense	\$9,567	\$0	\$9,567	\$3,168
Parent, L.	Composite Marine and Air Cargo Container Design, Fabrication and Testing	US Dept of Homeland Security	\$128,003	\$0	\$128,003	\$37,826
Parent, L.	Advanced Materials and Structures for Force Protection Yr 6	US Dept of Defense	\$5,600	\$0	\$5,600	\$1,782
Parent, L.	Composite Marine and Air Cargo Container Design, Fabrication and Testing +\$	US Dept of Homeland Security	\$18,750	\$0	\$18,750	\$5,620
Parent, L.	Composite Marine and Air Cargo Container Design, Fabrication and Testing- Task 5	US Dept of Homeland Security	\$80,980	\$0	\$80,980	\$24,272
Parent, L.	Advanced Materials and Structures for Force Protection Mod 8	US Dept of Defense	\$51,400	\$0	\$51,400	\$16,400
Parent, L.	Advanced Materials and Structures for Force Protection Yr 5	US Dept of Defense	\$24,427	\$0	\$24,427	\$7,920

Name	Title	Sponsor	Sponsor Total	UM Total	Total Amount	Sponsor Indirect
Parent, L.	Composite Marine & Air Cargo Container Design, Fabrication & Testing-Amend 3	US Dept of Homeland Security	\$225,000	\$0	\$225,000	\$67,437
Parent, L.	Composite Marine and Air Cargo Container Design, Fabrication and Testing (#2)	US Dept of Homeland Security	\$75,000	\$0	\$75,000	\$22,479
Parent, L.	Research and Development to Advance Buried Composite Bridge Technologies	US Dept of Transportation	\$147,153	\$24,788	\$171,941	\$24,788
Viselli, E.	Advanced Materials and Structures for Force Protection Mod 8	US Dept of Defense	\$25,700	\$0	\$25,700	\$8,200
Viselli, E.	Advanced Materials and Structures for Force Protection Yr 5	US Dept of Defense	\$12,214	\$0	\$12,214	\$3,960
Viselli, E.	Advanced Materials and Structures for Force Protection Yr 4	US Dept of Defense	\$4,784	\$0	\$4,784	\$1,584
Viselli, E.	Advanced Materials and Structures for Force Protection Mod 7	US Dept of Defense	\$30,000	\$0	\$30,000	\$9,968
Viselli, E.	Advanced Materials and Structures for Force Protection Yr 6	US Dept of Defense	\$2,800	\$0	\$2,800	\$891
SUBTOTALS: # OF AWARDS: 11.75			<u>\$2,510,722</u>	<u>\$983,252</u>	<u>\$3,493,973</u>	<u>\$431,098</u>
Center for Community Inclusion & Disability Studies						
Doctoroff, S.	Early Childhood Opportunities Scholars (EChOS) Yr 2	US Dept of Education	\$15,000	\$2,178	\$17,178	\$389
Labas, L.	CC+ME/RELATE Project 2011-2012	US Dept of Health & Human Services	\$230,000	\$32,406	\$262,406	\$32,405

Name	Title	Sponsor	Sponsor Total	UM Total	Total Amount	Sponsor Indirect
Rooks-Ellis, D.	Early Childhood Opportunities Scholars (EChOS) Yr 2	US Dept of Education	\$15,000	\$2,178	\$17,178	\$389
SUBTOTALS: # OF AWARDS: 1.10			\$260,000	\$36,761	\$296,761	\$33,183
Climate Change Institute						
Birkel, S.	Modeling Changes in the Frequency and Duration of Heat Events in Maine	US Dept of Health & Human Services	\$8,250	\$1,455	\$9,705	\$1,454
Birkel, S.	COLLABORATIVE RESEARCH: Response of the Northwest Greenland Cryosphere	National Science Foundation	\$60,437	\$0	\$60,437	\$18,114
Birkel, S.	Analyzing Legacy Data in a Climate Context to Decipher Modern Changes	US Dept of the Interior	\$1,842	\$19,605	\$21,448	\$0
Birkel, S.	Modeling Changes in the Frequency and Duration of Heat Events in Maine +\$	US Dept of Health & Human Services	\$2,941	\$441	\$3,382	\$441
Birkel, S.	Glacier-Climat Reconstruction of the LGM and Termination in the Southern Alps	The Comer Science and Education Foundation	\$20,000	\$1,115	\$21,115	\$0
Dieffenbacher-Krall, A.	Decadal Cycles in NAO Proxies from Northwest Iceland Lake and Soil Sediment Yr 2	National Science Foundation	\$16,606	\$0	\$16,606	\$5,498
Hamilton, G.	Remote Sensing of Meltwater on the Greenland Ice Sheet +\$	National Aeronautics & Space Administration	\$30,000	\$1,485	\$31,485	\$0
Kurbatov, A.	Roosevelt Island Climate Evolution Project: US Deep Ice Core Glaciochemistr Yr 2	National Science Foundation	\$66,114	\$0	\$66,114	\$20,305
Kurbatov, A.	BreatheProject & 10 Green	The Heinz Endowments	\$12,500	\$4,601	\$17,101	\$0
SUBTOTALS: # OF AWARDS: 5.80			\$218,690	\$28,702	\$247,392	\$45,812

Name	Title	Sponsor	Sponsor Total	UM Total	Total Amount	Sponsor Indirect
Department of Industrial Cooperation						
Brown, N.	Assessment of grow-out strategies for the green sea urchin Yr 3	US Dept of Agriculture	\$51,530	\$25,507	\$77,037	\$0
Brown, N.	The Cod Academy	US Dept of Commerce	\$25,000	\$0	\$25,000	\$8,278
Brown, N.	Pilot Production of Native Porphyra for Land and Sea-based IMTA	Maine Aquaculture Innovation Center	\$7,875	\$7,875	\$15,750	\$1,388
Brown, N.	Integrating Kelp and Sea Urchin Aquaculture in Maine	Maine Aquaculture Innovation Center	\$10,254	\$14,212	\$24,466	\$1,596
Kelly, R.	Growth Transformation – Invigorating Innovation	US Dept of Commerce	\$26,969	\$0	\$26,969	\$5,104
Ward, J.	Advance Maine's Information Technology & Aquaculture Business Incubation +\$	ME Dept of Economic & Community Development	\$120,000	\$68,768	\$188,768	\$6,349
SUBTOTALS: # OF AWARDS: 5.45			\$241,628	\$116,362	\$357,990	\$22,715
Margaret Chase Smith Center						
Acheson, A.	Conducting a Transportation Needs Assessment	US Dept of Transportation	\$67,100	\$13,312	\$80,412	\$13,313
Acheson, A.	Poverty Update Newsletter, 2011	Maine Community Action Association	\$6,772	\$954	\$7,726	\$954
Morris, C.	Conducting a Transportation Needs Assessment	US Dept of Transportation	\$42,700	\$8,471	\$51,171	\$8,472
Morris, C.	Economic Contribution and Resident Valuation of the Boothbay Region Land Trust	BRLT	\$18,728	\$3,301	\$22,029	\$3,301
Silka, L.	Orono Village Association Project 2011-2012	Town of Orono	\$0	\$0	\$0	\$0
Sorg, M.	NAME NVDRS Liaison for UM FY12 and FY13	US Dept of Health & Human Services	\$31,168	\$0	\$31,168	\$7,698
Sorg, M.	FSMB Physician License Portability II Year 3	US Dept of Health & Human Services	\$25,000	\$0	\$25,000	\$8,278

