

**COMMITTEE ON NATURAL RESOURCES**  
**113<sup>th</sup> Congress Disclosure Form**  
**As required by and provided for in House Rule XI, clause 2(g) and**  
**the Rules of the Committee on Natural Resources**

Oversight hearing titled *"American Metals and Mineral Security: An examination of the domestic critical minerals supply and demand chain."* / July 23, 2014

For Individuals:

1. Name:
2. Address:
3. Email Address:
4. Phone Number:

\* \* \* \* \*

For Witnesses Representing Organizations:

1. Name: Anthony Ku
2. Name of Organization(s) You are Representing at the Hearing: GE Global Research
3. Business Address: [REDACTED] [Information Redacted for Privacy]
4. Business Email Address: [REDACTED] [Information Redacted for Privacy]
5. Business Phone Number: [REDACTED] [Information Redacted for Privacy]

**For all Witnesses**

**Name/Organization:** Anthony Ku, GE Global Research

**Title/Date of Hearing:** Oversight hearing titled "American Metals and Mineral Security: An examination of the domestic critical minerals supply and demand chain." / July 23, 2014

a. Any training or educational certificates, diplomas or degrees or other educational experiences that are relevant to your qualifications to testify on or knowledge of the subject matter of the hearing.

Ph.D., Chemical Engineering, Princeton University

M.S., Chemical Engineering Practice, MIT

B.S., Chemical Engineering, MIT

b. Any professional licenses, certifications, or affiliations held that are relevant to your qualifications to testify on or knowledge of the subject matter of the hearing.

None

c. Any employment, occupation, ownership in a firm or business, or work-related experiences that relate to your qualifications to testify on or knowledge of the subject matter of the hearing.

Technical staff, Materials Sustainability program, GE Global Research

d. Any federal grants or contracts (including subgrants or subcontracts) from the Department of the Interior (and /or other agencies invited) that you have received in the current year and previous four years, including the source and the amount of each grant or contract.

None

e. A list of all lawsuits or petitions filed by you against the federal government in the current year and the previous four years, giving the name of the lawsuit or petition, the subject matter of the lawsuit or petition, and the federal statutes under which the lawsuits or petitions were filed.

None

f. A list of all federal lawsuits filed against you by the federal government in the current year and the previous four years, giving the name of the lawsuit, the subject matter of the lawsuit, and the federal statutes under which the lawsuits were filed.

None

g. Any other information you wish to convey that might aid the Members of the Committee to better understand the context of your testimony.

## Witnesses Representing Organizations

**Name/Organization:** Anthony Ku, GE Global Research

**Title/Date of Hearing:** Oversight hearing titled "American Metals and Mineral Security: An examination of the domestic critical minerals supply and demand chain." / July 23, 2014

h. Any offices, elected positions, or representational capacity held in the organization(s) on whose behalf you are testifying.

None

i. Any federal grants or contracts (including subgrants or subcontracts) from the *Department of the Interior* that were received in the current year and previous four years by the organization(s) you represent at this hearing, including the source and amount of each grant or contract for each of the organization(s).

Contract No. D14PC00012

Anticipated Funding is \$977,353

Current Funding \$332,114

Period of Performance: 3/28/14 – 9/27/15

Contract No. D13PC00002

Anticipated Funding is \$808,648

Current Funding \$808,648

Period of Performance: 1/19/13 – 2/12/15

j. A list of all lawsuits or petitions filed by the organization(s) you represent at the hearing against the federal government in the current year and the previous four years, giving the name of the lawsuit or petition, the subject matter of the lawsuit or petition, and the federal statutes under which the lawsuits or petitions were filed for each of the organization(s).

No lawsuits filed by GE Global Research against the federal government in the current year and previous four years.

k. A list of all federal lawsuits filed against the organization(s) you represent at the hearing by the federal government in the current year and the previous four years, giving the name of the lawsuit, the subject matter of the lawsuit, and the federal statutes under which the lawsuits were filed.

No lawsuits filed against GE Global Research by the federal government in the current year and previous four years.

l. For tax-exempt organizations and non-profit organizations, copies of the three most recent public IRS Form 990s (including Form 990-PF, Form 990-N, and Form 990-EZ) for each of the organization(s) you represent at the hearing (not including any contributor names and addresses or any information withheld from public inspection by the Secretary of the Treasury under 26 U.S.C. 6104)).

n/a