Name	Title	Sponsor	Sponsor Total	UM Total	Total Amount	Sponsor Indirect
Sorg, M.	Grenada Recovery Project	Grenada Council of Churches	\$27,731	\$11,869	\$39,600	\$0
SUBTOTALS: # OF AWARDS: 5.32			\$219,199	\$37,908	\$257,107	\$42,016
NSF EPSCoR Programs						
Nemeth, V.	Maine EPSCoR: End-to-End Connectivity for Sustainability Science Initiative	National Science Foundation	\$300,000	\$0	\$300,000	\$602
Nemeth, V.	FY12 MSGC - NASA Travel Support	National Aeronautics & Space Administration	\$14,559	\$6,231	\$20,790	\$0
SUBTOTALS: # OF AWARDS: 1.30			\$314,559	\$6,231	\$320,790	\$602
Sea Grant Program						
Anderson, P.	Coastal Community Development	US Dept of Commerce	\$50,000	\$17,722	\$67,722	\$10,317
Anderson, P.	Spatial and Temporal Variation in the Growth of the Soft-shell Clam (<i>Mya arenaria</i>)	US Dept of Commerce	\$50,082	\$0	\$50,082	\$10,700
Anderson, P.	Creating a Tool for Assessing Economic Impact of Sea Grant Investments in Research	US Dept of Commerce	\$23,450	\$0	\$23,450	\$5,792
Anderson, P.	Marine Extension Team and Education - off-campus	US Dept of Commerce	\$182,183	\$41,589	\$223,772	\$34,353
Anderson, P.	Marine Extension and Education- Yr2- Inc.#2	US Dept of Commerce	\$184,162	\$29,873	\$214,035	\$31,885
Anderson, P.	Maine Sea Grant Program Development- Yr2- Inc. #2	US Dept of Commerce	\$14,743	\$0	\$14,743	\$4,882
Anderson, P.	Assessing the Potential of Participatory Mapping- Yr2- Inc.# 2	US Dept of Commerce	\$2,500	\$0	\$2,500	\$828
Anderson, P.	Building Extension Capacity towards Sustainable Aquaculture in Maine Yr 3	US Dept of Commerce	\$100,000	\$50,261	\$150,261	\$19,808
Anderson, P.	Maine Management of Aquaculture Research Project	US Dept of Commerce	\$7,149	\$0	\$7,149	\$1,511

Name	Title	Sponsor	Sponsor Total	UM Total	Total Amount	Sponsor Indirect
Anderson, P.	Emergency Surveillance Monitoring for MSX Disease in the Maine Oyster	US Dept of Commerce	\$12,475	\$2,475	\$14,950	\$2,475
Anderson, P.	Maine Sea Grant Program Management Yr 2- Inc. #2	US Dept of Commerce	\$79,142	\$141,699	\$220,841	\$16,727
Anderson, P.	US-Canada Science Symposium 2012: The American Lobster in a Changing Ecosystem	US Dept of Commerce	\$7,500	\$3,751	\$11,251	\$0
Anderson, P.	Marine Extension Team and Education - on-campus	US Dept of Commerce	\$130,384	\$59,000	\$189,384	\$32,203
Anderson, P.	Program Administration	US Dept of Commerce	\$176,940	\$247,620	\$424,560	\$43,701
Anderson, P.	Building Extension Capacity towards Sustainable Aquaculture in Maine Yr 2	US Dept of Commerce	\$100,000	\$50,331	\$150,331	\$19,309
Anderson, P.	Program Development	US Dept of Commerce	\$50,000	\$0	\$50,000	\$14,986
Anderson, P.	Coastal Community Development- Yr2- Inc. #2	US Dept of Commerce	\$25,000	\$14,277	\$39,277	\$3,577
Bisson, S.	2010 GOM Region ANS Proposal: Putting Mittens on a Crab	US Dept of Commerce	\$28,411	\$14,212	\$42,623	\$7,018
Bisson, S.	Acadia B-WET: A Partnership to Help Teachers Engage Students	US Dept of Commerce	\$11,531	\$720	\$12,251	\$2,306
Grant, K.	Enhancing Sea Grant's Ability to Help Coastal Communities Adapt to Climate	US Dept of Commerce	\$30,000	\$13,670	\$43,670	\$6,298
Grant, K.	Sea Grant Climate Adaptation 2011: City of Ellsworth, ME	US Dept of Commerce	\$25,000	\$10,904	\$35,904	\$7,493
Morse, D.	A Pilot Project to Stimulate Seaweed Production on Mussel Farms in Maine	Maine Aquaculture Innovation Center	\$19,999	\$27,096	\$47,095	\$3,243
Schmitt, C.	Sea Grant Communications	US Dept of Commerce	\$185,677	\$55,832	\$241,509	\$45,861

Name	Title	Sponsor	Sponsor Total	UM Total	Total Amount	Sponsor Indirect
Schmitt, C.	Maine Sea Grant Communications- Yr2- Inc#2	US Dept of Commerce	\$62,432	\$37,997	\$100,429	\$13,195
Springuel, N.	National Working Waterfronts and Waterways Council	US Dept of Commerce	\$17,989	\$26,460	\$44,449	\$3,712
SUBTOTALS: # OF AWARDS: 23.75			\$1,576,749	\$845,489	\$2,422,238	\$342,180
Senator George J. Mitchell Center						
Nelson, S.	Do Coastal Maine Lakes Have Fish Higher in Mercury?	US Dept of the Interior	\$8,273	\$17,918	\$26,191	\$0
Nelson, S.	Extended Data Literacy	US Dept of Education	\$15,073	\$4,747	\$19,820	\$1,194
Nelson, S.	Acadia B-WET: A Partnership to Help Teachers Engage Students Yr 2	US Dept of Commerce	\$12,179	\$175	\$12,354	\$2,435
Nelson, S.	Acadia Learning Phase II Yr 2	US Dept of Education	\$11,710	\$2,225	\$13,935	\$1,952
Nelson, S.	Biogeochemical Controls on Altered Nitrogen Cycling +\$	National Science Foundation	\$750	\$0	\$750	\$0
Nelson, S.	Amendment: EPA IAG for Clean Air Act trends research 2011-2012	US Dept of the Interior	\$6,739	\$0	\$6,739	\$2,231
Nelson, S.	Validating Landscape Models for Mercury in Northeast Lakes	US Dept of Agriculture	\$84,634	\$23,067	\$107,701	\$0
Nelson, S.	Analyzing Legacy Data in a Climate Context to Decipher Modern Changes	US Dept of the Interior	\$1,842	\$19,605	\$21,448	\$0
Nelson, S.	Biogeochemical Controls on Altered Nitrogen Cycling Yr 2	National Science Foundation	\$22,420	\$0	\$22,420	\$7,141
Nelson, S.	EPA IAG for Clean Air Act trends research, 2011-2012	US Dept of the Interior	\$38,111	\$0	\$38,111	\$12,619
Peckenham, J.	Improving Data to Build Trust for Community Generated Knowledge of Groundwater	US Dept of the Interior	\$1,600	\$9,391	\$10,991	\$0

Name	Title	Sponsor	Sponsor Total	UM Total	Total Amount	Sponsor Indirect
Peckenham, J.	FY2011 WRRRI Annual Application- Information Transfer	US Dept of the Interior	\$22,038	\$11,404	\$33,442	\$0
Peckenham, J.	Maine Water Resources Research Institute- Information Transfer	US Dept of the Interior	\$21,208	\$30,314	\$51,522	\$0
Peckenham, J.	Maine Water Resources Research Institute- Management	US Dept of the Interior	\$10,590	\$25,058	\$35,648	\$0
Peckenham, J.	Investigating the Impact of Pollutants in Street Dust on the Long Creek	US Dept of the Interior	\$18,983	\$18,826	\$37,809	\$0
Peckenham, J.	FY2011 WRRRI Annual Application- Management	US Dept of the Interior	\$2,500	\$1,238	\$3,738	\$0
SUBTOTALS: # OF AWARDS: 11.85			\$278,650	\$163,968	\$442,618	\$27,571

VICE PRESIDENT FOR STUDENT AFFAIRS

Sidelko, L.	Black Bear Choices Yr 2	National Collegiate Athletic Association	\$10,000	\$2,680	\$12,680	\$0
SUBTOTALS: # OF AWARDS: 1.00			\$10,000	\$2,680	\$12,680	\$0

Center on Aging

Burnett, P.	CNCS Renewal Grant for RSVP 2012	Corporation for National & Community Service	\$91,702	\$30,078	\$121,780	\$0
Burnett, P.	Center on Aging RSVP United Way Application- General Yr 2	United Way of Eastern Maine	\$14,516	\$1,821	\$16,337	\$869
Burnett, P.	United Way Grant: RSVP Bone Builders Yr 2	United Way of Eastern Maine	\$6,214	\$930	\$7,144	\$441
Burnett, P.	Office of Elder Services Grant for RSVP	ME Dept of Health and Human Services	\$14,340	\$4,704	\$19,044	\$0

Name	Title	Sponsor	Sponsor Total	UM Total	Total Amount	Sponsor Indirect
Burnett, P.	United Way Community Investment Request for RSVP Bone Builders	United Way of Eastern Maine	\$6,677	\$768	\$7,445	\$768
Burnett, P.	United Way of Eastern Maine Community Investment Grant Application for RSVP	United Way of Eastern Maine	\$14,516	\$1,196	\$15,712	\$1,195
SUBTOTALS: # OF AWARDS: 6.00			\$147,965	\$39,497	\$187,462	\$3,273

UNKNOWN ORGANIZATIONAL ENTITY

Unknown/Inactive

Barringer, R.	Connect People in the Outdoors	US Dept of the Interior	\$0	\$0	\$0	\$0
SUBTOTALS: # OF AWARDS: 1.00			\$0	\$0	\$0	\$0

Totals

Total Number of Awards:	449
Sponsor Total:	\$44,850,719
UM Total:	\$12,190,348
Project Total:	\$57,041,067
<i>Indirect Costs:</i>	<i>\$6,631,340</i>



ANNUAL REPORT ON GIFTS AND FUND RAISING
YEAR ENDED JUNE 30, 2011

Additional copies are available at <http://www.maine.edu/system/oft/GiftsandFundRaising.php> or by contacting:

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16 Central Street
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**UNIVERSITY OF MAINE SYSTEM
ANNUAL REPORT ON GIFTS AND FUND RAISING
Year Ended June 30, 2011**

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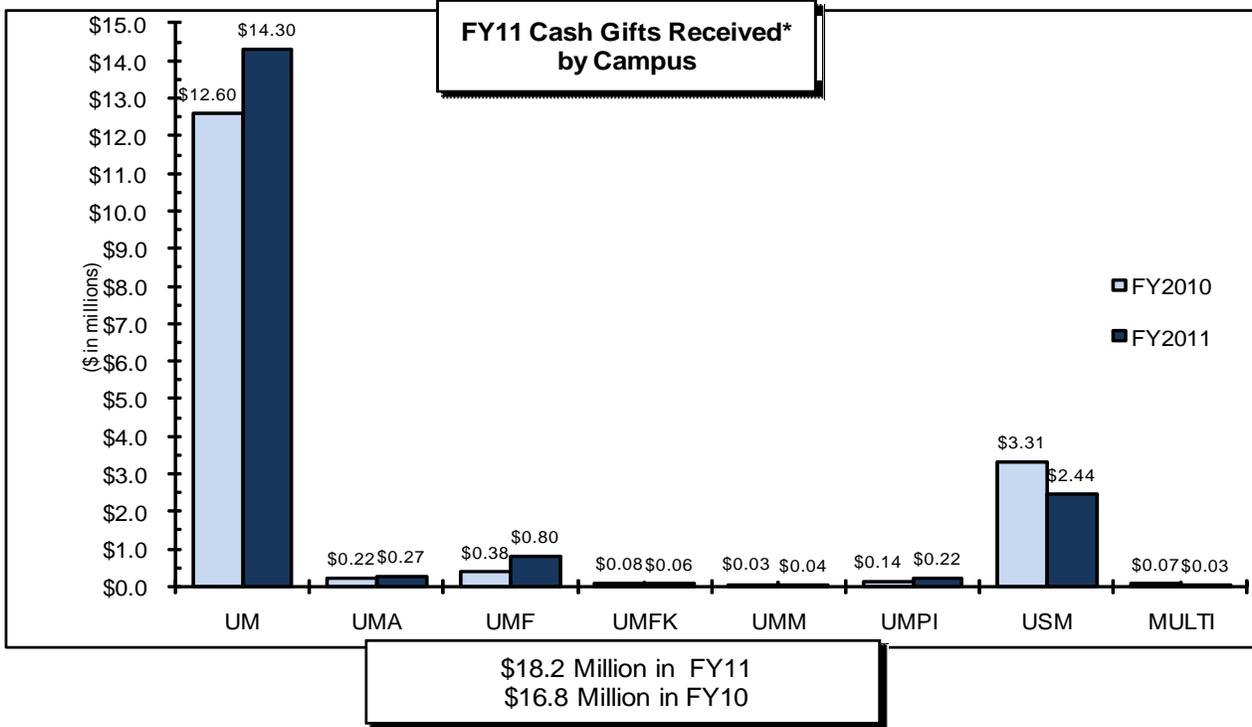
**UNIVERSITY OF MAINE SYSTEM
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Highlights

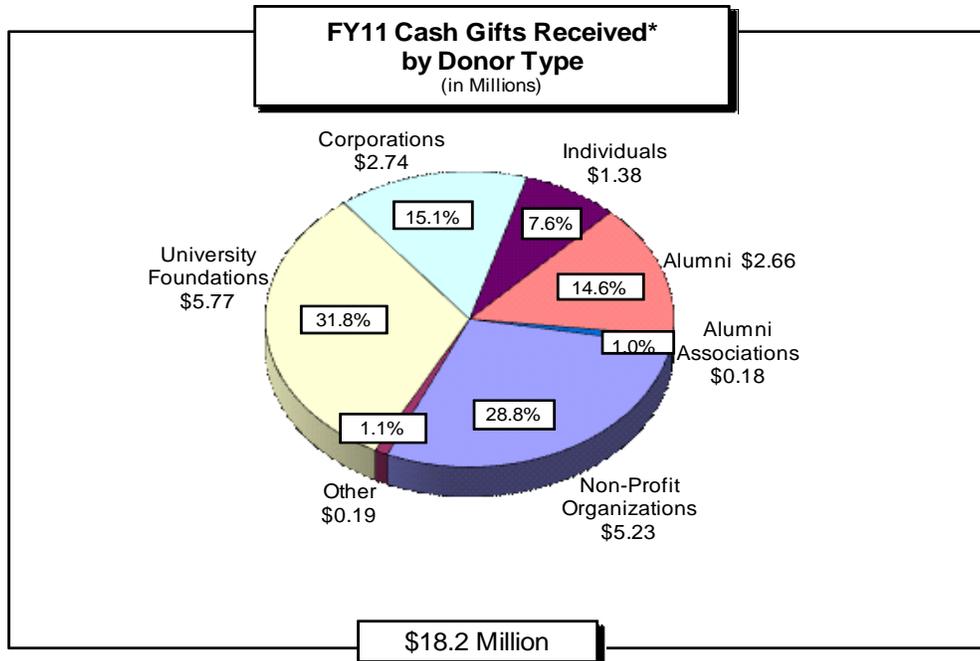
- The University of Maine System (UMS) received cash gifts totaling \$18.2 million during FY2011, with the largest contribution 31.8% (or \$5.8 million) coming from University Foundations. 34.4% (or \$6.3 million) of cash gifts was restricted for scholarships and 21.4% (or \$3.9 million) was restricted for facilities related projects.
- At June 30, 2011, the market value of UMS endowed and non-endowed gift balances was \$155.1 million, an increase of \$19.6 million or 14.5% compared to the prior year end balance.
- The market value of all UMS **and** affiliated organization endowed funds was \$296.5 million at June 30, 2011 which was an increase of \$48.0 million or 19.3% compared to the prior year end balance.
- Significant gifts include:
 - The University of Maine (UM) received many large gifts and pledges in FY2011 including a \$5.5 million pledge from the Harold Alfond Foundation to renovate Alfond Arena and the Memorial Gym, an anticipated \$5 million pledge from New Balance to support athletic facility renovations, a \$1 million pledge from Paul Coulombe for scholarships, and a \$693 thousand gift-in-kind from Honeywell International, Inc. to benefit the UM Process Development Center.
 - A total of 120 new gift funds were established at UM, including 12 for endowed scholarships and 76 for annual scholarships.
 - The University of Maine at Farmington (UMF) received a \$450 thousand bequest from the Estate of Marion Desmond Allen (Class of 1935) for the Charles and Marion D. Allen Scholarship. This scholarship will support undergraduate and graduate students who have graduated from South Portland High School or Cape Elizabeth High School.
 - The University of Maine at Machias (UMM) received over \$11 thousand in gifts in memory of Joan M. Getchell. Joan served in various payroll, accounting and human resource capacities in the Business Office of UMM for 42 years, with her final position being the assistant to the VP for Administration and Finance. An endowed scholarship fund has been established in her memory with earnings to be used for scholarships for undergraduate students from Washington County studying Business Administration.
 - The University of Southern Maine (USM) received \$1.2 million in support of USM's property, buildings and equipment, with over 99% collected by the USM Foundation. These contributions include funds for installation of an athletic turf field, renovations to Robie Andrews Hall and future Music, Visual and Performing Arts construction and renovation feasibility studies.
 - USM and the UMaine School of Law received gifts and pledge payments for student financial aid totaling \$504 thousand, a slight increase over the previous fiscal year. Significant donor gifts included continued support for many non-endowed scholarship funds.
 - Support for USM's academic divisions totaled \$261 thousand. This support includes pledge payments for the School of Business's Risk Management Program totaling \$141 thousand.

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UMS received cash gifts of \$18.2M in FY2011, compared with \$16.8M in FY2010. The \$1.4M net increase includes a \$1.7M increase in UM gifts, a decline of \$0.9 in USM gifts and an increase of \$0.4 in UMF gifts. For comparative data by campus for the 5 years ended June 30, 2011, see page 4 of this report.



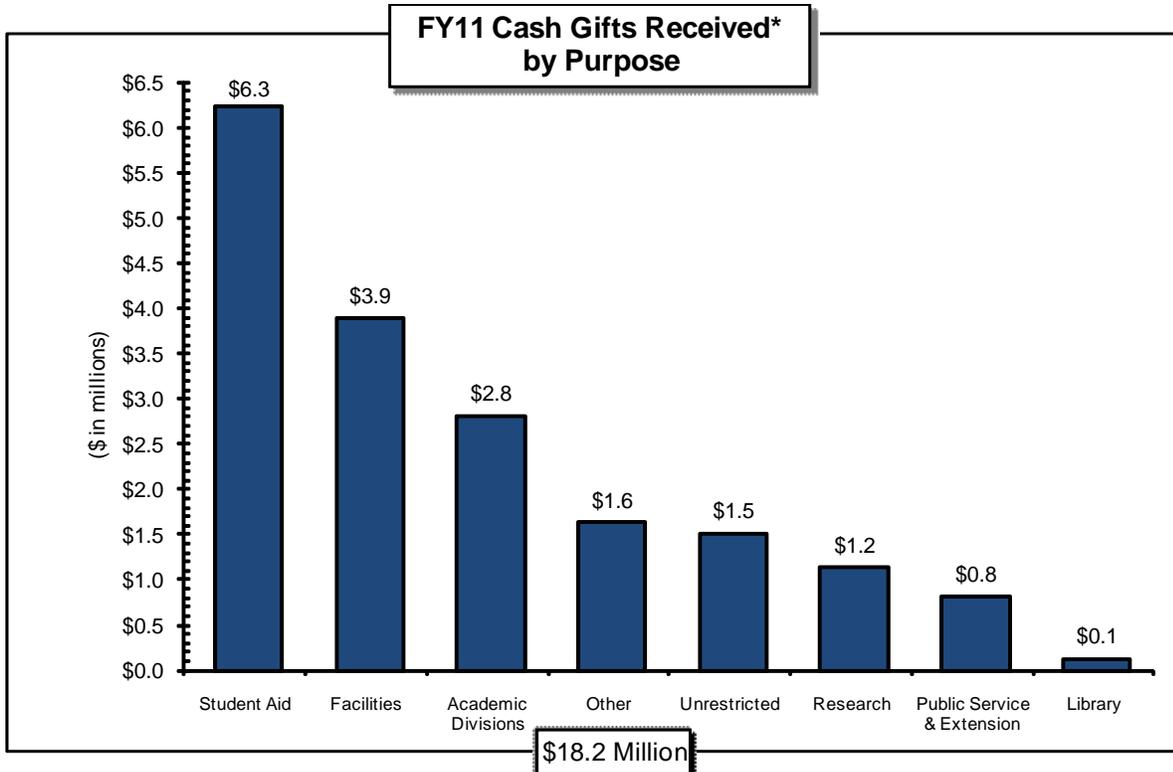
77% of UMS gifts in FY2011 were received from non-profits, corporations, and foundations. For comparative data for the 5 years ended June 30, 2011, see page 4 of this report.



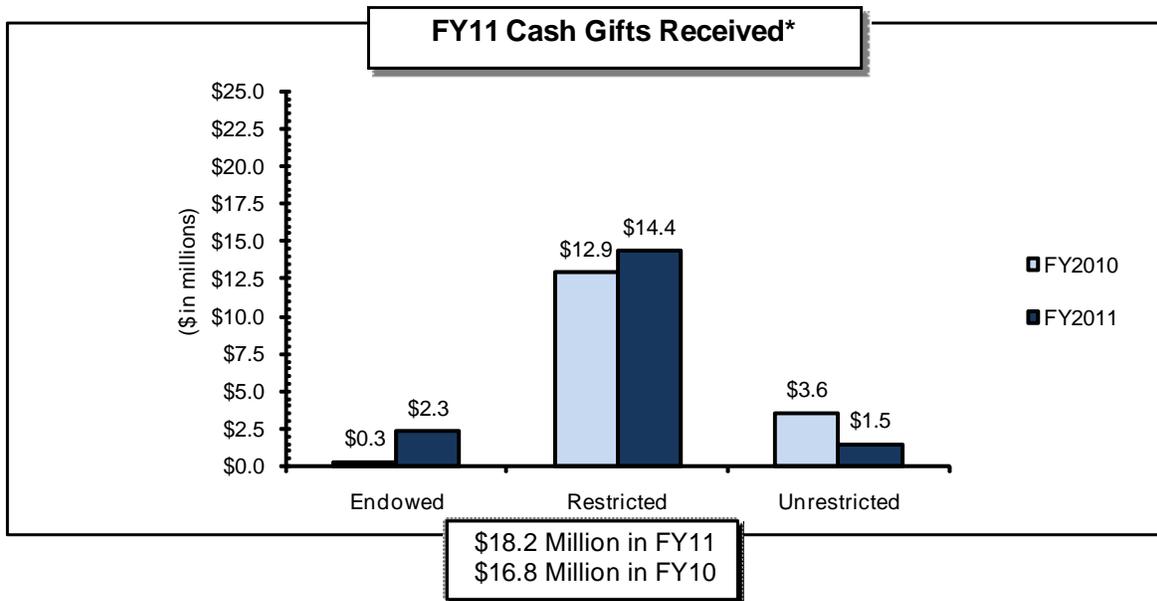
* Cash Gifts Received includes cash, checks and negotiable securities only; not gifts-in-kind or pledges receivable.

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The \$18.2 million in total gifts received in FY2011 included gifts of over \$6 million for student aid, \$2 million of which was endowed and over \$4 million available for immediate use. Facilities construction and renovation gifts totalled nearly \$4 million and almost \$3 million was received for



\$16.7 million or 92% of gifts received in FY2011 were earmarked by the donor for a particular



* Cash Gifts Received includes cash, pledge payments, checks and negotiable securities only; not gifts-in-kind or pledges receivable.

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Cash Gifts Received by Campus by Donor Type (Unaudited)

(\$ in Thousands)

		<u>2007</u>	<u>2008</u>	<u>2009</u>	<u>2010</u>	<u>2011</u>
UM	Alumni	\$ 1,436	\$ 1,093	\$ 287	\$ 713	\$ 1,941
	Individuals	524	742	974	661	816
	Corporations	2,482	2,298	1,762	2,346	2,243
	Alumni Association	366	508	309	122	50
	University Foundation	5,785	7,613	6,134	4,122	4,448
	Non-Profit	1,056	1,405	1,843	4,589	4,727
	Other	372	261	31	45	72
	Total	<u>\$ 12,021</u>	<u>\$ 13,920</u>	<u>\$ 11,340</u>	<u>\$ 12,598</u>	<u>\$ 14,297</u>
UM A	Alumni	\$ -	\$ -	\$ 1	\$ 1	\$ 31
	Individuals	34	98	57	78	80
	Corporations	2,438	221	43	112	87
	University Foundation	-	-	-	2	-
	Non-Profit	-	-	-	22	69
	Other	59	34	35	11	-
	Total	<u>\$ 2,531</u>	<u>\$ 353</u>	<u>\$ 136</u>	<u>\$ 226</u>	<u>\$ 267</u>
UM F	Alumni	\$ 138	\$ 124	\$ 96	\$ 238	\$ 523
	Individuals	447	557	187	121	113
	Corporations	16	139	7	5	4
	University Foundation	3	3	12	-	-
	Non-Profit	6	154	4	3	160
	Other	12	16	30	11	-
	Total	<u>\$ 622</u>	<u>\$ 993</u>	<u>\$ 336</u>	<u>\$ 378</u>	<u>\$ 800</u>
UM FK	Alumni	\$ 19	\$ 19	\$ 20	\$ 26	\$ 22
	Individuals	8	7	9	27	28
	Corporations	5	7	8	9	3
	University Foundation	-	25	15	5	-
	Non-Profit	1	-	-	-	6
	Other	-	3	2	11	-
	Total	<u>\$ 33</u>	<u>\$ 61</u>	<u>\$ 54</u>	<u>\$ 78</u>	<u>\$ 59</u>
UM M	Alumni	\$ 35	\$ 19	\$ 9	\$ 6	\$ 10
	Individuals	66	23	22	20	29
	Corporations	5	6	4	1	5
	Non-Profit	3	8	2	-	1
	Other	1	4	1	1	-
	Total	<u>\$ 10</u>	<u>\$ 60</u>	<u>\$ 38</u>	<u>\$ 28</u>	<u>\$ 45</u>
UM PI	Alumni	\$ 71	\$ 70	\$ 4	\$ 18	\$ 2
	Individuals	1	-	-	1	70
	Corporations	2	-	-	-	9
	University Foundation	96	112	119	119	96
	Non-Profit	-	-	-	-	40
	Total	<u>\$ 170</u>	<u>\$ 182</u>	<u>\$ 123</u>	<u>\$ 138</u>	<u>\$ 217</u>
USM	Alumni	\$ 208	\$ 139	\$ 138	\$ 125	\$ 132
	Individuals	337	335	458	206	244
	Corporations	553	459	345	417	389
	Alumni Association	112	103	86	18	125
	University Foundation	495	1,451	9,396	1,803	1,230
	Non-Profit	588	758	1,395	671	198
	Other	129	111	133	71	119
	Total	<u>\$ 2,422</u>	<u>\$ 3,356</u>	<u>\$ 11,951</u>	<u>\$ 3,311</u>	<u>\$ 2,437</u>
Multi-Campus	Individuals	\$ 47	\$ 18	\$ -	\$ 10	\$ -
	Corporations	7	1	1	-	3
	Non-Profit	121	46	98	57	29
	Other	-	2	-	2	-
	Total	<u>\$ 175</u>	<u>\$ 67</u>	<u>\$ 99</u>	<u>\$ 69</u>	<u>\$ 32</u>
Alumni		<u>\$ 1,907</u>	<u>\$ 1,464</u>	<u>\$ 555</u>	<u>\$ 1,127</u>	<u>\$ 2,661</u>
Individuals		<u>1,464</u>	<u>1,780</u>	<u>1,707</u>	<u>1,124</u>	<u>1,380</u>
Corporations		<u>5,508</u>	<u>3,131</u>	<u>2,170</u>	<u>2,890</u>	<u>2,743</u>
Alumni Associations		<u>478</u>	<u>611</u>	<u>395</u>	<u>140</u>	<u>175</u>
University Foundation		<u>6,379</u>	<u>9,204</u>	<u>15,676</u>	<u>6,049</u>	<u>5,774</u>
Non-Profit		<u>1,775</u>	<u>2,371</u>	<u>3,342</u>	<u>5,342</u>	<u>5,230</u>
Other		<u>573</u>	<u>431</u>	<u>232</u>	<u>152</u>	<u>191</u>
Total		<u>\$ 18,084</u>	<u>\$ 18,992</u>	<u>\$ 24,077</u>	<u>\$ 16,824</u>	<u>\$ 18,154</u>

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UMS Endowment Market Value and Gift Balances by Campus and UMS Affiliated Organizations
Endowment Market Values (Unaudited)
(\$ in Thousands)

UMS	2007	2008	2009	2010	2011
UMS Endowment Pool Market Value (not including Affiliated Organizations invested in Endowment Pool)					
UM	\$ 67,748	\$ 63,013	\$ 49,456	\$ 54,467	\$ 65,164
UMA	1,733	1,678	3,755	4,215	5,018
UMF	10,163	9,634	7,583	8,222	10,202
UMFK	1,523	1,415	1,209	1,299	1,507
UMM	1,719	1,603	1,252	1,354	1,610
UMPI	1,286	1,194	932	980	1,135
USM	15,572	14,741	11,551	12,313	14,339
SWS	11,898	11,058	6,197	6,585	7,676
Total UMS Endowment Pool	\$ 111,642	\$ 104,336	\$ 81,935	\$ 89,435	\$ 106,651
UMS Non-Endowed Gift Account Balances					
UM	\$ 25,452	\$ 26,899	\$ 32,588	\$ 34,351	\$ 37,964
UMA	831	410	645	574	698
UMF	7,031	1,997	2,279	2,249	1,682
UMFK	641	726	674	694	404
UMM	319	362	339	377	372
UMPI	394	463	463	362	436
USM	8,335	8,403	8,218	6,750	6,268
SWS	798	841	688	699	632
Total UMS Non-Endowed Gift Account Balances	\$ 43,801	\$ 40,101	\$ 45,894	\$ 46,056	\$ 48,456
Total UMS Endowed and Non-Endowed Gift Balances	\$ 155,443	\$ 144,437	\$ 127,829	\$ 135,491	\$ 155,107
UMS Affiliated Organizations Endowment Market Value					
UM Alumni Association	\$ -	\$ -	\$ -	\$ -	\$ -
UM Foundation	145,123	142,788	109,667	123,587	149,060
UM Pulp & Paper Foundation	14,183	15,086	10,896	12,439	13,073
Pine Tree State 4-H Club Foundation	3,476	3,486	2,183	2,514	2,754
	<u>162,782</u>	<u>161,360</u>	<u>122,746</u>	<u>138,540</u>	<u>164,887</u>
UMA Foundation *	N/A *	N/A *	N/A *	-	-
UMF Alumni Foundation **	1,062	1,008	1,005	1,123	1,329
UMFK Alumni Association	-	-	-	-	-
UMFK Foundation **	557	566	465	622	868
John L. Martin Scholarship Fund **	93	86	67	73	85
	<u>650</u>	<u>652</u>	<u>532</u>	<u>695</u>	<u>953</u>
UMMA Alumni Association	-	-	-	-	-
Foundation of the University at Presque Isle	2,947	2,820	2,816	3,074	3,616
USM Foundation **	9,724	9,185	11,422	13,140	16,066
UM Law Alumni Association	-	-	-	-	-
UM Law School Foundation	2,675	2,797	2,259	2,469	3,022
	<u>12,399</u>	<u>11,982</u>	<u>13,681</u>	<u>15,609</u>	<u>19,088</u>
Total UMS Affiliated Organizations Endowment MV	\$ 179,840	\$ 177,822	\$ 140,780	\$ 159,041	\$ 189,873
Total UMS Endowed & Non-Endowed Gift Bal & Affil Org Endowments	\$ 335,283	\$ 322,259	\$ 268,609	\$ 294,532	\$ 344,980
Total UMS and Affiliated Organizations Endowment MV (Excludes Non-Endowed Gift Balances)					
UMS Endowment Pool Market Value	\$ 111,642	\$ 104,336	\$ 81,935	\$ 89,435	\$ 106,651
UMS Affiliated Organizations Endowments Invested in UMS Pool	11,436	10,845	12,959	14,958	18,348
Total UMS & Affiliated Organizations Endowments Invested by UMS in Pool	<u>123,078</u>	<u>115,181</u>	<u>94,894</u>	<u>104,393</u>	<u>124,999</u>
Total UMS Affiliated Organizations Endowments Invested Elsewhere	168,404	166,977	127,821	144,083	171,525
Total UMS and Affiliated Organizations Endowment MV	\$ 291,482	\$ 282,158	\$ 222,715	\$ 248,476	\$ 296,524

* The UMA Foundation was reaffiliated with UMS in FY2010.

** Affiliated Organization Endowment funds invested in UMS Pool.

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**UMS and Affiliates Endowment Market Values (MV) and UMS Gift Balances by Campus (Unaudited)
(\$ in Thousands)**

	<u>2007</u>	<u>2008</u>	<u>2009</u>	<u>2010</u>	<u>2011</u>
UM & UM Affiliates					
University Endowment Pool Market Value	\$ 67,748	\$ 63,013	\$ 49,456	\$ 54,467	\$ 65,164
University Non-Endowed Gift Account Balances	25,452	26,899	32,588	34,351	37,964
UM Alumni Association Endowment Market Value	-	-	-	-	-
UM Foundation Endowment Market Value	145,123	142,788	109,667	123,587	149,060
UM Pulp & Paper Foundation Endowment Market Value	14,183	15,086	10,896	12,439	13,073
Pine Tree State 4-H Club Foundation Endowment Market Value	3,476	3,486	2,183	2,514	2,754
	<u>255,982</u>	<u>251,272</u>	<u>204,790</u>	<u>227,358</u>	<u>268,015</u>
UMA & UMA Affiliates					
University Endowment Pool Market Value	1,733	1,678	3,755	4,215	5,018
University Non-Endowed Gift Account Balances	831	410	645	574	698
UMA Foundation Endowment Market Value *	N/A *	N/A *	N/A *	-	-
	<u>2,564</u>	<u>2,088</u>	<u>4,400</u>	<u>4,789</u>	<u>5,716</u>
UMF & UMF Affiliates					
University Endowment Pool Market Value	10,163	9,634	7,583	8,222	10,202
University Non-Endowed Gift Account Balances	7,031	1,997	2,279	2,249	1,682
UMF Alumni Foundation Endowment Market Value **	1,062	1,008	1,005	1,123	1,329
	<u>18,256</u>	<u>12,639</u>	<u>10,867</u>	<u>11,594</u>	<u>13,213</u>
UMFK & UMFK Affiliates					
University Endowment Pool Market Value	1,523	1,415	1,209	1,299	1,507
University Non-Endowed Gift Account Balances	641	726	674	694	404
UMFK Foundation Endowment Market Value **	557	566	465	622	868
UMFK Alumni Association Endowment Market Value **	-	-	-	-	-
John L. Martin Scholarship Fund Endowment Market Value **	93	86	67	73	85
	<u>2,814</u>	<u>2,793</u>	<u>2,415</u>	<u>2,688</u>	<u>2,864</u>
UMM & UMM Affiliates					
University Endowment Pool Market Value	1,719	1,603	1,252	1,354	1,610
University Non-Endowed Gift Account Balances	319	362	339	377	372
UMM Alumni Association Endowment Market Value	-	-	-	-	-
	<u>2,038</u>	<u>1,965</u>	<u>1,591</u>	<u>1,731</u>	<u>1,982</u>
UMPI & UMPI Affiliates					
University Endowment Pool Market Value	1,286	1,194	932	980	1,135
University Non-Endowed Gift Account Balances	394	463	463	362	436
Foundation of the University at Presque Isle Endowment Market Value	2,947	2,820	2,816	3,074	3,616
	<u>4,627</u>	<u>4,477</u>	<u>4,211</u>	<u>4,416</u>	<u>5,187</u>
USM & USM Affiliates					
University Endowment Pool Market Value	15,572	14,741	11,551	12,313	14,339
University Non-Endowed Gift Account Balances	8,335	8,403	8,218	6,750	6,268
USM Foundation Endowment Market Value **	9,724	9,185	11,422	13,140	16,066
UM Law Alumni Association Endowment Market Value	-	-	-	-	-
UM Law School Foundation Endowment Market Value	2,675	2,797	2,259	2,469	3,022
	<u>36,306</u>	<u>35,126</u>	<u>33,450</u>	<u>34,672</u>	<u>39,695</u>
SWS					
University Endowment Pool Market Value	11,898	11,058	6,197	6,585	7,676
University Non-Endowed Gift Account Balances	798	841	688	699	632
	<u>12,696</u>	<u>11,899</u>	<u>6,885</u>	<u>7,284</u>	<u>8,308</u>
Total UMS & Affiliates Endowment MV & UMS Gift Balances by Campus	<u>\$ 335,283</u>	<u>\$ 322,259</u>	<u>\$ 268,609</u>	<u>\$ 294,532</u>	<u>\$ 344,980</u>
Total University Endowment Pool Market Value	<u>\$ 111,642</u>	<u>\$ 104,336</u>	<u>\$ 81,935</u>	<u>\$ 89,435</u>	<u>\$ 106,651</u>
Total University Non-Endowed Gift Account Balances	<u>\$ 43,801</u>	<u>\$ 40,101</u>	<u>\$ 45,894</u>	<u>\$ 46,056</u>	<u>\$ 48,456</u>
Total UMS Affiliated Org Endowments Invested in UMS Pool	<u>11,436</u>	<u>10,845</u>	<u>12,959</u>	<u>14,958</u>	<u>18,348</u>
Total UMS Affiliated Org Endowments Not Invested in UMS Pool	<u>168,404</u>	<u>166,977</u>	<u>127,821</u>	<u>144,083</u>	<u>171,525</u>
	<u>\$ 179,840</u>	<u>\$ 177,822</u>	<u>\$ 140,780</u>	<u>\$ 159,041</u>	<u>\$ 189,873</u>
Total UMS & Affiliates Endowment MV & UMS Gift Balances by Campus	<u>\$ 335,283</u>	<u>\$ 322,259</u>	<u>\$ 268,609</u>	<u>\$ 294,532</u>	<u>\$ 344,980</u>

* The UMA Foundation was reaffiliated with the University of Maine System in FY2010.

** Affiliated Organization Endowment funds invested in UMS Pool.

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**Cash Gifts Received by University Affiliated Fund Raising Organizations (Unaudited)
(\$ in Thousands)**

	<u>2007</u>	<u>2008</u>	<u>2009</u>	<u>2010</u>	<u>2011</u>
UM					
UM Alumni Association	\$ 792	\$ 1,004	\$ 649	\$709	\$598
UM Foundation	23,213	12,601	6,578	5,365	6,418
UM Pulp & Paper Foundation	373	672	225	214	170
Pine Tree State 4-H Club Foundation	258	147	141	80	68
UMA					
UMA Foundation	N/A *	N/A *	N/A *	2	0
UMF					
UMF Alumni Foundation	22	16	247	10	4
UMFK					
UMFK Alumni Association	3	4	1	3	4
UMFK Foundation	24	40	18	101	135
John L. Martin Scholarship Fund	11	0	0	3	0
UMM					
UMM Alumni Association	0	0	0	2	1
UMPI					
Foundation of the University at Presque Isle	42	61	65	75	152
USM					
USM Foundation	9,873	3,650	4,182	2,512	1,762
UM Law Alumni Association	137	158	145	175	189
UM Law School Foundation	340	436	283	308	389
Total Cash Gifts Received by Affiliated Organizations **	<u>\$ 35,088</u>	<u>\$ 18,789</u>	<u>\$ 12,534</u>	<u>\$ 9,559</u>	<u>\$ 9,890</u>

* The UMA Foundation was reaffiliated with the University of Maine System in FY2010.

** Total includes affiliated organizations' funds both invested in the UMS Pool and invested elsewhere (as reported to UMS by those affiliates.)

Note: Pages 3 and 4 of this report show UMS cash gifts received.

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Status of Capital Campaigns (Unaudited)

	<u>Development Activity</u>	<u>Start Date</u>	<u>End Date</u>	<u>Private Support Campaign Goal</u> (\$ in Millions)	<u>Gifts Received & Outstanding Pledges (as of 06/2011)</u> (\$ in Millions)	<u>Amount in Excess of Goal (as of 06/2011)</u> (\$ in Millions)
UM	Campaign Maine	07/01/05	06/30/11	\$150.0	\$157.2 *	\$7.2

* Includes gifts and pledges received by the University of Maine and its affiliated fund raising organizations.

Campaign Maine will take the University of Maine to the next level and allow it to compete with the best universities in the country. Funding priorities revolve around academic and facility enhancements. These include, but are not limited to, endowed undergraduate scholarships, graduate fellowships, endowed chairs and professorships, promotion and enhancement of University programs, and capital improvements for University facilities.

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Notes

Board of Trustees Policy on Acceptance of Gifts

The UMS Board of Trustees policy on Acceptance of Gifts, Development Activities, & Fund Raising Campaigns requires that each University President submit an annual fund raising activity report to the Chancellor for consolidation and submission to the Board of Trustees for approval at the September meeting. The report will include activities of affiliated support groups.

This Annual Report on Gifts and Fund Raising provides a summary of gifts received by the Universities and affiliated support groups during fiscal year 2011. All gifts received fulfill the policy requirements of the Board of Trustees and UMS follows the intent of the donors. It is the intention of the Board of Trustees to ensure that the System or its Universities are prohibited from accepting funds from any source that would interfere with or otherwise restrict the academic freedoms of these institutions.

Affiliated Organizations

Information related to affiliated organizations has been supplied by those organizations. Such information has not been audited or verified in any way by UMS.

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Mission Statements of University Affiliated Fund Raising Organizations

University of Maine Alumni Association

We represent the interests of all Alumni who share the common bond of having attended the University of Maine. We represent their thinking and their expectations to the University's leadership, to the student community, and to the residents and elected officials of Maine. We are the independent voice of the alumni. We also represent the interests of the university to all alumni. From our unique vantage point, we provide alumni with relevant programs, events, networking, information and incentives to encourage them to engage in an enriching lifelong connection with the university that benefits both the university and the alumni.

University of Maine Foundation

The University of Maine Foundation, acting as a separate organization, will work collaboratively with all entities to encourage philanthropic support by growing the endowment through planned/deferred giving for the University of Maine and other charitable organizations while carrying out the donor's wishes in perpetuity.

University of Maine Pulp and Paper Foundation

The purpose of the Foundation is to promote the following objectives:

1. To interest highly capable students in preparing for and advancing in engineering and forestry careers in pulp and paper and related industries.
2. To provide financial assistance in the form of loans, grants and scholarships for students who plan careers in pulp and paper and related industries.
3. To assist and advise the University in developing a curriculum of undergraduate and advanced study and continuing education to meet the needs of members of the Foundation.
4. To encourage promising students to elect a curriculum emphasizing operational management in pulp and paper and related industries.
5. To help assure that the staff responsible for teaching pulp and paper related subjects are well motivated and of the highest quality.
6. To advance fundamental and applied research for pulp and paper and related industries.

Pine Tree State 4-H Club Foundation

The Pine Tree State 4-H Foundation was established in 1961 to help support the University of Maine's Cooperative Extension 4-H educational programs. We achieve this by promoting,

fostering and encouraging programs in youth education development and leadership. This function is carried out through the University of Maine Cooperative Extension for more than 25,000 Maine youths. The work of the Foundation would not be possible without its many volunteers, donors, and sponsors.

.University of Maine at Augusta Foundation

The University of Maine at Augusta Foundation was established to support and develop fund-raising within the University and business community, promoting alumni and private contributions. These funds, in addition to substantial scholarship contributions, will be utilized to advance financially the academic mission by addressing both present and future needs of the campus as they arise. These would include but not be limited to building endowments, support of programs (i.e. honors, athletics, architecture), campus special events and development of new programs. In addition, the Foundation could play an adjunct role in the development of funding of industry specific programs through friend building and fund raising. The University of Maine at Augusta Foundation, operating as a non-profit entity, is committed to the financial support of the goals of UMA through fund raising and friend building within the state of Maine.

University of Maine at Farmington Alumni Foundation

The purpose of said Corporation is to operate an endowment foundation for the benefit of the University of Maine at Farmington and receive gifts from alumni and from the public for the benefit of the University of Maine at Farmington, and to receive, hold, invest, and administer property in order to make expenditures to or for the benefit of the University of Maine at Farmington.

University of Maine at Fort Kent Alumni Association

The object of this Association shall be to promote and foster the best interests of the University of Maine at Fort Kent.

University of Maine at Fort Kent Foundation

The purposes of said Corporation are to acquire real or personal property by devise, bequest, gift, donation, or otherwise, to hold, administer, control, and manage the same for the benefit of the University of Maine at Fort Kent, its faculty or students, or any member of either body, upon such terms and to such ends as donors, testators, or others shall prescribe, or otherwise on terms prescribed by this Foundation in the exercise of its discretion.

John L. Martin Scholarship Fund - University of Maine at Fort Kent

The John L. Martin Scholarship Fund exists solely to provide scholarship awards to entering freshman at the University of Maine at Fort Kent and summer scholarships to Bachelor of Science in Environmental Studies students at UMFK who are working on the Allagash Wilderness Waterway.

University of Maine at Machias Alumni Association

The mission of the Alumni Association is to contribute to the growth and prosperity of the University of Maine at Machias, to establish and maintain an effective, strong relationship between the Association and the University, to support the administration in striving to maintain the high educational standards which were originally established for Washington State Normal School, to promote positive public relations and to maintain a well-organized active membership.

Foundation of the University at Presque Isle

The mission of the Foundation is to promote educational endeavors in connection with the University of Maine at Presque Isle and to receive and administer funds for scientific, educational, and research purposes, all for the public welfare of campus and community.

University of Southern Maine Foundation

The University of Southern Maine Foundation is an independent, non-profit, fundraising organization whose purpose is to actively build and steward its resources in support of the University's mission of education, research and public service and to educate the public about the activities and programs of the University of Southern Maine.

University of Maine Law Alumni Association

The University of Maine Law Alumni Association is committed to assisting the Law School fulfill its educational and professional mission and to serving the needs of its members by:

- Forging and fostering lasting relationships among the Law School, alumni and the legal community,
- Supporting the financial stability, growth and progress of the Law School through annual and special fundraising activities,
- Facilitating communication among the Law School, alumni and the legal community, and
- Planning and supporting alumni and law student events.

University of Maine School of Law Foundation

It is the mission of the University of Maine School of Law Foundation to raise, manage and distribute funds for the support and improvement of the University of Maine School of Law and the education it provides, so that it will become an internationally recognized law school.